

# Semantic Type Qualifiers

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This technical report provides the formal details of our framework for semantic type qualifiers, which is described in a PLDI 2005 paper of the same name. We defer to that paper for the high-level description of the framework. This report formalizes the case when all user-defined type qualifiers are *value* qualifiers. Sections 1 and 2 respectively formalize the syntax and semantics of our formal language, and section 3 presents a proof of “semantic” soundness of the language’s type system.

## 1 Syntax

The language is a fairly standard simply-typed lambda calculus, augmented with references and user-defined type qualifiers. For convenience, we separate side-effect-free expressions (called *expressions*) from potentially side-effecting expressions (called *statements*). This separation causes no loss of expressiveness.

<i>Stmts</i>	$s ::= e \mid s_1 \ s_2 \mid \mathbf{let} \ x = s_1 \ \mathbf{in} \ s_2 \mid \mathbf{ref} \ s \mid s_1 := s_2$
<i>Exprs</i>	$e ::= c \mid () \mid x \mid \lambda x. s \mid !e$
<i>Consts</i>	$c ::=$ integer constants
<i>Vars</i>	$x ::=$ variable names
<i>Types</i>	$\tau ::= \mathbf{unit} \mid \mathbf{int} \mid \tau_1 \rightarrow \tau_2 \mid \mathbf{ref} \ \tau \mid q\tau$
<i>Qualifiers</i>	$q ::=$ user-defined value qualifiers

We restrict the above syntax of types slightly: for any type containing a component of the form  $q\tau$ , we require that  $\tau$  not be of the form  $\tau_1 \rightarrow \tau_2$ . This restriction is consistent with our implementation of semantic type qualifiers for C, and it makes the soundness proof cleaner. Note that types such as  $(q\tau_1) \rightarrow (q'\tau_2)$  and  $q(\mathbf{ref} (\tau_1 \rightarrow \tau_2))$  are still supported.

We also need a notion of *values*, which are the legal results of expressions:

<i>Values</i>	$v ::= c \mid () \mid \lambda x. s \mid l$
<i>Locations</i>	$l ::=$ location constants (i.e., addresses)

Note that locations are not directly available at source level.

## 2 Semantics

### 2.1 Static Semantics

The base type system is standard and is defined by the following rules. As usual, metavariable  $\Gamma$  ranges over *type environments*, which are finite functions from variable names to types. Also, we assume that bound variables are  $\alpha$ -renamed as necessary.

$$\boxed{\Gamma \vdash s : \tau}$$

$$\frac{\Gamma \vdash s_1 : \tau_2 \rightarrow \tau \quad \Gamma \vdash s_2 : \tau_2}{\Gamma \vdash s_1 s_2 : \tau} \text{T-APP} \quad \frac{\Gamma \vdash s_1 : \tau_1 \quad \Gamma, x : \tau_1 \vdash s_2 : \tau}{\Gamma \vdash \mathbf{let } x = s_1 \mathbf{ in } s_2 : \tau} \text{T-LET}$$

$$\frac{\Gamma \vdash s : \tau}{\Gamma \vdash \mathbf{ref } s : \mathbf{ref } \tau} \text{T-REF} \quad \frac{\Gamma \vdash s_1 : \mathbf{ref } \tau \quad \Gamma \vdash s_2 : \tau}{\Gamma \vdash s_1 := s_2 : \mathbf{unit}} \text{T-ASSGN}$$

$$\frac{}{\Gamma \vdash c : \mathbf{int}} \text{T-INT} \quad \frac{}{\Gamma \vdash () : \mathbf{unit}} \text{T-UNIT} \quad \frac{\Gamma(x) = \tau}{\Gamma \vdash x : \tau} \text{T-VAR}$$

$$\frac{\Gamma, x : \tau_1 \vdash s : \tau_2}{\Gamma \vdash \lambda x. s : \tau_1 \rightarrow \tau_2} \text{T-FUN} \quad \frac{\Gamma \vdash e : \mathbf{ref } \tau}{\Gamma \vdash !e : \tau} \text{T-DEREF}$$

In addition to these rules, users can provide a set of *introduction* rules for value-qualified types. Each rule is assumed to match the following template:

$$\frac{\Gamma \vdash e : \tau \quad \Gamma \vdash e_1 : q_1 \tau_1 \quad \dots \quad \Gamma \vdash e_n : q_n \tau_n \quad \text{each } e_i \text{ is a subexpression of } e}{\Gamma \vdash e : q\tau} \text{T-QUALCASE}$$

This template formalizes the **case** rules in our implementation for C. For example, here is an introduction rule indicating that the product of two positive expressions is also positive.

$$\frac{\Gamma \vdash e_1 * e_2 : \bar{q}(\mathbf{int}) \quad \Gamma \vdash e_1 : \mathbf{pos } \mathbf{int} \quad \Gamma \vdash e_2 : \mathbf{pos } \mathbf{int}}{\Gamma \vdash e_1 * e_2 : \mathbf{pos } \bar{q}(\mathbf{int})} \text{POSMULT}$$

In addition to the user-defined rules of the form specified by T-QUALCASE, we provide a “base case” introduction rule for all qualified types. We assume that the definition of each qualifier  $q$  includes a unary predicate  $[[q]]$  on values, which is used below to formalize a qualifier’s semantics. The base case says that any value satisfying  $[[q]]$  may have a type qualified with  $q$ :

$$\frac{[[q]](v) \quad \Gamma \vdash v : \tau}{\Gamma \vdash v : q\tau} \text{T-QUALVAL}$$

This natural rule facilitates the proof of our soundness theorem, described below.

