

## Section 3.1: Inductively Defined Sets

To define a set  $S$  “inductively”, we need to give 3 things:

**Basis:**

Specify one or more elements that are in  $S$ .

**Induction Rule:**

Give one or more rules telling how to construct a new element from an existing element in  $S$ .

**Closure:**

Specify that no other elements are in  $S$ .

(The closure is generally assumed implicitly.)

The basis elements and the induction rules are called **constructors**.

**Example:** Give an inductive definition of  $S = \{3, 7, 11, 15, 19, 23, \dots\}$

**Basis:**

$$3 \in S$$

**Induction:**

$$\text{If } x \in S \text{ then } x + 4 \in S$$

The constructors are “3” and the “add 4” operation.

**Note:** Without the closure part, lots of sets would satisfy this defn.

For example,  $\mathbb{Z}$  works since  $3 \in \mathbb{Z}$  and  $x + 4 \in \mathbb{Z}$ .

**Example:** Find an inductive definition of  
 $S = \{3, 4, 5, 8, 9, 12, 16, 17, 20, 24, 33, \dots\}$

**Solution:** Notice that  $S$  can be written as a union of simpler sets:  
 $S = \{3, 5, 9, 17, 33, \dots\} \cup \{4, 8, 12, 16, 20, \dots\}$

**Basis:**

**Induction:**

---

**Example:** Here is an inductive definition. What does this set look like?

**Basis:**  $2 \in S$

**Induction:**  $x \in S$  implies  $x+3 \in S$  and  $x-3 \in S$

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**Basis:**

$$3, 4 \in S$$

**Induction:**

If  $x \in S$  then

If  $x$  is odd

$$\text{then } 2x-1 \in S$$

$$\text{else } x+4 \in S.$$

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**Solution:**

$$S = \{2, 5, 8, 11, \dots\} \cup \{-1, -4, -7, -10, \dots\} = \{\dots, -10, -7, -4, -1, 2, 5, 8, 11, \dots\}$$

**Example:** Find an inductive definition for

$$S = \{\Lambda, ac, aacc, aaacc, \dots\} = \{ a^n c^n \mid n \in \mathbb{N} \}$$

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**Induction:**

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**Example:** Find an inductive definition for

$$S = \{ a^{n+1} b c^n \mid n \in \mathbb{N} \}$$

**Basis:**

**Induction:**

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**Example:** What set is defined by this inductive definition?

**Basis:**  $a, b \in S$

**Induction:**  $x \in S$  then  $f(x) \in S$ .

**Solution:**

**Example:** Find an inductive definition for

$$S = \{\Lambda, ac, aacc, aaacc, \dots\} = \{ a^n c^n \mid n \in \mathbb{N} \}$$

**Basis:**  $\Lambda \in S$

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**Example:** Find an inductive definition for

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**Basis:**  $ab \in S$

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**Example:** What set is defined by this inductive definition?

**Basis:**  $a, b \in S$

**Induction:**  $x \in S$  then  $f(x) \in S$ .

**Solution:**

$$S = \{a, f(a), f(f(a)), \dots\} \cup \{b, f(b), f(f(b)), \dots\}$$

$$= \{ f^n(a) \mid n \in \mathbb{N} \} \cup \{ f^n(b) \mid n \in \mathbb{N} \}$$

$$= \{ f^n(x) \mid x \in \{a, b\} \text{ and } n \in \mathbb{N} \}$$



**Example:** Describe the set  $S$  defined inductively by:

**Basis:**  $\langle 0 \rangle \in S$

**Induction:**  $x \in S$  implies  $\text{cons}(1,x) \in S$ .

**Solution:**  $S = \{ \langle 0 \rangle, \langle 1,0 \rangle, \langle 1,1,0 \rangle, \langle 1,1,1,0 \rangle, \dots \}$

## Giuseppe Peano's Definition of The Set of Natural Numbers, $\mathbb{N}$ :

Define the "successor" function, **succ**.

