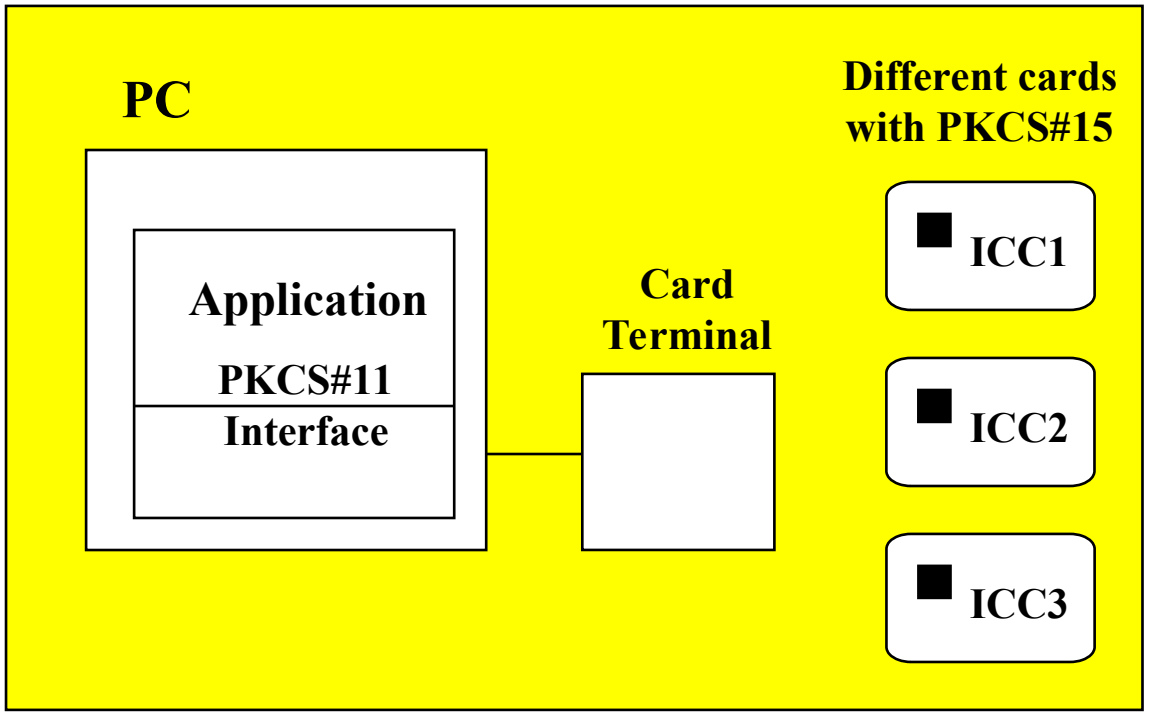
German Digital Signature Card and Office Identity Card and PKCS #15

Bruno Struif
GMD
German National Research Center for Information Technology
Darmstadt

General Configuration



Smartcards providing the same service, but possibly in a different way.

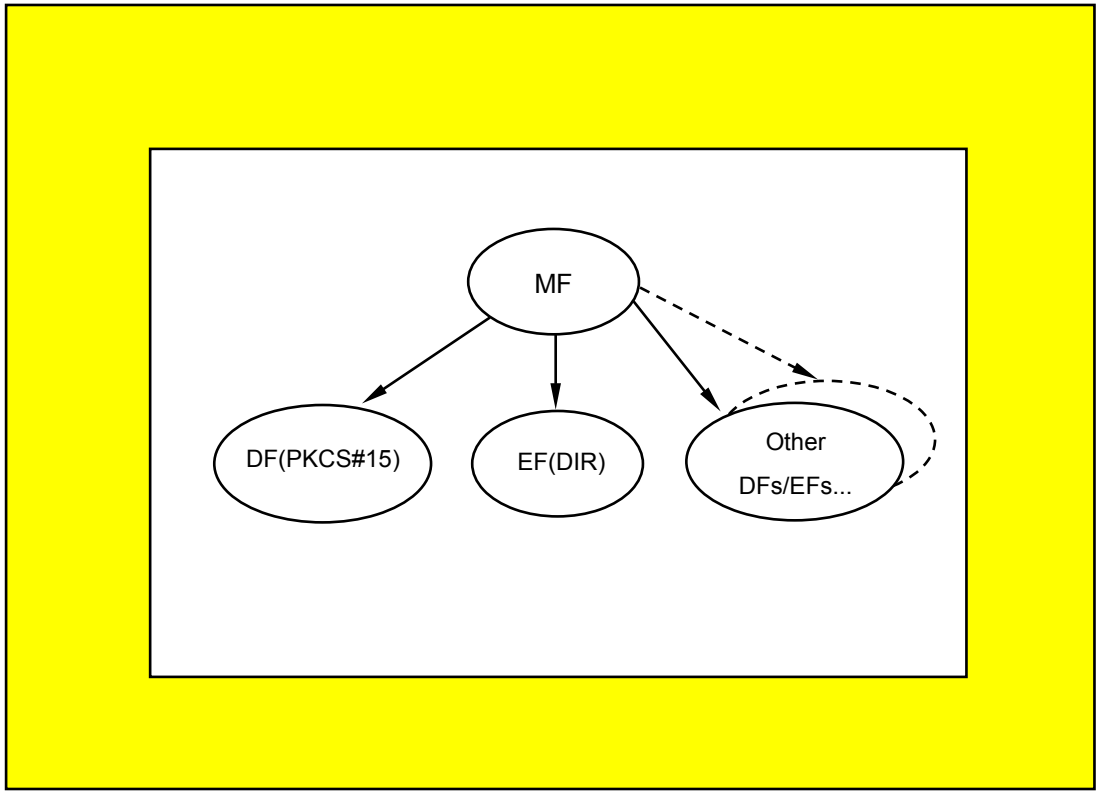
- If a PC application knows to deal with a card application, no directory files are necessary
- If a PC application does not know how to deal with a card application, it needs information

