

## Section 3.2: Recursively Defined Functions and Procedures

Function: Has **inputs** (“arguments”, “operands”) and **output** (“result”)  
No “side effects”.

Procedure: May have **side effects**, e.g., “print(...)”

*A recursive function (or procedure) calls itself!*

A function  $f$  is **recursively defined** if at least one value of  $f(x)$  is defined in terms of another value,  $f(y)$ , where  $x \neq y$ .

Similarly: a procedure  $P$  is **recursively defined** if the action of  $P(x)$  is defined in terms of another action,  $P(y)$ , where  $x \neq y$ .

When an argument to a function is inductively defined, here is a technique for creating a recursive function definition:

1. Specify a value of  $f(x)$  for each basis element  $x$  in  $S$ .
2. For each inductive rule that defines an element  $x$  in  $S$  in terms of some element  $y$  already in  $S$ , specify rules in the function that compute  $f(x)$  in terms of  $f(y)$ .

**Example:** Find a recursive definition for function  $f: \mathbb{N} \rightarrow \mathbb{N}$  defined by

$$f(n) = 0 + 3 + 6 + \dots + 3n.$$

e.g.,  $f(0) = 0$

$$f(1) = 0 + 3$$

$$f(2) = 0 + 3 + 6$$

**Solution:** Notice that  $\mathbb{N}$  is an inductively defined set:

$$0 \in \mathbb{N}; n \in \mathbb{N} \text{ implies } n+1 \in \mathbb{N}$$

So we need to give  $f(0)$  a value and

we need to define  $f(n+1)$  in terms of  $f(n)$ .

The value for  $f(0)$  should be 0. What about  $f(n+1)$ ?

$$f(n+1) = 0 + 3 + 6 + \dots + 3n + 3(n+1)$$

$$= f(n) + 3(n+1)$$

So here is our (recursive) definition for  $f$ :

$$f(0) = 0$$

$$f(n+1) = f(n) + 3(n+1)$$

We could also write:

$$f(0) = 0$$

$$f(n) = f(n-1) + 3n \text{ for } n > 0$$

Here is a more programming-like definition:

$$f(n) = ( \text{if } n=0 \text{ then } 0 \text{ else } f(n-1)+3n \text{ endIf} )$$

**Example:** Find a recursive definition for

$$\text{cat}: A^* \times A^* \rightarrow A^*$$

defined by  $\text{cat}(s,t) = st$

**Solution:** Notice that  $A^*$  is inductively defined.

Basis:  $\Lambda \in A^*$ ; Induction:  $a \in A$  and  $x \in A^*$  imply  $ax \in A^*$

We can define  $\text{cat}$  recursively using the first argument.

The definition of  $\text{cat}$  gives

$$\text{cat}(\Lambda, t) = \Lambda t = t.$$

For the recursive part we can write

$$\text{cat}(ax, t) = ax t = a(xt) = a \text{cat}(x, t)$$

Here is a definition:

$$\text{cat}(\Lambda, t) = t$$

$$\text{cat}(ax, t) = a \text{cat}(x, t)$$

Here is the if-then-else form:

$$\text{cat}(s, t) = \text{if } s = \Lambda \text{ then } t \text{ else head}(s) \text{cat}(\text{tail}(s), t)$$

**Example:** Find a definition of  $f: \text{lists}(\mathbb{Q}) \rightarrow \mathbb{Q}$  defined by  
$$f(\langle x_1, \dots, x_n \rangle) = x_1 + \dots + x_n$$

**Solution:** Notice that the set  $\text{lists}(\mathbb{Q})$  is defined recursively.

Basis:  $\langle \rangle \in \text{lists}(\mathbb{Q})$

Induction:  $h \in \mathbb{Q}$  and  $t \in \text{lists}(\mathbb{Q})$  imply  $h::t \in \text{lists}(\mathbb{Q})$

To discover a recursive definition, we can use the definition of  $f$  as follows:

$$\begin{aligned} f(\langle x_1, \dots, x_n \rangle) &= x_1 + x_2 + \dots + x_n \\ &= x_1 + (x_2 + \dots + x_n) \\ &= x_1 + f(\langle x_2, \dots, x_n \rangle) \\ &= \text{head}(\langle x_1, \dots, x_n \rangle) + f(\text{tail}(\langle x_1, \dots, x_n \rangle)) \end{aligned}$$

So, here is our recursive definition:

$$f(\langle \rangle) = 0$$

$$f(h::t) = h + f(t)$$

Expressing this in the if-then-else form:

$$f(L) = \mathbf{if} \ L = \langle \rangle \ \mathbf{then} \ 0 \ \mathbf{else} \ \text{head}(L) + f(\text{tail}(L))$$

**Example:** Given  $f: \mathbb{N} \rightarrow \mathbb{N}$  as defined by

$$f(0) = 0$$

$$f(1) = 0$$

$$f(x+2) = 1+f(x)$$

Here is the if-then-else formulation:

$$f(x) = \text{if } (x=0 \text{ or } x=1) \text{ then } 0 \text{ else } 1 + f(x-2)$$

*What exactly does this function do?*

Let's try to get an idea by enumerating a few values.

$$\text{map}(f, \langle 0, 1, 2, 3, 4, 5, 6, 7, 8, 9 \rangle) = \langle 0, 0, 1, 1, 2, 2, 3, 3, 4, 4 \rangle$$

So  $f(x)$  returns the floor of  $x/2$ . That is,  $f(x) = \lfloor x/2 \rfloor$ .