**Basis:**  $0 \in \mathbb{N}$

**Induction:** If  $x \in \mathbb{N}$  then  $\text{succ}(x) \in \mathbb{N}$ .

**Closure:** There are no other elements in  $\mathbb{N}$ .

$\mathbb{N} = \{ 0, \text{succ}(0), \text{succ}(\text{succ}(0)), \text{succ}(\text{succ}(\text{succ}(0))), \dots \}$

## List Functions:

**head** ( $\langle a,b,c,d \rangle$ ) =  $a$

**tail** ( $\langle a,b,c,d \rangle$ ) =  $\langle b,c,d \rangle$

**cons** ( $a, \langle b,c,d \rangle$ ) =  $\langle a,b,c,d \rangle$

## Notation:

$\text{cons}(x,y)$  can be written with the infix operator  $::$

$x :: y$

Examples:

$a :: \langle b,c,d \rangle = \langle a,b,c,d \rangle$

$a :: (b :: (c :: \langle \rangle)) = \langle a,b,c \rangle$

$\langle a,b \rangle :: \langle c,d \rangle = \langle \langle a,b \rangle, c,d \rangle$

Most operators are **left-associative**:

$x \div y \div z = (x \div y) \div z$

Assume that  $::$  is **right-associative**:

$x :: y :: z = x :: (y :: z)$

So:

$a :: b :: c :: \langle \rangle = \langle a,b,c \rangle$

**Example:** Find an inductive definition for

$$S = \{ \langle \rangle, \langle a, b \rangle, \langle a, b, a, b \rangle, \dots \}$$

**Solution:**

**Basis:**

**Inductive Step:**

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# Binary Trees

The set of binary trees  $B$  is defined as follows:  
(Assume  $A$  is an alphabet: the labels for the nodes.)

**Basis:**  $\langle \rangle \in B$

**Induction:** If  $L, R \in B$  and  $x \in A$  then  $\langle L, x, R \rangle \in B$ .

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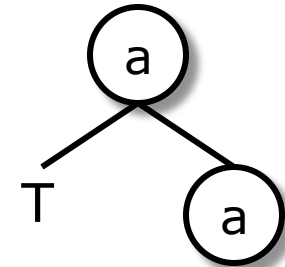
**Example:** Here is a set  $S$ , which is a subset of  $B$ . What is in  $S$ ?

