

6.1 THE RECURSION THEOREM

CAN WE EVER REALLY
KNOW OURSELVES?

YOUR BRAIN HAS 10 BILLION NEURONS.

IS IT POSSIBLE TO KNOW/
REMEMBER/REPRESENT ALL
THOSE NEURAL CONNECTIONS
IN THAT SAME BRAIN?

ON AVERAGE, YOU HAVE LESS THAN
1 NEURON TO USE TO STORE
THE INFO ABOUT EACH NEURON...

CAN A PROGRAM EVER KNOW/
REPRESENT/PROCESS ON ITSELF?

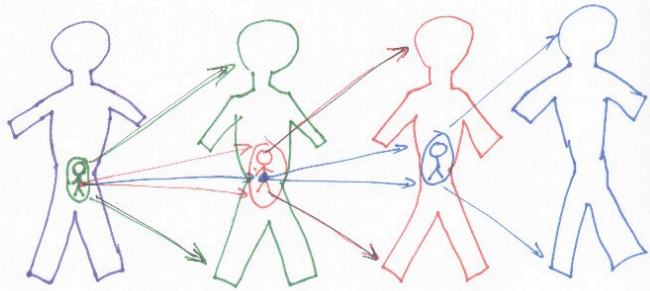
YES!

A PARADOX IN THE EARLY DAYS
OF BIOLOGY:

FROM ONE ANIMAL, IS BORN ANOTHER
"COPY."

EACH PARENT CONTAINS ~~A~~ SOME
TINY, TINY LITTLE PEOPLE, WHO
MERELY GROW INTO FULL INDIVIDUALS.

BUT WHAT ABOUT THEIR CHILDREN?



.... NAH!

COMPUTER VIRUSES.

MUST MAKE A COPY OF THEMSELVES.
FOR SIMPLICITY, JUST IMAGINE
PRINTING A COPY OF YOURSELF.

APPROACH #1

USE A POINTER, P.
MAKE P POINT TO FIRST
BYTE OF THE CODE
FOR 157 TIMES...
PRINT *P
P ← P+1
END

COUNTER MEASURE:

THE O.S. FLAGS MEMORY
* EXECUTABLE
* READ/WRITE.
THE MACHINE PREVENTS ALL
PROGRAMS FROM "READING"
CODE BYTES.

SOLUTION USED
BY BIOLOGICAL
LIFE:
DNA: "CODE" WHICH
IS "EXECUTED" TO
PRODUCE PROTEINS
DNA: USED AS
"DATA" WHEN DNA IS
COPIED.

APPROACH #2

RECURSION THEOREM
COMPUTER VIRUSES.

GOAL: WRITE A PROGRAM
THAT PRINTS ITSELF.

OUR PROGRAMMING LANGUAGE?

VARIABLES, ASSIGNMENT

STRINGS

PRINT STATEMENTS.

```
x ← 'world'  
PRINT 'Hello'  
PRINT x
```

TURING MACHINES:
The tape is used
as MEMORY.

MODERN COMPUTERS:
Variables are
used for
MEMORY.

WE'LL IGNORE

* PRINTING NEWLINES

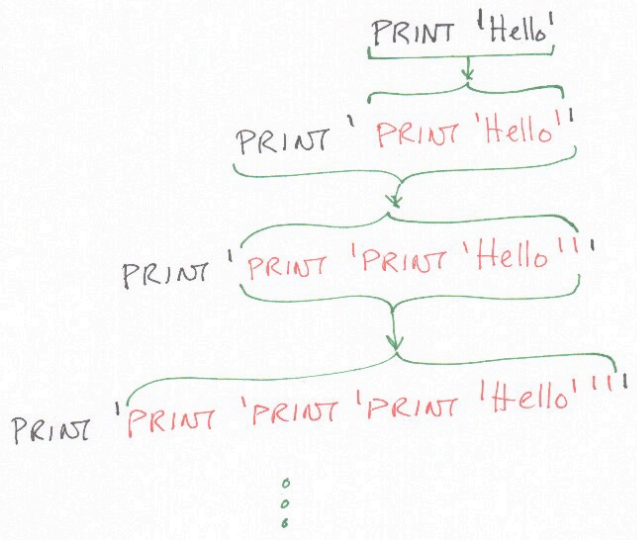
* ESCAPING QUOTES.

'4 o'clock'

'4 o'clock'

9H4 o'clock
9 chars

PROGRAMS THAT PRINT THEMSELVES
ARE CALLED "QUINES".



STEP 1:

X ← ' _____ ' ?