Is PKCS#15 powerful enough?

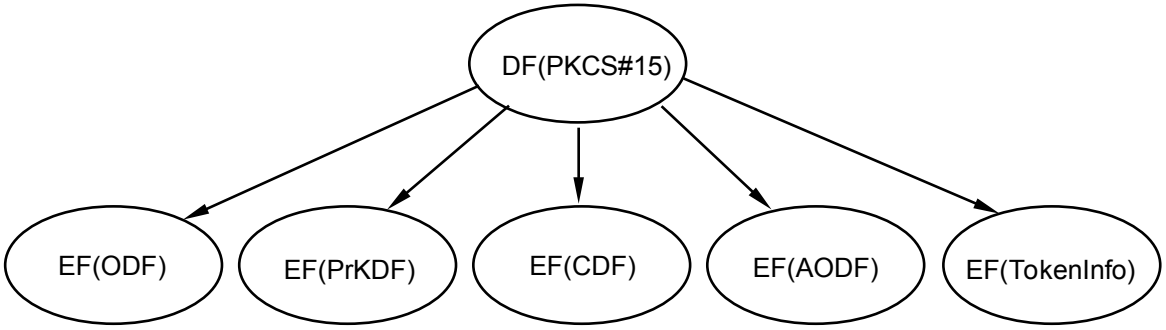
Some challenges:

- **cards may have a hash function or not**
- **cards may support different signature algorithms**
- **cards may support a different set of Digital Signature Input formats**
- **a card may be configurated in such a way that it allows**
  - **either after PIN presentation an unlimited number of DS**
  - **or requires PIN presentation before each DS**
- **a card may support ETSI PIN management commands instead of ISO-commands**
- **a card may support a proprietary command for a certain security service**

Card File Structure (1)