Finally, we include a subsumption rule and an associated subtyping relation for types, defined by the following rules:

$$\frac{\Gamma \vdash s : \tau' \quad \tau' \leq \tau}{\Gamma \vdash s : \tau} \text{T-SUB}$$

$$\boxed{\tau \leq \tau'}$$

$$\begin{array}{c} \frac{}{q\tau \leq \tau} \text{SUBVALQUAL} \quad \frac{}{q_1q_2\tau \leq q_2q_1\tau} \text{SUBQUALREORDER} \\ \\ \frac{}{\tau \leq \tau} \text{SUBREF} \quad \frac{\tau \leq \tau'' \quad \tau'' \leq \tau'}{\tau \leq \tau'} \text{SUBTRANS} \\ \\ \frac{\tau'_1 \leq \tau_1 \quad \tau_2 \leq \tau'_2}{\tau_1 \rightarrow \tau_2 \leq \tau'_1 \rightarrow \tau'_2} \text{SUBFUN} \end{array}$$

## 2.2 Dynamic Semantics

The dynamic semantics describes how to evaluate programs. Metavariable  $\sigma$  ranges over *stores*, which are finite functions from locations to values. We define an abstract machine for our language. A machine configuration  $\langle \sigma, s \rangle$  is a pair of a store and a statement to be evaluated. The steps of the machine are defined by the following inference rules:

$$\boxed{\langle \sigma, s \rangle \rightarrow \langle \sigma', v \rangle}$$

$$\begin{array}{c} \frac{\langle \sigma, s_1 \rangle \rightarrow \langle \sigma_1, \lambda x.s \rangle \quad \langle \sigma_1, s_2 \rangle \rightarrow \langle \sigma_2, v_2 \rangle \quad \langle \sigma_2, s[x \mapsto v_2] \rangle \rightarrow \langle \sigma', v \rangle}{\langle \sigma, s_1 s_2 \rangle \rightarrow \langle \sigma', v \rangle} \text{E-APP} \\ \\ \frac{\langle \sigma, s_1 \rangle \rightarrow \langle \sigma_1, v_1 \rangle \quad \langle \sigma_1, s_2[x \mapsto v_1] \rangle \rightarrow \langle \sigma_2, v_2 \rangle}{\langle \sigma, \text{let } x = s_1 \text{ in } s_2 \rangle \rightarrow \langle \sigma_2, v_2 \rangle} \text{E-LET} \\ \\ \frac{\langle \sigma, s \rangle \rightarrow \langle \sigma', v \rangle \quad l \text{ fresh in } \sigma'}{\langle \sigma, \text{ref } s \rangle \rightarrow \langle \sigma'[l \mapsto v], l \rangle} \text{E-REF} \\ \\ \frac{\langle \sigma, s_1 \rangle \rightarrow \langle \sigma_1, l \rangle \quad \langle \sigma_1, s_2 \rangle \rightarrow \langle \sigma_2, v \rangle \quad l \in \text{dom}(\sigma_1)}{\langle \sigma, s_1 := s_2 \rangle \rightarrow \langle \sigma_2[l \mapsto v], () \rangle} \text{E-ASSGN} \\ \\ \frac{\langle \sigma, e \rangle \rightarrow v}{\langle \sigma, e \rangle \rightarrow \langle \sigma, v \rangle} \text{E-EXPR} \end{array}$$

$$\boxed{\langle \sigma, e \rangle \rightarrow v}$$

$$\frac{}{\langle \sigma, v \rangle \rightarrow v} \text{E-VAL} \quad \frac{\langle \sigma, e \rangle \rightarrow l \quad \sigma(l) = v}{\langle \sigma, !e \rangle \rightarrow v} \text{E-DEREF}$$

## 3 Soundness

We use a qualifier  $q$ 's associated predicate  $[[q]]$  to formalize a local soundness condition on user-defined type rules. This formalization makes use of an overloading of the  $[[q]]$  notation that lifts these predicates from values to arbitrary expressions:

$$[[q]](\sigma, e, v) \equiv (\langle \sigma, e \rangle \rightarrow v \Rightarrow [[q]](v))$$

**Definition 3.1** A type rule matching the template T-QUALCASE is *locally sound* if the following proof obligation is true:

$$\forall \sigma, v_1, \dots, v_n, v. ([[q_1]](\sigma, e_1, v_1) \wedge \dots \wedge [[q_n]](\sigma, e_n, v_n)) \Rightarrow [[q]](\sigma, e, v)$$

Intuitively, (global) soundness means that, if all user-defined type rules are locally sound, then any well-typed program fragment will satisfy its qualifiers' invariants at run time. We formalize this notion of type soundness via a few auxiliary definitions.

$$\boxed{\Gamma; \tau \vdash \langle \sigma, v \rangle}$$

$$\frac{}{\Gamma; \text{int} \vdash \langle \sigma, c \rangle} \text{Q-INT} \quad \frac{}{\Gamma; \text{unit} \vdash \langle \sigma, () \rangle} \text{Q-UNIT} \quad \frac{\Gamma \vdash \lambda x.s : \tau_1 \rightarrow \tau_2}{\Gamma; \tau_1 \rightarrow \tau_2 \vdash \langle \sigma, \lambda x.s \rangle} \text{Q-FUN}$$

$$\frac{\Gamma \vdash l : \text{ref } \tau \quad \Gamma; \tau \vdash \langle \sigma, \sigma(l) \rangle \quad l \in \text{dom}(\sigma)}{\Gamma; \text{ref } \tau \vdash \langle \sigma, l \rangle} \text{Q-REF}$$

$$\frac{[[q]](v) \quad \Gamma; \tau \vdash \langle \sigma, v \rangle}{\Gamma; q\tau \vdash \langle \sigma, v \rangle} \text{Q-QUAL}$$

The relation  $\Gamma; \tau \vdash \langle \sigma, v \rangle$  represents *semantic conformance* of a value to a type. Intuitively,  $\Gamma; \tau \vdash \langle \sigma, v \rangle$  holds if  $\Gamma \vdash v : \tau$  and  $v$  additionally satisfies all of the associated invariants for qualifiers in  $\tau$ . The first three rules are the standard typechecking rules for integers, unit, and functions, respectively. Rule Q-QUAL checks that a value of qualified type satisfies the qualifier's invariant. Rule Q-REF checks that a location  $l$  is well-typed and recursively checks semantic conformance of the value that  $l$  points to in the given store. For purposes of the static semantics we treat locations as variables.

Next we lift this notion of semantic conformance to a relation between a store and a type environment:

**Definition 3.2** We say that  $\Gamma \sim \sigma$  if both of the following conditions hold:

1.  $\text{dom}(\Gamma) = \text{dom}(\sigma)$
2.  $\forall l \in \text{dom}(\Gamma). (\Gamma; \Gamma(l) \vdash \langle \sigma, l \rangle)$

In other words,  $\Gamma \sim \sigma$  if every memory location is well typed and satisfies its qualifiers' invariants.

Finally we can state our type soundness theorem, which is a variant of the standard type preservation theorem:

**Theorem 3.1** If  $\Gamma \sim \sigma$  and  $\Gamma \vdash s : \tau$  and  $\langle \sigma, s \rangle \rightarrow \langle \sigma', v \rangle$  and all user-defined type rules are locally sound, then there exists some  $\Gamma' \supseteq \Gamma$  such that  $\Gamma' \sim \sigma'$  and  $\Gamma'; \tau \vdash \langle \sigma', v \rangle$ .