PRINT X

STEP 2:

X ← ' _____ ' ?

PRINT 'X ← ''

PRINT X

PRINT '''

STEP 3:

X ← ' _____ ' ?
PRINT 'X ← ''
PRINT X
PRINT '''
PRINT X

STEP 2

STEP 4:

X ← 'PRINT 'X←'' PRINT X PRINT ''' PRINT X'

PRINT 'X←''
PRINT X
PRINT '''
PRINT X

EXECUTING THIS:

• X ← 'PRINT 'X←'' PRINT X PRINT ''' PRINT X'
PRINT 'X←''
PRINT X
PRINT '''
PRINT X

IN THE "C" LANGUAGE

Note: ASCII 34 = double quote char(")

```
printf("Hello %c %s %c", 34, "world", 34);
```

Hello "world"

```
main () {
```

```
char *x = " ";
```

```
printf(x, 34, x, 34);
```

```
}
```

```
main () {
```

```
char *x = "c s c";
```

```
printf(x, 34, x, 34);
```

```
}
```


APPROACH TO IMPLEMENTING
"QUINE" ON A T.M.

BREAK THE TASK INTO
2 STEPS.

A really long
string of
0's and 1's

STEP A:

X ← ' <step B> '

STEP B:

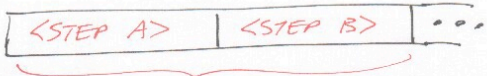
PRINT OUT <STEP A>
(We get to use X!)

PRINT OUT <STEP B>
(We get to use X!)

GOALS:

EACH STEP IS A T.M./SUBROUTINE.
EXECUTE STEP A, THEN STEP B.

THIS SHOULD WRITE OUT



OUR "QUINE" TM.

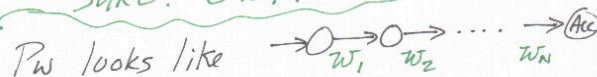
Let P_w be a Turing Machine that prints out w .

P_{10110} writes 10110 on the tape.

Let $\langle P_w \rangle$ be the representation of Turing Machine P_w

Given a string w , could you build a T.M. to write out w ?

SURE! EASY!



This task is clearly computable.

A computable function g does it

$$g: \Sigma^* \rightarrow \Sigma^*$$

$$g(w) \rightarrow \langle P_w \rangle$$

Given a string

Representation of a simple T.M. to write w on the tape.

□

STEP A:

Write a long string on the tape.
Call this string X .

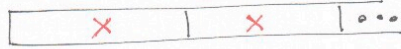
The string will turn out to be $\langle \text{STEP B} \rangle$
We don't know the string yet.
We can't finish coding STEP A yet.
Once we know X , we can easily
finish coding step A.

IN FACT, WE CAN JUST USE $g(x)$ TO
DO THE ENTIRE CODING OF STEP A,
ONCE WE KNOW X !!

STEP B:

WHEN IT STARTS, THE TAPE
CONTAINS A LONG STRING X .

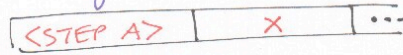
MAKE A COPY OF X :



USE g AS A SUBROUTINE.

CALL IT ON X TO COMPUTE $g(X)$

Note: $g(X) = \langle \text{STEP A} \rangle$



AND NOW THE MAGIC!

LET X BE THE DESCRIPTION OF
STEP B. $X = \langle \text{STEP B} \rangle$

OUR TAPE CONTAINS:



WE ARE DONE CODING STEP B.
WE NOW KNOW $\langle \text{STEP B} \rangle$.
GO BACK AND FINISH UP
CODING STEP A.

OPERATIONS YOU MIGHT DO ON A T.M.

- * COUNT THE NUMBER OF STATES.
- * CHECK TO SEE IF ACCEPT IS EVEN REACHABLE FROM INITIAL STATE.
- * CHECK TO SEE IF THE T.M. ACCEPTS ~~W~~ w .
- * etc.

APPROACH:

Build a TM to do this

$$t(\langle M \rangle, w)$$

Perhaps you'd like to run
this TM on itself:

$$t(\langle t \rangle, w)$$

⇒ You have to pass t its own
description.

Is there any other way?

YES

You can build a T.M. r that does exactly what t would do if passed a description of itself.

$$r(w) = t(\langle r \rangle, w)$$

RECURSION THEOREM

If you can build t , then there exists another TM that does the same thing but COMPUTES ITS OWN DESCRIPTION instead of having to take it as an input.