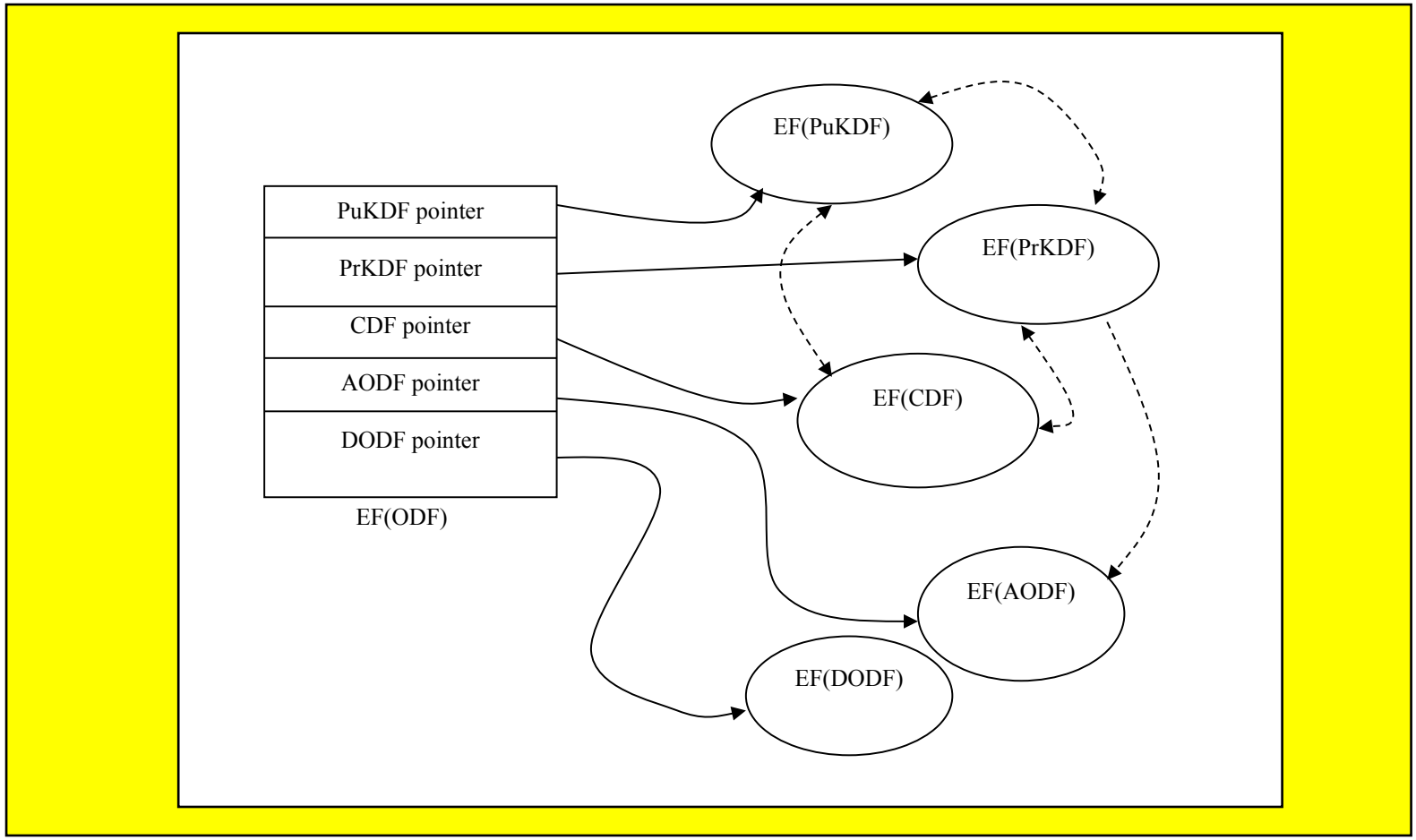


Card File Structure (2)