To prove this theorem, it is helpful to make use of (un)reachability properties of well-formed stores. The following judgment and associated inference rules formalize when a value cannot reach a location through a given store.

$$\boxed{\sigma \vdash v \not\rightsquigarrow l}$$

$$\frac{}{\sigma \vdash c \not\rightsquigarrow l} \text{UNREACHINT} \quad \frac{}{\sigma \vdash () \not\rightsquigarrow l} \text{UNREACHUNIT} \quad \frac{}{\sigma \vdash \lambda x.s \not\rightsquigarrow l} \text{UNREACHFUN}$$

$$\frac{l' \neq l \quad l' \in \text{dom}(\sigma) \Rightarrow \sigma \vdash \sigma(l') \not\rightsquigarrow l}{\sigma \vdash l' \not\rightsquigarrow l} \text{ UNREACHLOC}$$

Finally we prove Theorem 3.1:

**Proof** By induction on the depth of the derivation of  $\langle \sigma, s \rangle \rightarrow \langle \sigma', v \rangle$ . Case analysis of the last rule used in the derivation.

- **E-APP:** Then  $s = s_1 s_2$  and  $\langle \sigma, s_1 \rangle \rightarrow \langle \sigma_1, \lambda x.s' \rangle$  and  $\langle \sigma_1, s_2 \rangle \rightarrow \langle \sigma_2, v_2 \rangle$  and  $\langle \sigma_2, s'[x \mapsto v_2] \rangle \rightarrow \langle \sigma', v \rangle$ . We prove this case by induction on the depth of the derivation of  $\Gamma \vdash s : \tau$ . Case analysis of the last rule used in the derivation.
  - **T-APP:** Then  $\Gamma \vdash s_1 : \tau_2 \rightarrow \tau$  and  $\Gamma \vdash s_2 : \tau_2$ . By (outer) induction there exists  $\Gamma_1 \supseteq \Gamma$  such that  $\Gamma_1 \sim \sigma_1$  and  $\Gamma_1; \tau_2 \rightarrow \tau \vdash \langle \sigma_1, \lambda x.s' \rangle$ . Since  $\Gamma \vdash s_2 : \tau_2$ , by Lemma 3.6 also  $\Gamma_1 \vdash s_2 : \tau_2$ . Then by (outer) induction there exists  $\Gamma_2 \supseteq \Gamma_1$  such that  $\Gamma_2 \sim \sigma_2$  and  $\Gamma_2; \tau_2 \vdash \langle \sigma_2, v_2 \rangle$ .  
Since  $\Gamma_1; \tau_2 \rightarrow \tau \vdash \langle \sigma_1, \lambda x.s' \rangle$ , by Q-FUN also  $\Gamma_1 \vdash \lambda x.s' : \tau_2 \rightarrow \tau$ , so by Lemma 3.6 we have  $\Gamma_2 \vdash \lambda x.s' : \tau_2 \rightarrow \tau$ . Then by Lemma 3.12 we have  $\Gamma_2, x : \tau'_2 \vdash s' : \tau'$ , where  $\tau_2 \leq \tau'_2$  and  $\tau' \leq \tau$ . Then by T-SUB also  $\Gamma_2, x : \tau'_2 \vdash s' : \tau$ . Since  $\Gamma_2; \tau_2 \vdash \langle \sigma_2, v_2 \rangle$  and  $\tau_2 \leq \tau'_2$ , by Lemma 3.4 also  $\Gamma_2; \tau'_2 \vdash \langle \sigma_2, v_2 \rangle$ . So we have  $\Gamma_2, x : \tau'_2 \vdash s' : \tau$  and  $\Gamma_2; \tau'_2 \vdash \langle \sigma_2, v_2 \rangle$ , and by Lemma 3.2 also  $\Gamma_2 \vdash s'[x \mapsto v_2] : \tau$ . Since also  $\Gamma_2 \sim \sigma_2$  and  $\langle \sigma_2, s'[x \mapsto v_2] \rangle \rightarrow \langle \sigma', v \rangle$ , by (outer) induction there exists some  $\Gamma' \supseteq \Gamma_2$  such that  $\Gamma' \sim \sigma'$  and  $\Gamma'; \tau \vdash \langle \sigma', v \rangle$ .
  - **T-SUB:** Then  $\Gamma \vdash s : \tau'$  and  $\tau' \leq \tau$ . By inner induction, there exists some  $\Gamma' \supseteq \Gamma$  such that  $\Gamma' \sim \sigma'$  and  $\Gamma'; \tau' \vdash \langle \sigma', v \rangle$ . Then by Lemma 3.4 also  $\Gamma'; \tau \vdash \langle \sigma', v \rangle$ .
- **E-LET:** Then  $s = \text{let } x = s_1 \text{ in } s_2$  and  $\langle \sigma, s_1 \rangle \rightarrow \langle \sigma_1, v_1 \rangle$  and  $\langle \sigma_1, s_2[x \mapsto v_1] \rangle \rightarrow \langle \sigma', v \rangle$ . We prove this case by induction on the depth of the derivation of  $\Gamma \vdash s : \tau$ . Case analysis of the last rule used in the derivation.
  - **T-LET:** Then  $\Gamma \vdash s_1 : \tau_1$  and  $\Gamma, x : \tau_1 \vdash s_2 : \tau$ . By (outer) induction there exists  $\Gamma_1 \supseteq \Gamma$  such that  $\Gamma_1 \sim \sigma_1$  and  $\Gamma_1; \tau_1 \vdash \langle \sigma_1, v_1 \rangle$ . Since  $\Gamma, x : \tau_1 \vdash s_2 : \tau$ , by Lemma 3.6 also  $\Gamma_1, x : \tau_1 \vdash s_2 : \tau$ . Then since  $\Gamma_1; \tau_1 \vdash \langle \sigma_1, v_1 \rangle$ , by Lemma 3.2 also  $\Gamma_1 \vdash s_2[x \mapsto v_1] : \tau$ . Finally, since  $\langle \sigma_1, s_2[x \mapsto v_1] \rangle \rightarrow \langle \sigma', v \rangle$ , by (outer) induction there exists some  $\Gamma' \supseteq \Gamma_1$  such that  $\Gamma' \sim \sigma'$  and  $\Gamma'; \tau \vdash \langle \sigma', v \rangle$ .
  - **T-SUB:** See the proof of the T-SUB case within the case for E-APP.
- **E-REF:** Then  $s = \text{ref } s_0$  and  $\langle \sigma, s_0 \rangle \rightarrow \langle \sigma_0, v_0 \rangle$  and  $l$  fresh in  $\sigma_0$  and  $\sigma' = \sigma_0[l \mapsto v_0]$  and  $v = l$ . We prove this case by induction on the depth of the derivation of  $\Gamma \vdash s : \tau$ . Case analysis of the last rule used in the derivation.
  - **T-REF:** Then  $\tau = \text{ref } \tau_0$  and  $\Gamma \vdash s_0 : \tau_0$ . By (outer) induction there exists some  $\Gamma_0 \supseteq \Gamma$  such that  $\Gamma_0 \sim \sigma_0$  and  $\Gamma_0; \tau_0 \vdash \langle \sigma_0, v_0 \rangle$ . Let  $\Gamma' = \Gamma_0[l \mapsto \text{ref } \tau_0]$ . Since  $l$  fresh in  $\sigma_0$  and  $\Gamma_0 \sim \sigma_0$ , also  $l \notin \text{dom}(\Gamma_0)$ , so  $\Gamma' \supseteq \Gamma_0$ . To complete this case we show that  $\Gamma' \sim \sigma'$  and  $\Gamma'; \text{ref } \tau_0 \vdash \langle \sigma', l \rangle$ .  
First we prove  $\Gamma'; \text{ref } \tau_0 \vdash \langle \sigma', l \rangle$ . We're given  $\Gamma_0; \tau_0 \vdash \langle \sigma_0, v_0 \rangle$ . Since  $l$  fresh in  $\sigma_0$ , also  $l \notin \text{dom}(\sigma_0)$ , so  $\sigma' \supseteq \sigma_0$ . We also saw above that  $\Gamma' \supseteq \Gamma_0$ . Then by Lemma 3.5 we have  $\Gamma'; \tau_0 \vdash \langle \sigma', v_0 \rangle$ . By T-VAR and the definition of  $\Gamma'$  we have  $\Gamma' \vdash l : \text{ref } \tau_0$ . Finally, by definition of  $\sigma'$  we have that  $l \in \text{dom}(\sigma')$  and  $\sigma'(l) = v_0$ . Therefore by Q-REF we have  $\Gamma'; \text{ref } \tau_0 \vdash \langle \sigma', l \rangle$ .