**Basis:**  $\langle \langle \rangle, a, \langle \rangle \rangle \in S$

**Induction:**  $T \in S$  implies  $\langle T, a, \langle \langle \rangle, a, \langle \rangle \rangle \rangle \in S$ .

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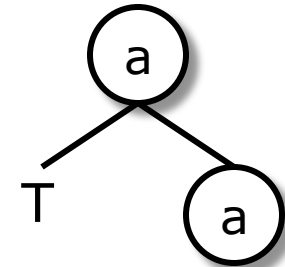
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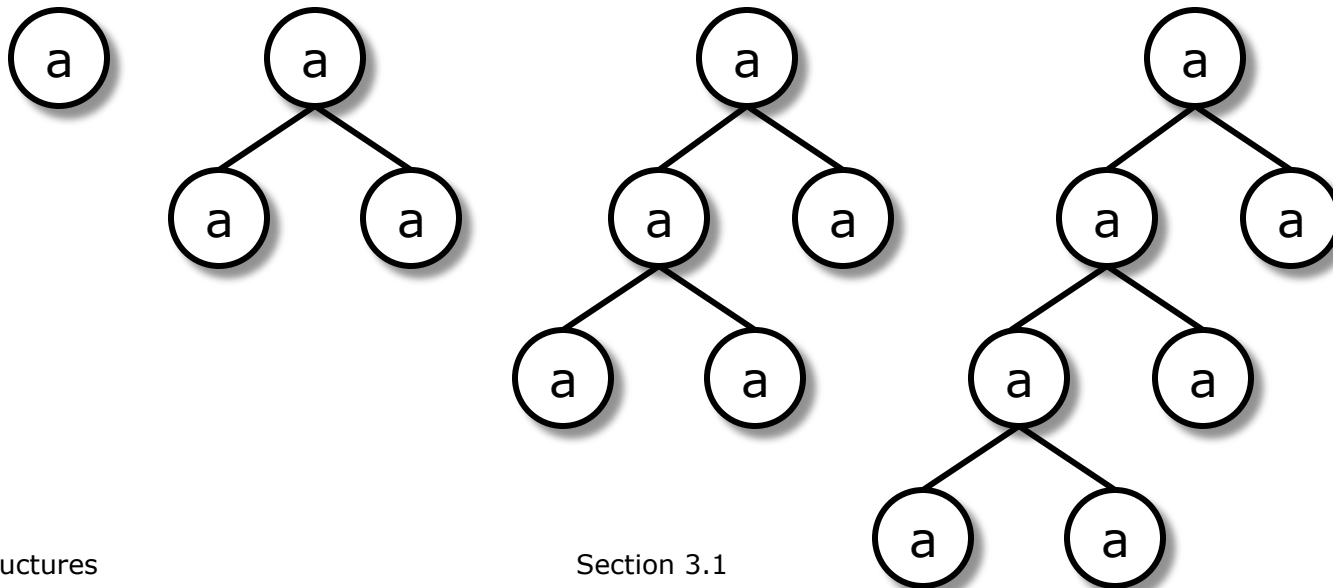
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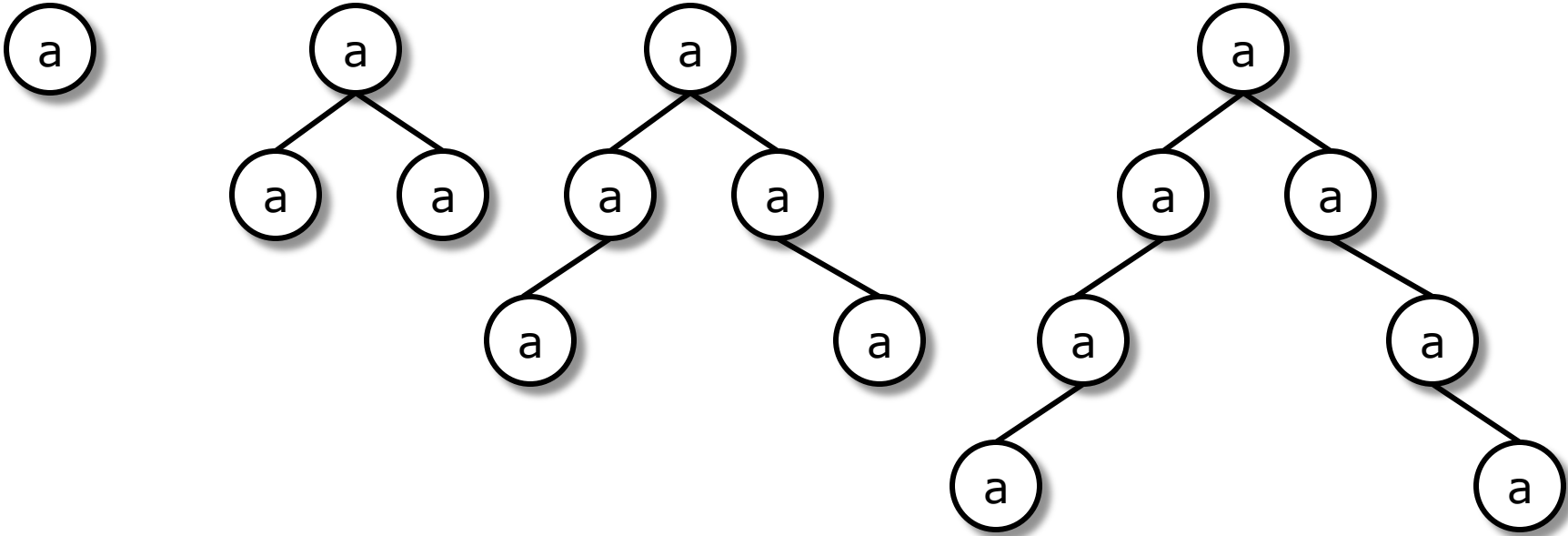
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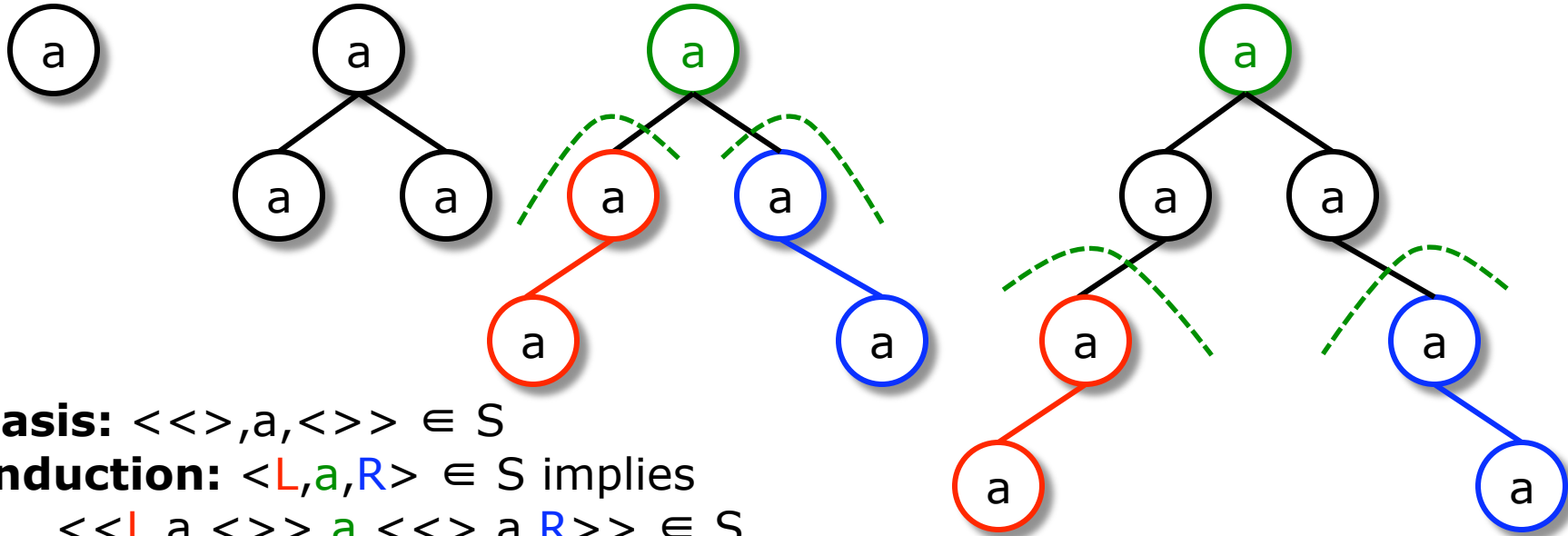