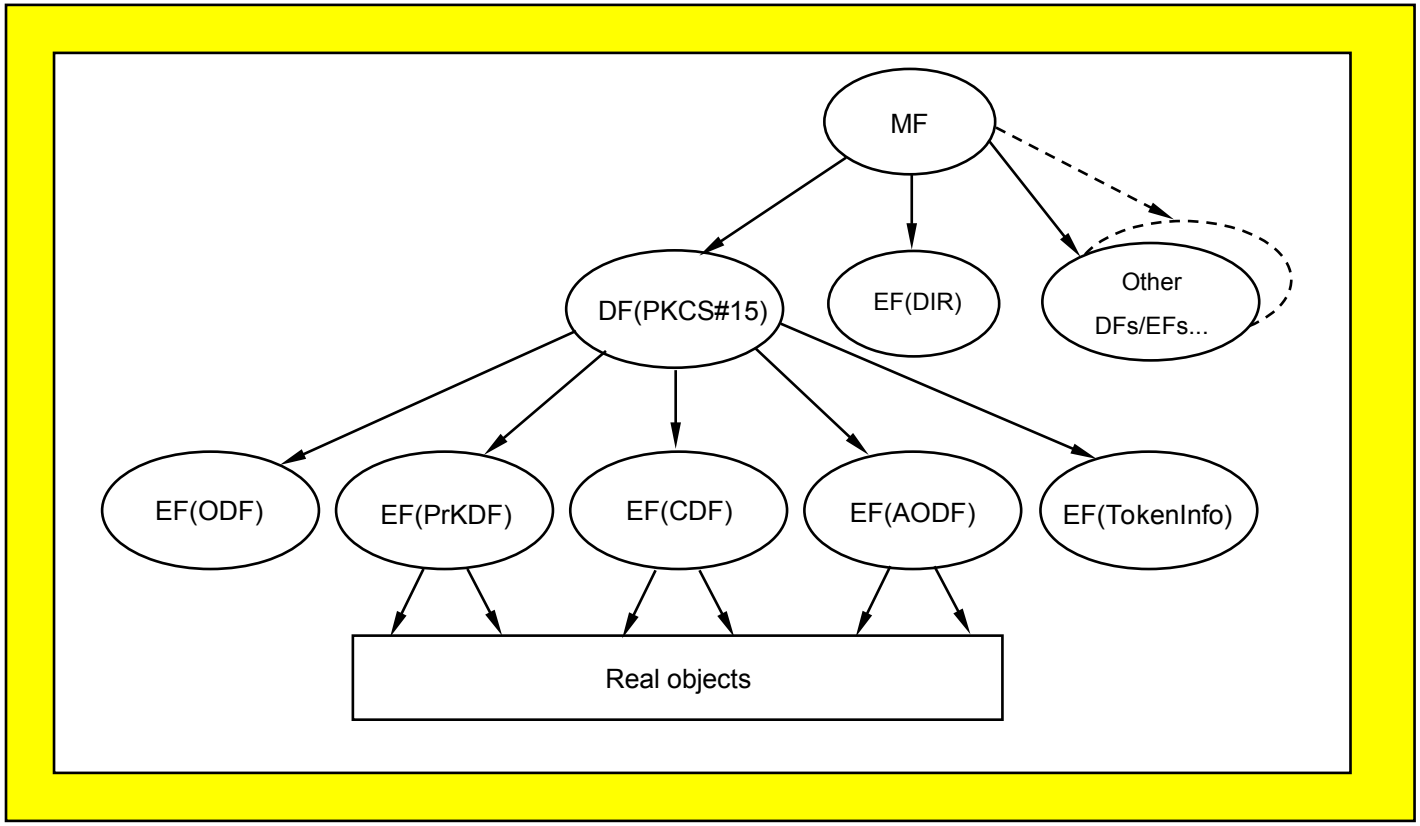


EF(ODF) - Object Directoty File - points to
- EF (PrKDFs) - Private Key Directory Files
- EF (PuKDFs) - Public Key Directory Files
- EF (SKDFs) - Secret Key Directory Files
- EF (CDFs) - Certificate Directory Files
- EF (DODFs) - Data Object Directory Files