Finally we prove  $\Gamma' \sim \sigma'$ . Since  $\text{dom}(\Gamma_0) = \text{dom}(\sigma_0)$ , also  $\text{dom}(\Gamma') = \text{dom}(\sigma')$ , so part 1 is proven. Now consider some  $l' \in \text{dom}(\Gamma')$ . To prove part 2 we must show that  $\Gamma'; \Gamma'(l') \vdash \langle \sigma', l' \rangle$ . If  $l' = l$ , then we must show that  $\Gamma'; \mathbf{ref} \tau_0 \vdash \langle \sigma', l \rangle$ , which was proven above. Otherwise  $l' \neq l$ . Then  $l' \in \text{dom}(\Gamma_0)$  and since  $\Gamma_0 \sim \sigma_0$ , we have  $\Gamma_0; \Gamma_0(l') \vdash \langle \sigma_0, l' \rangle$ . Since  $l' \neq l$ , we have  $\Gamma_0(l') = \Gamma'(l')$ , so  $\Gamma_0; \Gamma'(l') \vdash \langle \sigma_0, l' \rangle$ . Then by Lemma 3.5 we have  $\Gamma'; \Gamma'(l') \vdash \langle \sigma', l' \rangle$ .

– T-SUB: See the proof of the T-SUB case within the case for E-APP.

- E-ASSGN: Then  $s = s_1 := s_2$  and  $\langle \sigma, s_1 \rangle \rightarrow \langle \sigma_1, l_1 \rangle$  and  $\langle \sigma_1, s_2 \rangle \rightarrow \langle \sigma_2, v_2 \rangle$  and  $l_1 \in \text{dom}(\sigma_1)$  and  $\sigma' = \sigma_2[l_1 \mapsto v_2]$  and  $v = ()$ . We prove this case by induction on the depth of the derivation of  $\Gamma \vdash s : \tau$ . Case analysis of the last rule used in the derivation.

– T-ASSGN: Then  $\tau = \mathbf{unit}$  and  $\Gamma \vdash s_1 : \mathbf{ref} \tau'$  and  $\Gamma \vdash s_2 : \tau'$ . By (outer) induction there exists some  $\Gamma_1 \supseteq \Gamma$  such that  $\Gamma_1 \sim \sigma_1$  and  $\Gamma_1; \mathbf{ref} \tau' \vdash \langle \sigma_1, l_1 \rangle$ . Since  $\Gamma \vdash s_2 : \tau'$  and  $\Gamma_1 \supseteq \Gamma$ , by Lemma 3.6 also  $\Gamma_1 \vdash s_2 : \tau'$ . Then by (outer) induction there exists some  $\Gamma_2 \supseteq \Gamma_1$  such that  $\Gamma_2 \sim \sigma_2$  and  $\Gamma_2; \tau' \vdash \langle \sigma_2, v_2 \rangle$ .

To prove this case, we must show that there exists  $\Gamma' \supseteq \Gamma$  such that  $\Gamma' \sim \sigma'$  and  $\Gamma'; \mathbf{unit} \vdash \langle \sigma', () \rangle$ . We will show that  $\Gamma_2 \sim \sigma'$  and  $\Gamma_2; \mathbf{unit} \vdash \langle \sigma', () \rangle$ .  $\Gamma_2; \mathbf{unit} \vdash \langle \sigma', () \rangle$  follows from Q-UNIT, so it remains to prove  $\Gamma_2 \sim \sigma'$ .

First we show that  $\text{dom}(\Gamma_2) = \text{dom}(\sigma')$ . Since  $\Gamma_2 \sim \sigma_2$ , we know that  $\text{dom}(\Gamma_2) = \text{dom}(\sigma_2)$ . Since  $l_1 \in \text{dom}(\sigma_1)$  and  $\langle \sigma_1, s_2 \rangle \rightarrow \langle \sigma_2, v_2 \rangle$ , by Lemma 3.7 also  $l_1 \in \text{dom}(\sigma_2)$ . Therefore,  $\text{dom}(\sigma_2) = \text{dom}(\sigma_2[l_1 \mapsto v_2]) = \text{dom}(\sigma')$ . Therefore  $\text{dom}(\Gamma_2) = \text{dom}(\sigma')$ .