RECURSION THEOREM

Let T be some Turing machine that computes some function t .

$$t: \Sigma^* \times \Sigma^* \rightarrow \Sigma^* \quad / \quad t(\langle M \rangle, w)$$

Then there will always exist another Turing Machine R that does the same thing as t when t is applied to a description of itself.

That is R computes ~~the~~ function

$$r: \Sigma^* \rightarrow \Sigma^*$$

and for every $w \dots$

$$r(w) = t(\langle R \rangle, w)$$

BOTTOM LINE

Whenever we are specifying
a Turing Machine algorithm,
We can say

Obtain, via the recursion theorem,
a description of self, $\langle \text{SELF} \rangle$.

Or, more concisely,

$X \leftarrow \langle \text{SELF} \rangle$

THE QUINE PROGRAM (SHORT VERSION):

```
X ← ⟨SELF⟩  
PRINT X
```

The recursion theorem says:
this is an entirely legal
T.M. program.

THEOREM (PREVIOUSLY PROVEN)

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a T.M. that ACCEPTS } w \}$$

IS UNDECIDABLE

NEW PROOF

Assume H decides A_{TM} .

CONSTRUCT MACHINE B :

INPUT: w

$X \leftarrow \langle B \rangle$

GET $\langle \text{SELF} \rangle$
via RECURSION
THEOREM

Run H on $\langle B \rangle, w$

Do the opposite:

if H ACCEPTS THEN REJECT

if H REJECTS THEN ACCEPT

Running B on input w does the opposite of what H says ~~H~~ B does. Therefore H is wrong. H can't be deciding A_{TM} .

THE "SIZE" OF A TURING MACHINE:

$|<M>|$ = Number of symbols in
the description of M .

DEFINITION

A Turing Machine M is "MINIMAL"
if there is no Turing machine
equivalent to M with a
shorter description.

What about the set of
MINIMAL Turing Machines?

THEOREM

The set

$$\text{MIN}_{\text{TM}} = \{ \langle M \rangle \mid M \text{ is a "minimal" Turing Machine} \}$$

is NOT TURING-RECOGNIZABLE.

PROOF

Assume MIN_{TM} is Turing Recognizable.

Then \exists an enumerator E
that will list them out.

Use E to construct a new
machine "C", as follows:

C

INPUT: w

ALGORITHM:

Obtain, via Recursion Theorem, a
description of self, $\langle C \rangle$.

Run E until ~~it~~ it prints
out a machine D with
a longer description than C .

Simulate D on w .

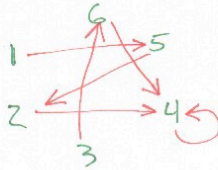
MIN_{TM} is infinite, so we'll eventually
find a machine D which is longer.
 C simulates D ; therefore they are equivalent.
 D cannot be minimal
CONTRADICTION!

DEFINITION

A "FIXED POINT" OF A FUNCTION IS A VALUE THAT IS UNCHANGED BY REPEATED APPLICATIONS OF THE FUNCTION.

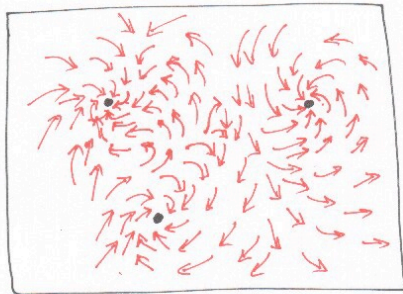
Example

x	$f(x)$
1	5
2	4
3	6
4	4
5	2
6	4



Example

SPACE OF VALUES
FUNCTION $\bullet \rightarrow \bullet$



FUNCTIONS: Computable Transformations.

VALUES: Turing Machine Descriptions.

ANOTHER VERSION OF THE RECURSION
THEOREM (The "Fixed Point" Version)

For any transformation function
~~from~~ on Turing Machines,
There will always exist a
Turing Machine which is
unchanged by the Transformation.

THEOREM

Let t be any computable function

$$t: \Sigma^* \rightarrow \Sigma^*$$

[We can apply t to descriptions of Turing Machines: $t(\langle M \rangle)$]

Then there is a Turing Machine F such that

$t(\langle F \rangle)$ is equivalent to F .

PROOF

Let F be the following TM:

INPUT: w

ALGORITHM:

OBTAIN DESCRIPTION OF SELF, $\langle F \rangle$.

COMPUTE $t(\langle F \rangle)$. TO OBTAIN

A NEW Turing Machine, G

SIMULATE G on w .

G and F are equivalent.

$$\langle G \rangle = t(\langle F \rangle)$$

So $\langle F \rangle$ and $t(\langle F \rangle)$ are equivalent.

□