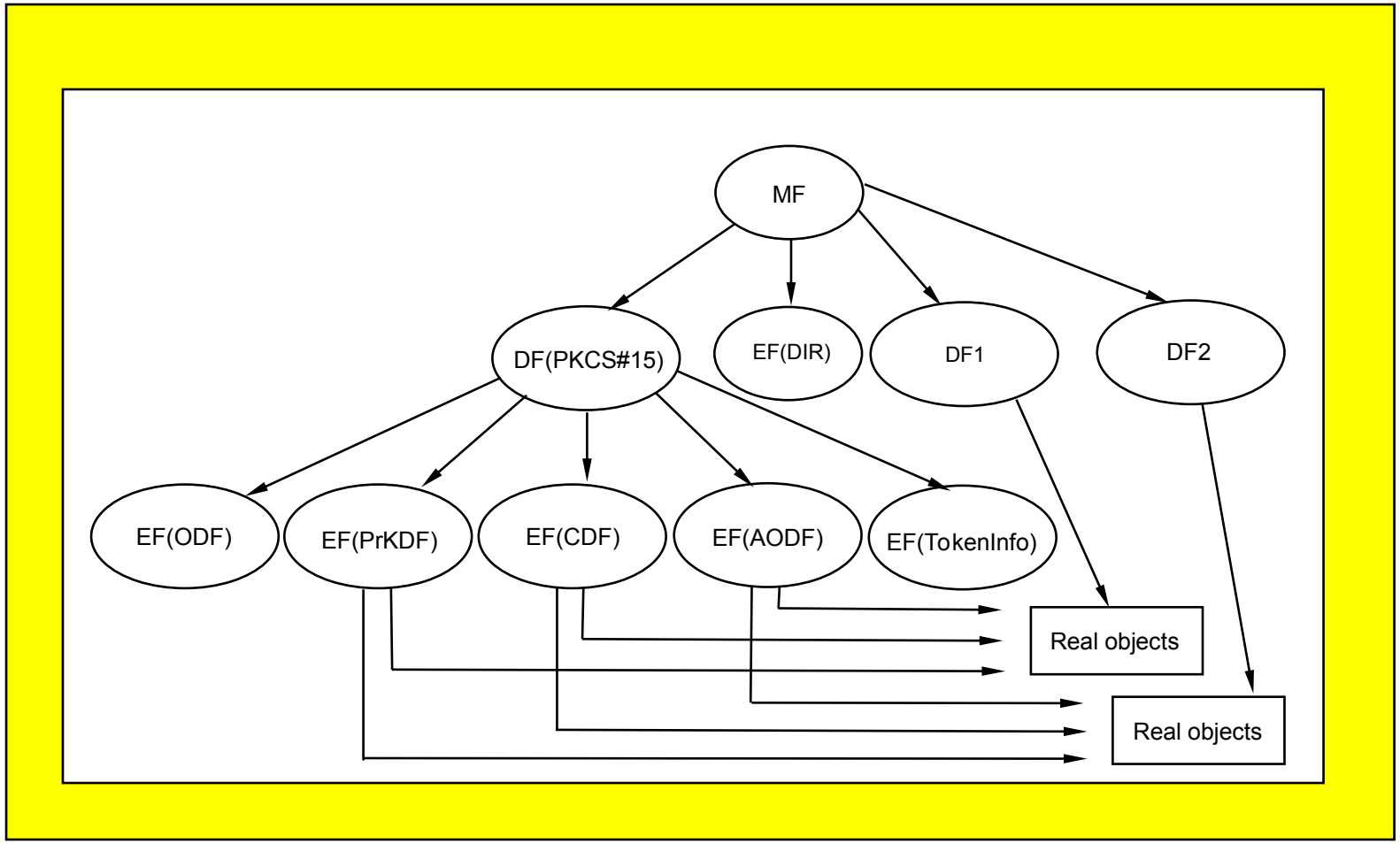
Cross-References



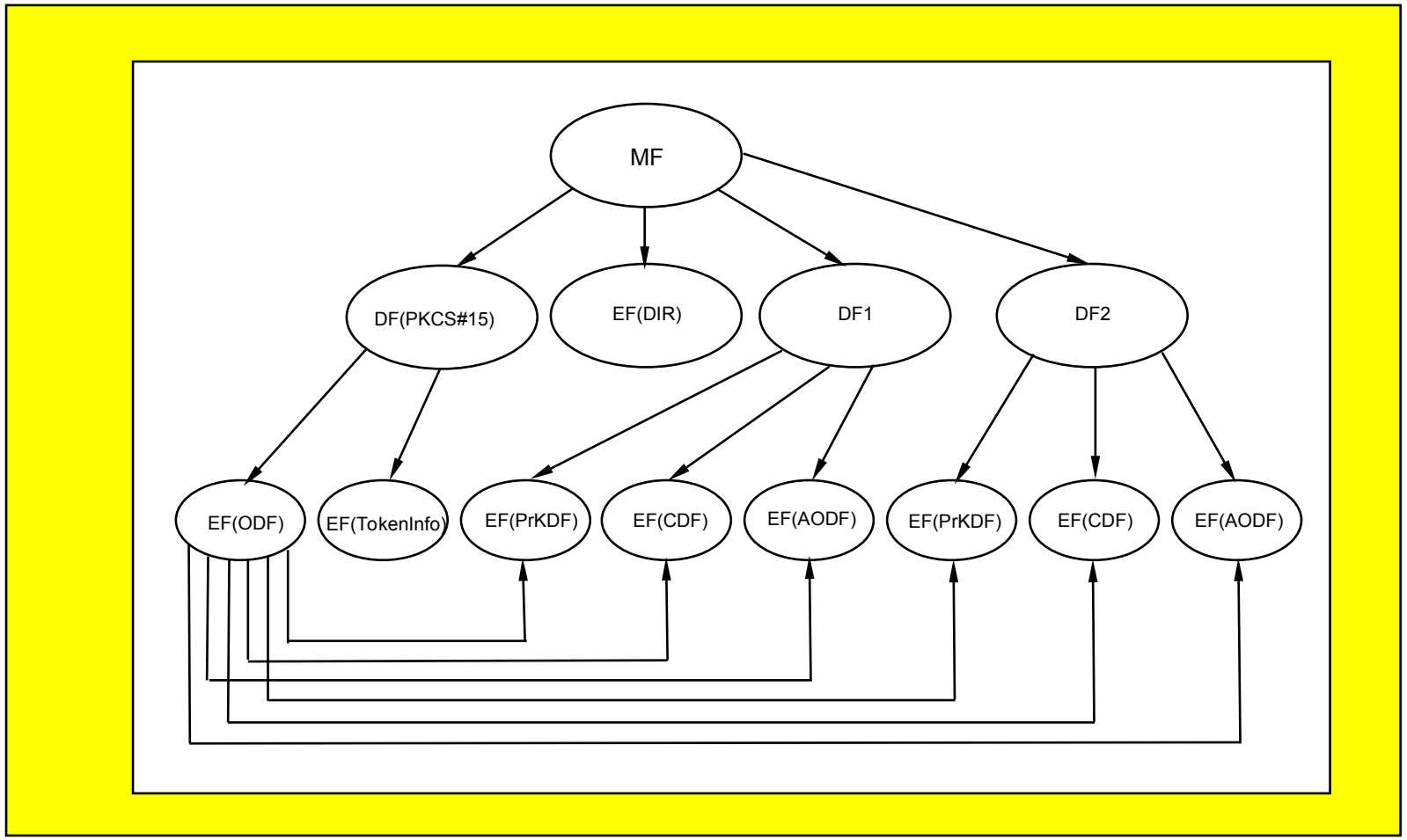
Card File Structure (3)



Card File Structure (4)



Card File Structure (5)



User Authentication

- PKCS15 describes PINs and passwords, but no biometric user authentication
- The German Digital Signature law allows biometric user authentication
- It is technically already feasible to implement biometric feature matching algorithms in cards
- ISO/IEC will add an amendment to 7816-4 with respect to biometric user authentication

VERIFY Command

VERIFY

CLA	As defined in ISO/IEC 7816-4 and -8
INS	´20´ = VERIFY
P1	´00´
P2	´81´ = PIN/PW reference ´91´ = Biometrical data reference
Lc	´xx´ = Length of subsequent data field
Data field	If P2 = ´81´: PIN or PW (min 6, max 8 ASCII characters) If P2 = ´91´: Biometrical verification data
Le	Empty

(DIN.SIG-Version 1.0, Table 11)

- If a digital signature is made on a private PC, then the PIN is presented as plain value

- If a digital signature is made on a public customer service terminal, then the PIN shall be presented as cryptogram followed by a cryptographic checksum