Second, we must show that for each  $l \in \text{dom}(\Gamma_2)$  we have  $\Gamma_2; \Gamma_2(l) \vdash \langle \sigma', l \rangle$ . Since  $\Gamma_2 \sim \sigma_2$  we have  $\Gamma_2; \Gamma_2(l) \vdash \langle \sigma_2, l \rangle$ . Suppose  $\sigma_2 \vdash l \not\rightsquigarrow l_1$ . Then by Lemma 3.15 we have  $\Gamma_2; \Gamma_2(l) \vdash \langle \sigma', l \rangle$  as desired. Suppose instead that it is not the case that  $\sigma_2 \vdash l \not\rightsquigarrow l_1$ . Then since  $\Gamma_2 \sim \sigma_2$ , by Lemma 3.16 there exists a nonnegative integer  $k$  such that  $\sigma_2^k(l) = l_1$ . Since  $\Gamma_1; \mathbf{ref} \tau' \vdash \langle \sigma_1, l_1 \rangle$ , by Q-REF we have  $\Gamma_1 \vdash l_1 : \mathbf{ref} \tau'$ , and by Lemma 3.6 also  $\Gamma_2 \vdash l_1 : \mathbf{ref} \tau'$ . Then the result follows by Lemma 3.19.

– T-SUB: See the proof of the T-SUB case within the case for E-APP.

- E-EXPR: Then  $s = e$  and  $\langle \sigma, e \rangle \rightarrow v$  and  $\sigma' = \sigma$ . We're given that  $\Gamma \sim \sigma$ , and by Lemma 3.1 we have  $\Gamma; \tau \vdash \langle \sigma, v \rangle$ , so the result follows by taking  $\Gamma' = \Gamma$ .

□

**Lemma 3.1** If  $\Gamma \sim \sigma$  and  $\Gamma \vdash e : \tau$  and  $\langle \sigma, e \rangle \rightarrow v$ , then  $\Gamma; \tau \vdash \langle \sigma, v \rangle$ .

**Proof** By induction on the depth of the derivation of  $\Gamma \vdash e : \tau$ . Case analysis of the last rule used in the derivation.

- T-INT: Then  $e = c$  and  $\tau = \mathbf{int}$ . Since  $\langle \sigma, e \rangle \rightarrow v$ , by E-VAL we have  $v = c$ . Then by Q-INT we have  $\Gamma; \tau \vdash \langle \sigma, v \rangle$ .
- T-UNIT: Then  $e = ()$  and  $\tau = \mathbf{unit}$ . Since  $\langle \sigma, e \rangle \rightarrow v$ , by E-VAL we have  $v = ()$ . Then by Q-UNIT we have  $\Gamma; \tau \vdash \langle \sigma, v \rangle$ .
- T-VAR: Then  $e = x$  and  $\Gamma(x) = \tau$ . Since  $\Gamma \sim \sigma$ , we have that  $\text{dom}(\Gamma) = \text{dom}(\sigma)$ , so  $x$  must be a location  $l$ . Since  $\langle \sigma, e \rangle \rightarrow v$ , by E-VAL we have  $v = l$ . Then by  $\Gamma \sim \sigma$  we have  $\Gamma; \tau \vdash \langle \sigma, l \rangle$ .

- T-DEREF: Then  $e = !e'$  and  $\Gamma \vdash e' : \mathbf{ref} \tau$ . Since  $\langle \sigma, e \rangle \rightarrow v$ , by E-DEREF we have  $\langle \sigma, e' \rangle \rightarrow l$  and  $\sigma(l) = v$ . By induction we have  $\Gamma; \mathbf{ref} \tau \vdash \langle \sigma, l \rangle$ , so by Q-REF also  $\Gamma; \tau \vdash \langle \sigma, v \rangle$ .
- T-QUALCASE: Then  $\tau = q\tau'$  and  $\Gamma \vdash e : \tau'$  and  $\Gamma \vdash e_1 : q_1\tau_1 \dots \Gamma \vdash e_n : q_n\tau_n$ . By induction we have  $\Gamma; \tau' \vdash \langle \sigma, v \rangle$ . Therefore,  $\Gamma; \tau \vdash \langle \sigma, v \rangle$  follows from Q-QUAL if we can show  $[[q]](v)$ .  
Consider one of the  $e_i$  subexpressions of  $e$ , and let  $v_i$  be some value. If it is not the case that  $\langle \sigma, e_i \rangle \rightarrow v_i$ , then  $[[q_i]](\sigma, e_i, v_i)$  holds trivially. Otherwise, if  $\langle \sigma, e_i \rangle \rightarrow v_i$ , then by induction we have  $\Gamma; q_i\tau_i \vdash \langle \sigma, v_i \rangle$ . Then by Q-QUAL we have  $[[q_i]](v_i)$ , so also  $[[q_i]](\sigma, e_i, v_i)$  holds. Since we assume that T-QUALCASE is locally sound, and since we can find a  $v_i$  for each  $e_i$  such that  $[[q_i]](\sigma, e_i, v_i)$  holds, by Definition 3.1 we have  $[[q]](\sigma, e, v)$ . Then since  $\langle \sigma, e \rangle \rightarrow v$ , we have  $[[q]](v)$ .
- T-QUALVAL: Then  $e = v'$  and  $\tau = q\tau'$  and  $[[q]](v')$  and  $\Gamma \vdash v' : \tau'$ . Since  $\langle \sigma, e \rangle \rightarrow v$ , by E-VAL we have  $v = v'$ . So we have  $[[q]](v)$  and  $\Gamma \vdash v : \tau'$ . By induction  $\Gamma; \tau' \vdash \langle \sigma, v \rangle$ , and by Q-QUAL also  $\Gamma; q\tau' \vdash \langle \sigma, v \rangle$ .
- T-SUB: Then  $\Gamma \vdash e : \tau'$  and  $\tau' \leq \tau$ . By induction we have  $\Gamma; \tau' \vdash \langle \sigma, v \rangle$ , and the result follows from Lemma 3.4.

□

**Lemma 3.2** If  $\Gamma, x_0 : \tau_0 \vdash s : \tau$  and  $\Gamma; \tau_0 \vdash \langle \sigma, v_0 \rangle$ , then  $\Gamma \vdash s[x_0 \mapsto v_0] : \tau$ .