**Example:** Find a recursive definition for the function  $f: \text{lists}(\mathbb{Q}) \rightarrow \mathbb{Q}$  as defined by:

$$f(\langle x_1, \dots, x_n \rangle) = x_1x_2 + x_2x_3 + \dots + x_{n-1}x_n$$

**Approach:**

Let  $f(\langle \rangle) = 0$  and  $f(\langle x \rangle) = 0$ . Then for  $n \geq 2$  we can write:

$$\begin{aligned} f(\langle x_1, \dots, x_n \rangle) &= x_1x_2 + x_2x_3 + \dots + x_{n-1}x_n \\ &= x_1x_2 + (x_2x_3 + \dots + x_{n-1}x_n) \\ &= x_1x_2 + f(\langle x_2, \dots, x_n \rangle) \end{aligned}$$

So here is our recursive definition:

$$\begin{aligned} f(\langle \rangle) &= 0 \\ f(\langle x \rangle) &= 0 \\ f(h::t) &= h \cdot \text{head}(t) + f(t). \end{aligned}$$

We can express this in if-then-else form as:

$$\begin{aligned} f(L) = & \text{if } (L = \langle \rangle \text{ or } \text{tail}(L) = \langle \rangle) \\ & \text{then} \\ & \quad 0 \\ & \text{else} \\ & \quad \text{head}(L) \cdot \text{head}(\text{tail}(L)) + f(\text{tail}(L)) \\ & \text{endIf} \end{aligned}$$

**Example:** Find a recursive definition for the function

**isin** :  $A \times \text{lists}(A) \rightarrow \{\text{true}, \text{false}\}$

where **isin**(x,L) means that x occurs in the list L.

**Solution:**

**isin**(x,<>) = false

**isin**(x,x::t) = true

**isin**(x,y::t) = **isin**(x,t), where  $x \neq y$

Here's the if-then-else form:

```
isin(x,L) = if L=<>
             then
               false
             else
               if x=head(L)
                 then
                   true
                 else
                   isin(x,tail(L))
                 endIf
             endIf
```

**Example:** Find a recursive definition for the function

**isin** :  $A \times \text{lists}(A) \rightarrow \{\text{true}, \text{false}\}$

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**Solution:**

**isin**(x,<>) = false

**isin**(x,x::t) = true

**isin**(x,y::t) = **isin**(x,t), where  $x \neq y$

Here's the if-then-else form:

**isin**(x,L) = **if** L=<>  
                  **then**  
                    false  
                  **else**  
                    x=head(L) or **isin**(x,tail(L))  
                  **endIf**



**Example:** Find a recursive definition for

**sub**: lists(A) × lists(A) → {true,false}

where **sub**(L,M) means the elements of L are elements of M.

**Solution:**

*From Previous Slide*

Here is a pattern-matching solution:

**sub**(<>,M) = true

**sub**(h::t,M) = **if** **isin**(h,M) **then** **sub**(t,M) **else** false

Here is a programmatic (executable) version:

```
sub(L,M) = if L=<>
           then
             true
           else
             if isin(head(L),M)
             then
               sub(tail(L),M)
             else
               false
             endIf
           endIf
```

**Example:** Find a recursive definition for

**intree**:  $\mathbb{Q} \times \text{binSearchTrees}(\mathbb{Q}) \rightarrow \{\text{true}, \text{false}\}$   
where **intree**(x,T) means x is in the binary search tree T.

**Solution:**

**intree**(x,<>) = false

**intree**(x,<L,x,R>) = true

**intree**(x,<L,y,R>) = **if** x<y **then** **intree**(x,L) **else** **intree**(x,R)

Why is this a better definition?

**intree**(x,<>) = false

**intree**(x,<L,y,R>) =  $\begin{cases} \text{true, if } x=y \\ \text{intree}(x,L), \text{ if } x<y \\ \text{intree}(x,R), \text{ if } x>y \end{cases}$

Here is the if-then-else form:

**intree**(x,T) = **if** T=<> **then** false  
**elseif** x=root(T) **then** true  
**elseif** x<root(T) **then** **intree**(x,left(T))  
**else** **intree**(x,right(T))  
**endif**

## Traversing Binary Trees

There are 3 ways to traverse a binary tree.  
Each is defined recursively.