Proposal for integration of bio objects (1)

```
PKCS15Authentication  ::= CHOICE {
pin    PKCS15AuthenticationObject { PKCSPinAttributes },
bio   PKCS15AuthenticationObject { PKCSBioAttributes },
                                }

PKCS15BioAttributes  ::= SEQUENCE {
        bioFlags          PKCS15BioFlags,
        bioSubject        PKCS15BioSubject,
        bioType           PKCS15BioType,
        bioReference      [0] PKCSReference DEFAULT 0,
        lastBioChange     GeneralizedTime  OPTIONAL,
        path              PKCS15Path OPTIONAL,
        ...  -- For future extensions }
```

Proposal for integration of bio objects (2)

```
PKCS15BioFlags  ::= BIT STRING {
        reserved            (0),
        local               (1),
        change-disabled     (2),
        unblock-disabled    (3),
        initialized         (4),
        reserved            (5),
        reserved            (6),
        reserved            (7),
        disable-allowed     (8),
        authentic           (9),
        enciphered          (10),
         }
```

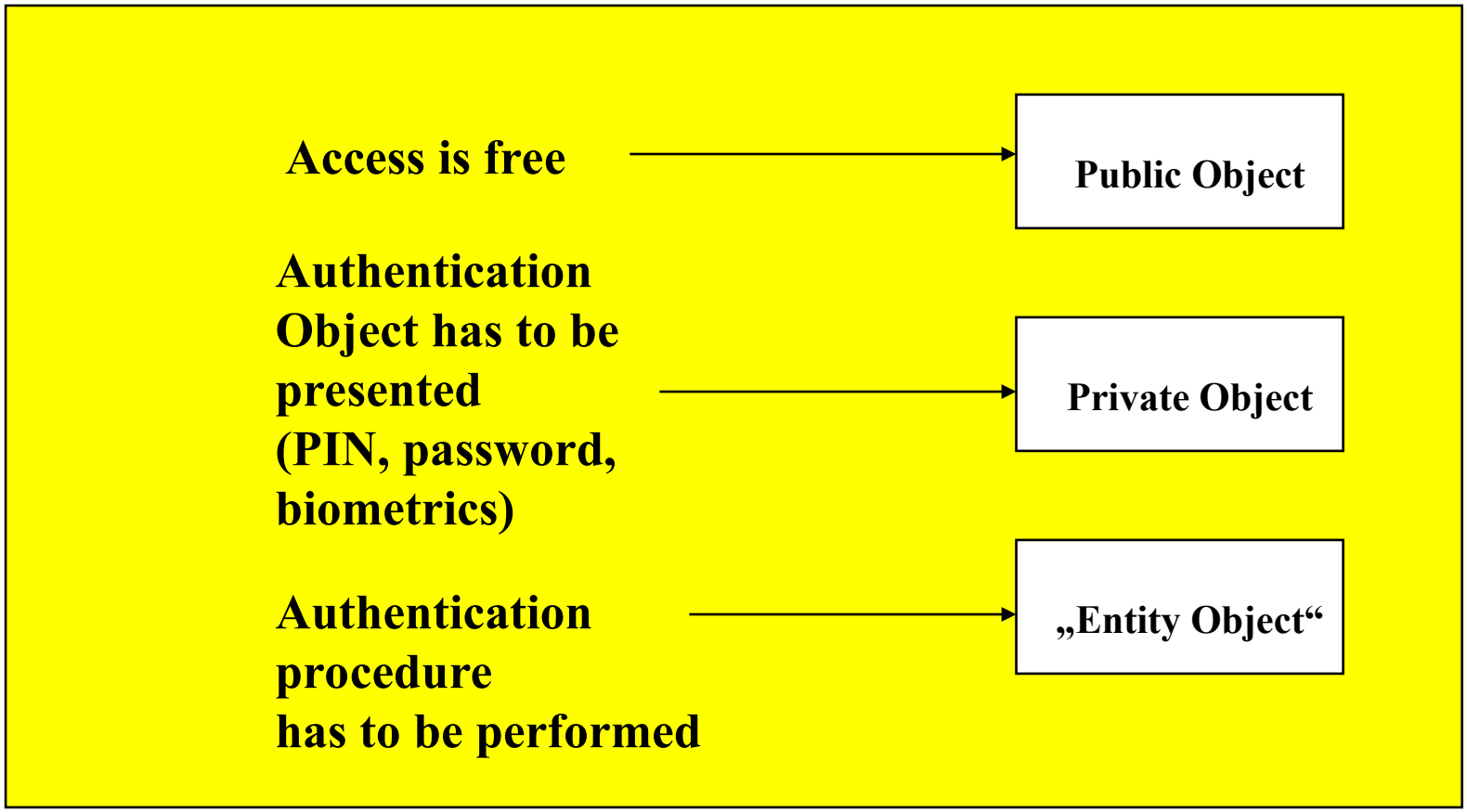
Proposal for integration of bio objects (3)

```
PKCSBioSubject  ::= CHOICE {
        fingerPrint             [0] FingerPrint,
        voicePrint              [1] VoicePrint,
        irisPrint               [2] IrisPrint,
        facePrint               [3] FacePrint,
        retinaPrint             [4] RetinaPrint,
        handGeometry            [5] HandGeometry,
        writeDynamics           [6] WriteDynamics,
        keystrokeDynamics       [7] KeystrokeDynamics,
        lipDynamics             [8] LipDynamics,
        ... -- For future extensions
        }
```