**Proof** By induction on the depth of the derivation of  $\Gamma, x_0 : \tau_0 \vdash s : \tau$ . Case analysis of the last rule used in the derivation.

- T-APP: Then  $s = s_1 s_2$  and  $\Gamma, x_0 : \tau_0 \vdash s_1 : \tau_2 \rightarrow \tau$  and  $\Gamma, x_0 : \tau_0 \vdash s_2 : \tau_2$ . By induction we have  $\Gamma \vdash s_1[x_0 \mapsto v_0] : \tau_2 \rightarrow \tau$  and  $\Gamma \vdash s_2[x_0 \mapsto v_0] : \tau_2$ , and the result follows by T-APP.
- T-LET: Then  $s = \mathbf{let} \ x = s_1 \ \mathbf{in} \ s_2$  and  $\Gamma, x_0 : \tau_0 \vdash s_1 : \tau_1$  and  $\Gamma, x_0 : \tau_0, x : \tau_1 \vdash s_2 : \tau$ . By induction we have  $\Gamma \vdash s_1[x_0 \mapsto v_0] : \tau_1$  and  $\Gamma, x : \tau_1 \vdash s_2[x_0 \mapsto v_0] : \tau$ , and the result follows by T-LET.
- T-REF: Then  $s = \mathbf{ref} \ s'$  and  $\tau = \mathbf{ref} \ \tau'$  and  $\Gamma, x_0 : \tau_0 \vdash s' : \tau'$ . By induction we have  $\Gamma \vdash s'[x_0 \mapsto v_0] : \tau'$ , and the result follows by T-REF.
- T-ASSGN: Then  $s = s_1 := s_2$  and  $\tau = \mathbf{unit}$  and  $\Gamma, x_0 : \tau_0 \vdash s_1 : \mathbf{ref} \ \tau'$  and  $\Gamma, x_0 : \tau_0 \vdash s_2 : \tau'$ . By induction we have  $\Gamma \vdash s_1[x_0 \mapsto v_0] : \mathbf{ref} \ \tau'$  and  $\Gamma \vdash s_2[x_0 \mapsto v_0] : \tau'$ , and the result follows by T-ASSGN.
- T-INT: Then  $s = c$  and  $\tau = \mathbf{int}$ , and the result follows by T-INT.
- T-UNIT: Then  $s = ()$  and  $\tau = \mathbf{unit}$ , and the result follows by T-UNIT.
- T-VAR: Then  $s = x$  and  $(\Gamma, x_0 : \tau_0)(x) = \tau$ . Suppose  $x_0 = x$ . Then  $\tau_0 = \tau$  and  $x[x_0 \mapsto v_0] = v_0$ , so we must prove  $\Gamma \vdash v_0 : \tau_0$ . Since  $\Gamma; \tau_0 \vdash \langle \sigma, v_0 \rangle$ , the result follows by Lemma 3.3. Otherwise, suppose  $x_0 \neq x$ . Then  $x[x_0 \mapsto v_0] = x$ , so we must prove  $\Gamma \vdash x : \tau$ . Since  $(\Gamma, x_0 : \tau_0)(x) = \tau$  and  $x_0 \neq x$ , also  $\Gamma(x) = \tau$ , so the result follows by T-VAR.
- T-FUN: Then  $s = \lambda x. s'$  and  $\tau = \tau_1 \rightarrow \tau_2$  and  $\Gamma, x_0 : \tau_0, x : \tau_1 \vdash s' : \tau_2$ . By induction we have  $\Gamma, x : \tau_1 \vdash s'[x_0 \mapsto v_0] : \tau_2$ , and the result follows by T-FUN.

- T-DEREF: Then  $s = !e$  and  $\Gamma, x_0 : \tau_0 \vdash e : \mathbf{ref} \tau$ . By induction we have  $\Gamma \vdash e[x_0 \mapsto v_0] : \mathbf{ref} \tau$ , and the result follows by T-DEREF.
- T-QUALCASE: Then  $\tau = q\tau'$  and  $\Gamma, x_0 : \tau_0 \vdash e : \tau'$  and  $\Gamma, x_0 : \tau_0 \vdash e_1 : q_1\tau_1 \dots \Gamma, x_0 : \tau_0 \vdash e_n : q_n\tau_n$ . By induction we have  $\Gamma \vdash e[x_0 \mapsto v_0] : \tau'$  and  $\Gamma \vdash e_1[x_0 \mapsto v_0] : q_1\tau_1 \dots \Gamma \vdash e_n[x_0 \mapsto v_0] : q_n\tau_n$ , and the result follows by T-QUALCASE.
- T-QUALVAL: Then  $s = v$  and  $\tau = q\tau'$  and  $[[q]](v)$  and  $\Gamma, x_0 : \tau_0 \vdash v : \tau'$ . By induction also  $\Gamma \vdash v[x_0 \mapsto v_0] : \tau'$ . Since arrow types may not be qualified,  $\tau'$  is not of the form  $\bar{q}(\tau_1 \rightarrow \tau_2)$ . Then by Lemma 3.10  $v$  is not of the form  $\lambda x.s'$ . Therefore  $v[x_0 \mapsto v_0] = v$ , so  $[[q]](v[x_0 \mapsto v_0])$ . Then the result follows by T-QUALVAL.
- T-SUB: Then  $\Gamma, x_0 : \tau_0 \vdash e : \tau'$  and  $\tau' \leq \tau$ . By induction we have  $\Gamma \vdash e[x_0 \mapsto v_0] : \tau'$ , and the result follows by T-SUB.

□

**Lemma 3.3** If  $\Gamma; \tau \vdash \langle \sigma, v \rangle$ , then  $\Gamma \vdash v : \tau$ .

**Proof** By induction on the depth of the derivation of  $\Gamma; \tau \vdash \langle \sigma, v \rangle$ . Case analysis of the last rule used in the derivation.

- Q-INT: Then  $\tau = \mathbf{int}$  and  $v = c$ , and the result follows by T-INT.
- Q-UNIT: Then  $\tau = \mathbf{unit}$  and  $v = ()$ , and the result follows by T-UNIT.
- Q-FUN: Then  $v = \lambda x.s$  and  $\Gamma \vdash \lambda x.s : \tau$ , which is what we wanted to prove.
- Q-REF: Then  $v = l$  and  $\Gamma \vdash l : \tau$ , which is what we wanted to prove.
- Q-QUAL: Then  $\tau = q\tau'$  and  $[[q]](v)$  and  $\Gamma; \tau' \vdash \langle \sigma, v \rangle$ . By induction we have  $\Gamma \vdash v : \tau'$ . Then since  $[[q]](v)$ , by T-QUALVAL also  $\Gamma \vdash v : \tau$ .