**preorder**(T): if  $T \neq \langle \rangle$  then

**visit root**; **preorder**(left(T)); **preorder**(right(T))

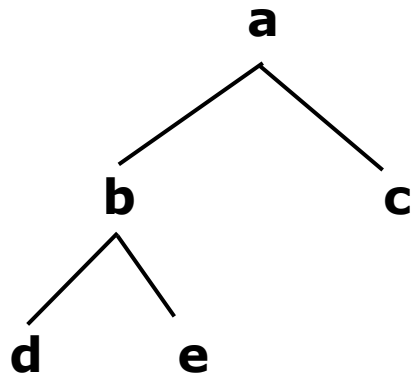
**inorder**(T): if  $T \neq \langle \rangle$  then

**inorder**(left(T)); **visit root**; **inorder**(right(T))

**postorder**(T): if  $T \neq \langle \rangle$  then

**postorder**(left(T)); **postorder**(right(T)); **visit root**

**Example:** Traverse this tree in each of the orders:



### Solution:

pre-order:

in-order:

post-order:

## Traversing Binary Trees

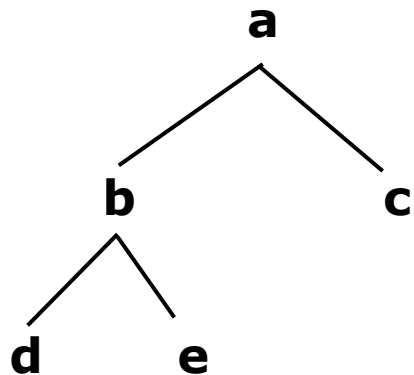
There are 3 ways to traverse a binary tree.  
Each is defined recursively.

**preorder**(T): if  $T \neq \langle \rangle$  then  
    **visit root**; **preorder**(left(T)); **preorder**(right(T))

**inorder**(T): if  $T \neq \langle \rangle$  then  
    **inorder**(left(T)); **visit root**; **inorder**(right(T))

**postorder**(T): if  $T \neq \langle \rangle$  then  
    **postorder**(left(T)); **postorder**(right(T)); **visit root**

**Example:** Traverse this tree in each of the orders:



*The parentheses are not part of the answer, but adding them makes things clearer.*

**Solution:**

pre-order: **a (b d e) (c)**

in-order: **(d b e) a (c)**

post-order: **(d e b) (c) a**

**Example:** Find a recursive definition for  
 $\text{post}: \text{binaryTrees}(A) \rightarrow \text{lists}(A)$   
where  $\text{post}(T)$  is the list of nodes from a post-order traversal of  $T$ .

**Solution:**

$$\text{post}(\langle \rangle) = \langle \rangle$$

$$\text{post}(\langle L, x, R \rangle) = \text{cat}(\text{post}(L), \text{cat}(\text{post}(R), \langle x \rangle))$$

The function  $\text{cat}$  will concatenate two lists, and can be defined as:

$$\text{cat}(\langle \rangle, L) = L$$

$$\text{cat}(h::t, L) = h::\text{cat}(t, L)$$

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**Example:** Find a recursive definition for  
 $\text{sumnodes}: \text{binaryTrees}(\mathbb{Q}) \rightarrow \mathbb{Q}$   
where  $\text{sumnodes}(T)$  returns the sum of the nodes in  $T$ .

**Solution:**

$$\text{sumnodes}(\langle \rangle) = 0$$

$$\text{sumnodes}(\langle L, x, R \rangle) = x + \text{sumnodes}(L) + \text{sumnodes}(R)$$

## Infinite Sequences

We can construct recursive definitions for infinite sequences by defining a value  $f(x)$  in terms of  $x$  and  $f(y)$  for some value  $y$  in the sequence.

**Example:** Suppose we want to define a function  $f$  that returns an infinite sequence. The function  $f$  should return this sequence:

$$f(x) = \langle x^1, x^2, x^4, x^8, x^{16}, \dots \rangle$$

Approach:

Look at the definition and try to find a solution:

$$\begin{aligned} f(x) &= \langle x^1, x^2, x^4, x^8, x^{16}, \dots \rangle \\ &= x :: \langle x^2, x^4, x^8, x^{16}, \dots \rangle \\ &= x :: f(x^2) \end{aligned}$$

So we can define:

$$f(x) = x :: f(x^2)$$

This function returns an infinite sequence.

Q: Of what use is such a function in computing???

A: We can use "*lazy evaluation*": When we need an element from  $f(x)$ , we'll need to evaluate  $f$ . Yes, this is an infinite computation, but we'll do only as much work as necessary to get the element we need.

**Example:** What sequence is defined by  $g(x,k) = x^k :: g(x,k+1)$ ?

**Solution:**  $g(x,k) = x^k :: g(x,k+1)$   
 $= x^k :: x^{k+1} :: g(x,k+2)$   
 $= \langle x^k, x^{k+1}, x^{k+2}, \dots \rangle$

**Example:** How do we obtain the sequence  $\langle x, x^3, x^5, x^7, \dots \rangle$ ?

**Solution:** Define  $f(x) = h(x,1)$   
where  $h(x,k) = x^k :: h(x,k+2)$

**Example:** How do we obtain the sequence  $\langle 1, x^2, x^4, x^6, x^8, \dots \rangle$ ?

**Solution:** Define  $f(x) = h(x,0)$ , where  $h$  is from the previous example.