Proposal for integration of bio objects (4)

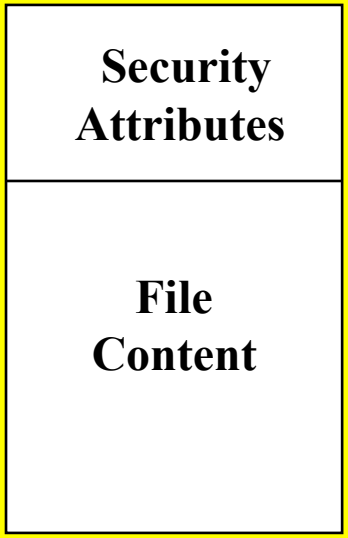
```
FingerPrint ::=  SEQUENCE {
        handID      HandID,
        fingerID    FingerID
        }

HandID ::= ENUMERATED {righthand (0), lefthand (1) }

FingerID ::= ENUMRATED { thumb(0), pointer finger (1),
middle finger (2), ring finger (3), little finger (4) }
```

Access to objects



Management of Access Rights

Elementary File



Example:

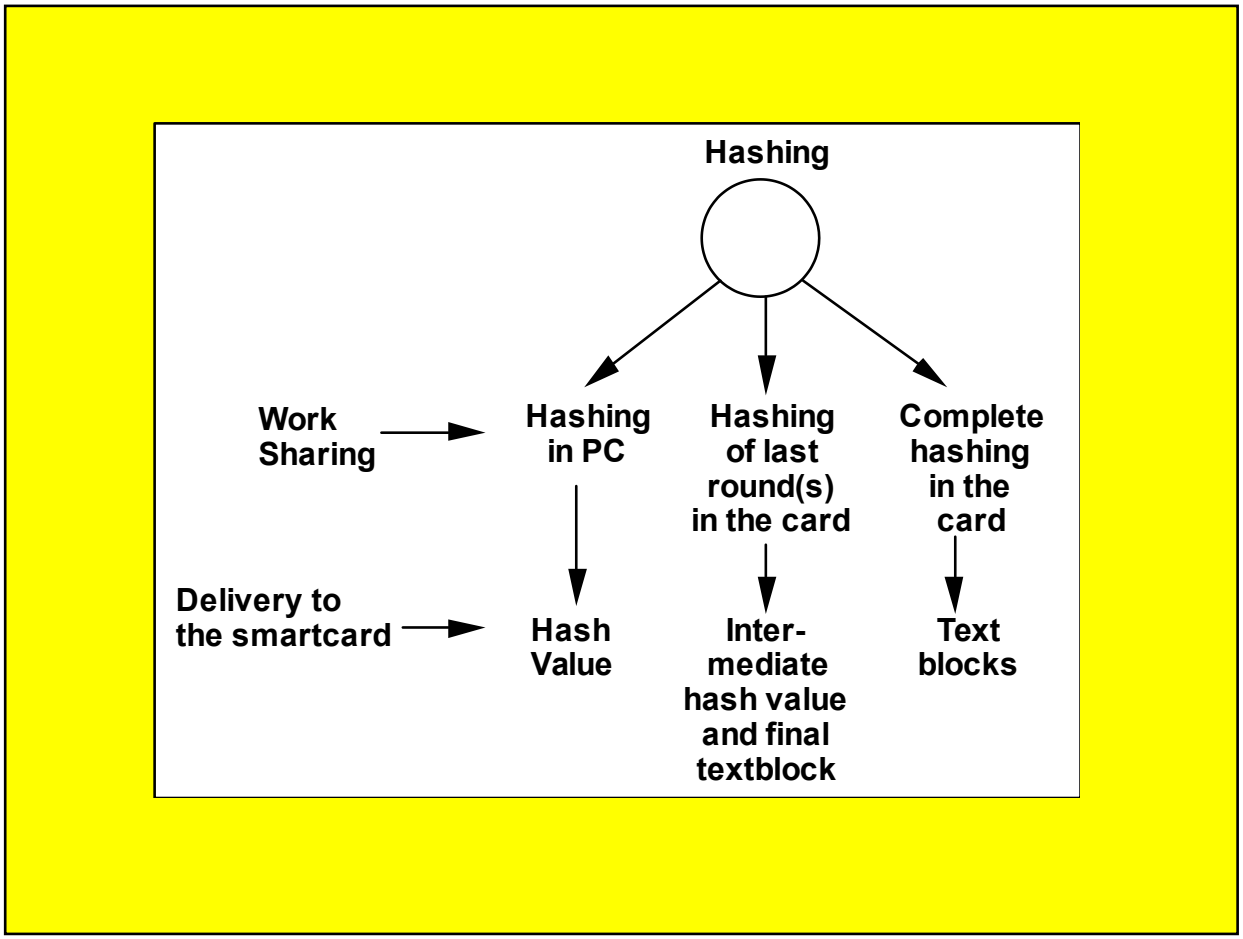
AM = Read
SC = EXT AUTH (asym) with
CHA = ´x.01´ or ´x.02´ and User AUTH