□

**Lemma 3.4** If  $\Gamma; \tau' \vdash \langle \sigma, v \rangle$  and  $\tau' \leq \tau$ , then  $\Gamma; \tau \vdash \langle \sigma, v \rangle$ .

**Proof** By induction on the derivation of  $\tau' \leq \tau$ . Case analysis of the last rule used in the derivation.

- SUBVALQUAL: Then  $\tau' = q\tau$ . Since  $\Gamma; \tau' \vdash \langle \sigma, v \rangle$ , by Q-QUAL we have  $\Gamma; \tau \vdash \langle \sigma, v \rangle$ .
- SUBQUALREORDER: Then  $\tau' = q_1q_2\tau_0$  and  $\tau = q_2q_1\tau_0$ . Since  $\Gamma; \tau' \vdash \langle \sigma, v \rangle$ , by Q-QUAL we have  $[[q_1]](v)$  and  $\Gamma; q_2\tau_0 \vdash \langle \sigma, v \rangle$ . Then again by Q-QUAL we have  $[[q_2]](v)$  and  $\Gamma; \tau_0 \vdash \langle \sigma, v \rangle$ . Therefore, by Q-QUAL we have  $\Gamma; q_1\tau_0 \vdash \langle \sigma, v \rangle$ , and again by Q-QUAL we have  $\Gamma; q_2q_1\tau_0 \vdash \langle \sigma, v \rangle$ .
- SUBREF: Then  $\tau' = \tau$  and the result follows.
- SUBTRANS: Then  $\tau' \leq \tau''$  and  $\tau'' \leq \tau$ . By induction  $\Gamma; \tau'' \vdash \langle \sigma, v \rangle$ , and by induction again  $\Gamma; \tau \vdash \langle \sigma, v \rangle$ .
- SUBFUN: Then  $\tau' = \tau'_1 \rightarrow \tau'_2$  and  $\tau = \tau_1 \rightarrow \tau_2$ . Since  $\Gamma; \tau' \vdash \langle \sigma, v \rangle$ , by Q-FUN we have that  $v = \lambda x.s$  and  $\Gamma \vdash \lambda x.s : \tau'_1 \rightarrow \tau'_2$ . Since  $\tau' \leq \tau$ , by T-SUB we have  $\Gamma \vdash \lambda x.s : \tau_1 \rightarrow \tau_2$ , so by Q-FUN  $\Gamma; \tau_1 \rightarrow \tau_2 \vdash \langle \sigma, \lambda x.s \rangle$ .

□



**Lemma 3.5** If  $\Gamma; \tau \vdash \langle \sigma, v \rangle$  and  $\Gamma' \supseteq \Gamma$  and  $\sigma' \supseteq \sigma$ , then  $\Gamma'; \tau \vdash \langle \sigma', v \rangle$ .

**Proof** By induction on the depth of the derivation of  $\Gamma; \tau \vdash \langle \sigma, v \rangle$ . Case analysis of the last rule used in the derivation.

- Q-INT: Then  $\tau = \mathbf{int}$  and  $v = c$ , and the result follows by Q-INT.
- Q-UNIT: Then  $\tau = \mathbf{unit}$  and  $v = ()$ , and the result follows by Q-UNIT.
- Q-FUN: Then  $\tau = \tau_1 \rightarrow \tau_2$  and  $v = \lambda x.s$  and  $\Gamma \vdash \lambda x.s : \tau_1 \rightarrow \tau_2$ . By Lemma 3.6 also  $\Gamma' \vdash \lambda x.s : \tau_1 \rightarrow \tau_2$ , and the result follows by Q-FUN.
- Q-REF: Then  $\tau = \mathbf{ref} \tau'$  and  $v = l$  and  $\Gamma \vdash l : \mathbf{ref} \tau'$  and  $\Gamma; \tau' \vdash \langle \sigma, \sigma(l) \rangle$  and  $l \in \text{dom}(\sigma)$ . By Lemma 3.6 we have  $\Gamma' \vdash l : \mathbf{ref} \tau'$ . Since  $l \in \text{dom}(\sigma)$  and  $\sigma' \supseteq \sigma$ , also  $l \in \text{dom}(\sigma')$  and  $\sigma(l) = \sigma'(l)$ . Finally, by induction  $\Gamma'; \tau' \vdash \langle \sigma', \sigma'(l) \rangle$ , and the result follows by Q-REF.
- Q-QUAL: Then  $\tau = q\tau'$  and  $[[q]](v)$  and  $\Gamma; \tau' \vdash \langle \sigma, v \rangle$ . By induction we have  $\Gamma'; \tau' \vdash \langle \sigma', v \rangle$ , and the result follows by Q-QUAL.

□

**Lemma 3.6** If  $\Gamma \vdash s : \tau$  and  $\Gamma' \supseteq \Gamma$ , then  $\Gamma' \vdash s : \tau$ .

**Proof** By induction on the depth of the derivation of  $\Gamma \vdash s : \tau$ . Case analysis of the last rule used in the derivation.