**Problem:** Find an inductive definition of the set S of trees like this:



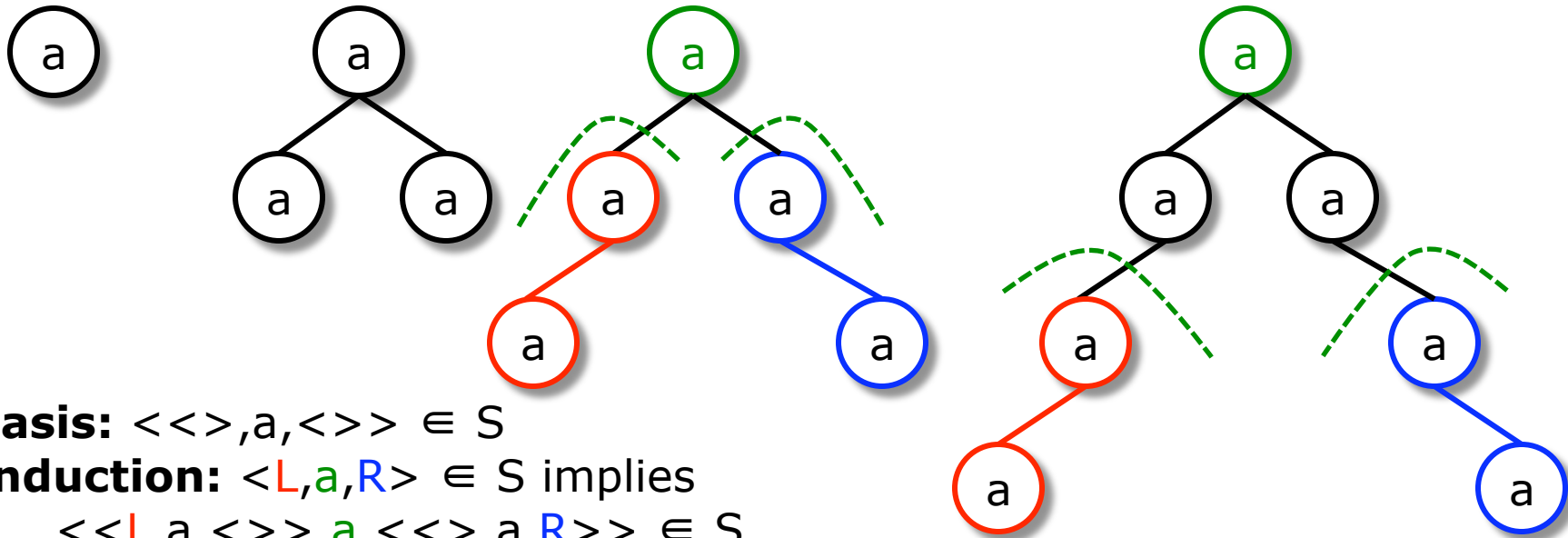
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Define function **left, right:** Trees  $\rightarrow$  Trees as