AM = Update
SC = EXT AUTH (asym) with
CHA = ´x.01´ and SM

X = Prefix denoting the AID or the entity assigning the role ID

AM = Access Mode
SC = Security Conditions
CHA = Cert. Holder Authorisation (Prefix, Role ID)
SM = Secure Messaging

Hashing


Hashing
Work Sharing
Hashing in PC
Hashing of last round(s) in the card
Complete hashing in the card
Delivery to the smartcard
Hash Value
Inter-mediate hash value and final textblock
Text blocks

Certificates

- PKCS15 distinguishes
  - x509Certificates
  - x509Attribute Certificates
  - spkiCertificates
  - pgpCertificates
  - wtlsCertificates
  - x9-68Certificates

  but no cvCertificates!!

Card Verifiable Certificates

CPI	CAR	CHR	CHA	OID	PK	SIG.CA

- CPI = Certificate Profile Identifier
- CAR = Certification Authority Reference (Authority Key Identifier)
- CHR = Certificate Holder Reference (Subject Key Identifier)
- CHA = Certificate Holder Authorisation (Authority || Role Identifier)
- OID = Object Identifier of PK Algorithm
- PK = Public Key of Certificate Holder
- SIG.CA = Signature of Certificate Issuing CA

Security Service Descriptor

- Template tags for all security services
  (e.g. user authentication service, digital signature service,
  entity authentication service, key cipherment service)

- DO Instruction set mapping ISM (regular command)
- DO Command to perform (if command is different form that in ISM)

- DO Object Id of the algorithm
- DO Algorithm reference (as used by the card)

- DO Key reference (as used by the card)
- DO Key file id (some cards select the key file containing the key to be used)

- DO Certificate file id (if present then the file contains the certificate)
- DO Certificate reference (used e.g. if the certificate is not stored in the card)
- DO Certificate qualifier (e.g. X.509 certificate, ICC certificate)

- DO PIN usage policy (present if the security service is PIN protected)

Security Service Descriptors

- Indication of supported algorithms, DSI schemas, hash functions
- Indication of user authentication method
- Indication where to find certificates
- Indication of implementation variants
- Support of migration

SSD construction (1)

- For each security service provided by the card exists one or more SSD templates
- Inside an SSS template is one DO mandatory: the DO „command to perform“

- Use e.g. for VERIFY:
  - command class is present
  - PIN reference is present
  - PIN length is present possibly with padding
  - presentation form is present: plain value or with SM

- Use e.g. for CHANGE RD:
  - command class is present
  - PIN reference is present
  - usage option is present, e.g. old PIN required/not required in the command
  - PIN length is present possibly with padding
  - presentation form is present: plain value or with SM

SSD construction (2)

- Use e.g. for digital signature function:
  - the MANAGE SECURITY ENVIRONMENT
    to perform is presented
  - the HASH command, if needed, is presented
  - The PERFORM SECURITY OPERATION command
    is presented for the digital signature compuation
- Different methods for Dig. Sig. Input constructions
  can be denoted by the DO OID or the DO AlgID
  E.g. PKCS#1 or ISO 9796-2 rnd
- The FIDs of related certificate files are given

Working with PKCS#15 (1)

- **The usage of PKCS#15 requires**
  - **selection of DF(PKCS15)**
  - **selection of EF(ODF) for getting the pointer information**
  - **reading EF(ODF)**
  - **selection of EF(AODF) for getting the PIN information**
  - **reading EF(AODF)**
  - **selection of EF (PrKDF) for getting the signature key information**
  - **reading EF(PrKDF)**
  - **selection of EF(CDF) for getting the certificate information**
  - **reading EF(CDF)**
  - **selection of EF(PuKDF) for getting the root CA PuK information**
  - **reading EF(PuKDF)**

Working with PKCS#15 (2)

- **To do this all is not very efficient. Therefor:**
  - Read the information once from the card and store it under a card reference, e.g. the ICC Serial Number ICCSN

  or
  - keep the information outside the card and store in the card the card profile identifier pointing to the outside information

- **Open problem: there is no indication whether the PKCS15 files are**
  - reocrd-oriented or
  - transparent.

File Structure of DF.SIG