**left** ( $\langle L, a, R \rangle$ ) = L

and

**right** ( $\langle L, a, R \rangle$ ) = R

**Revised Inductive Step:**  $T \in S$  implies

$\langle \langle \text{left}(T), a, \langle \rangle \rangle, a, \langle \langle \rangle, a, \text{right}(T) \rangle \rangle \in S$

**Example:** Find an inductive definition for the set  $S = \{a\}^* \times \mathbb{N}$ .

**Solution:**

**Basis:**

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**Solution:**

**Basis:**  $(\Lambda, 0) \in S$

**Induction:**  $(s, n) \in S$  implies  $(as, n) \in S$  and  $(s, n+1) \in S$ .

**Example:** Find an inductive definition for the set

$$S = \{(x, -y) \mid x, y \in \mathbb{N} \text{ and } x \geq y\}$$

Let's try to understand  $S$  by writing out some tuples:

Here is a graphical  
representation of  $S$ :

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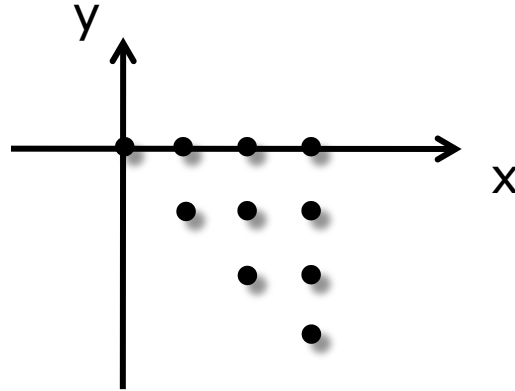
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$(0,0), (1,0), (1,-1), (2,0), (2,-1), (2,-2),$  and so on

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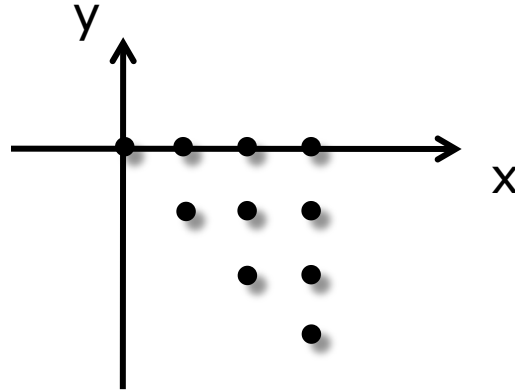
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**Basis:**  $(0,0) \in S$

**Induction:**  $(x,y) \in S$

implies  $(x+1,y) \in S$

and  $(x+1,y-1) \in S$

Notice that the inductive step will construct some points two ways.

$$(1,-1) \rightarrow (2,-1)$$

$$(1,0) \rightarrow (2,-1)$$



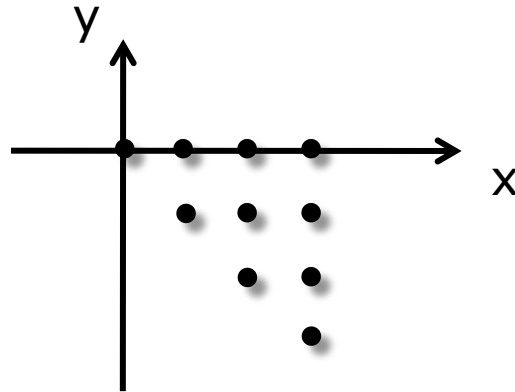
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**In-Class Quiz:** Try to find a solution that does not construct repeated points.

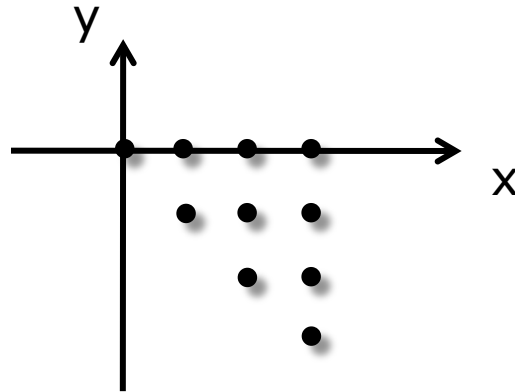
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**In-Class Quiz:** Try to find a solution that does not construct repeated points.

Approach: Construct the diagonal. Then construct horizontal lines.

**Basis:**  $(0,0) \in S$

**Induction:**  $(x,-x) \in S$  implies  $(x+1, -(x+1)) \in S$

$(x,y) \in S$  implies  $(x+1,y) \in S$