- T-APP: Then  $s = s_1 s_2$  and  $\Gamma \vdash s_1 : \tau_2 \rightarrow \tau$  and  $\Gamma \vdash s_2 : \tau_2$ . By induction we have  $\Gamma' \vdash s_1 : \tau_2 \rightarrow \tau$  and  $\Gamma' \vdash s_2 : \tau_2$ , and the result follows by T-APP.
- T-LET: Then  $s = \mathbf{let} \ x = s_1 \ \mathbf{in} \ s_2$  and  $\Gamma \vdash s_1 : \tau_1$  and  $\Gamma, x : \tau_1 \vdash s_2 : \tau$ . By induction we have  $\Gamma' \vdash s_1 : \tau_1$  and  $\Gamma', x : \tau_1 \vdash s_2 : \tau$ , and the result follows by T-LET.
- T-REF: Then  $s = \mathbf{ref} \ s'$  and  $\tau = \mathbf{ref} \ \tau'$  and  $\Gamma \vdash s' : \tau'$ . By induction we have  $\Gamma' \vdash s' : \tau'$ , and the result follows by T-REF.
- T-ASSGN: Then  $s = s_1 := s_2$  and  $\tau = \mathbf{unit}$  and  $\Gamma \vdash s_1 : \mathbf{ref} \ \tau'$  and  $\Gamma \vdash s_2 : \tau'$ . By induction we have  $\Gamma' \vdash s_1 : \mathbf{ref} \ \tau'$  and  $\Gamma' \vdash s_2 : \tau'$ , and the result follows by T-ASSGN.
- T-INT: Then  $s = c$  and  $\tau = \mathbf{int}$ , and the result follows by T-INT.
- T-UNIT: Then  $s = ()$  and  $\tau = \mathbf{unit}$ , and the result follows by T-UNIT.
- T-VAR: Then  $s = x$  and  $\Gamma(x) = \tau$ . Since  $\Gamma' \supseteq \Gamma$ , also  $\Gamma'(x) = \tau$ , and the result follows by T-VAR.
- T-FUN: Then  $s = \lambda x.s'$  and  $\tau = \tau_1 \rightarrow \tau_2$  and  $\Gamma, x : \tau_1 \vdash s' : \tau_2$ . By induction we have  $\Gamma', x : \tau_1 \vdash s' : \tau_2$ , and the result follows by T-FUN.
- T-DEREF: Then  $s = !e$  and  $\Gamma \vdash e : \mathbf{ref} \ \tau$ . By induction we have  $\Gamma' \vdash e : \mathbf{ref} \ \tau$ , and the result follows by T-DEREF.
- T-QUALVAL: Then  $s = v$  and  $\tau = q\tau'$  and  $[[q]](v)$  and  $\Gamma \vdash v : \tau'$ . By induction also  $\Gamma' \vdash v : \tau'$ , and the result follows by T-QUALVAL.
- T-SUB: Then  $\Gamma \vdash s : \tau'$  and  $\tau' \leq \tau$ . By induction we have  $\Gamma' \vdash s : \tau'$ , and the result follows by T-SUB.

- T-QUALCASE: Then  $\tau = q\tau'$  and  $\Gamma \vdash e : \tau'$  and  $\Gamma \vdash e_1 : q_1\tau_1 \dots \Gamma \vdash e_n : q_n\tau_n$ . By induction we have  $\Gamma' \vdash s : \tau'$  and  $\Gamma' \vdash e_1 : q_1\tau_1 \dots \Gamma' \vdash e_n : q_n\tau_n$ , and the result follows by T-QUALCASE.

□

**Lemma 3.7** If  $l \in \text{dom}(\sigma)$  and  $\langle \sigma, s \rangle \rightarrow \langle \sigma', v \rangle$ , then  $l \in \text{dom}(\sigma')$ .

**Proof** By induction on the depth of the derivation of  $\langle \sigma, s \rangle \rightarrow \langle \sigma', v \rangle$ . Case analysis of the last rule used in the derivation.

- E-APP: Then  $s = s_1 s_2$  and  $\langle \sigma, s_1 \rangle \rightarrow \langle \sigma_1, \lambda x.s' \rangle$  and  $\langle \sigma_1, s_2 \rangle \rightarrow \langle \sigma_2, v_2 \rangle$  and  $\langle \sigma_2, s'[x \mapsto v_2] \rangle \rightarrow \langle \sigma', v \rangle$ . By induction  $l \in \text{dom}(\sigma_1)$ . By induction again,  $l \in \text{dom}(\sigma_2)$ . By induction again,  $l \in \text{dom}(\sigma')$ .
- E-LET: Then  $s = \text{let } x = s_1 \text{ in } s_2$  and  $\langle \sigma, s_1 \rangle \rightarrow \langle \sigma_1, v_1 \rangle$  and  $\langle \sigma_1, s_2[x \mapsto v_1] \rangle \rightarrow \langle \sigma', v \rangle$ . By induction  $l \in \text{dom}(\sigma_1)$  and by induction again,  $l \in \text{dom}(\sigma')$ .
- E-REF: Then  $s = \text{ref } s'$  and  $\langle \sigma, s' \rangle \rightarrow \langle \sigma_1, v' \rangle$  and  $\sigma' = \sigma_1[l' \mapsto v']$ . By induction  $l \in \text{dom}(\sigma_1)$ , so also  $l \in \text{dom}(\sigma_1[l' \mapsto v'])$ .
- E-ASSGN: Then  $s = s_1 := s_2$  and  $\langle \sigma, s_1 \rangle \rightarrow \langle \sigma_1, l' \rangle$  and  $\langle \sigma_1, s_2 \rangle \rightarrow \langle \sigma_2, v' \rangle$  and  $\sigma' = \sigma_2[l' \mapsto v']$ . By induction  $l \in \text{dom}(\sigma_1)$ , by induction again  $l \in \text{dom}(\sigma_2)$ , so also  $l \in \text{dom}(\sigma_2[l' \mapsto v'])$ .
- E-EXPR: Then  $\sigma' = \sigma$ , so since  $l \in \text{dom}(\sigma)$ , also  $l \in \text{dom}(\sigma')$ .

□

**Lemma 3.8** If  $\Gamma \vdash l : \bar{q}(\text{ref } \tau)$ , then there exists  $\bar{q}'$  such that  $\Gamma(l) = \bar{q}'(\text{ref } \tau)$ .

**Proof** By induction on the depth of the derivation of  $\Gamma \vdash l : \bar{q}(\text{ref } \tau)$ . Case analysis of the last rule in the derivation.

- T-VAR: Then  $\Gamma(l) = \bar{q}(\text{ref } \tau)$ , so the result follows, where  $\bar{q}' = \bar{q}$ .
- T-QUALCASE: Then  $\bar{q} = q\bar{q}''$  and  $\Gamma \vdash l : \bar{q}''(\text{ref } \tau)$ , so by induction there exists  $\bar{q}'$  such that  $\Gamma(l) = \bar{q}'(\text{ref } \tau)$ .
- T-QUALVAL: Then  $\bar{q} = q\bar{q}''$  and  $\Gamma \vdash l : \bar{q}''(\text{ref } \tau)$ , so by induction there exists  $\bar{q}'$  such that  $\Gamma(l) = \bar{q}'(\text{ref } \tau)$ .
- T-SUB: Then  $\Gamma \vdash l : \tau'$  and  $\tau' \leq \bar{q}(\text{ref } \tau)$ . By Lemma 3.9  $\tau'$  has the form  $\bar{q}''(\text{ref } \tau)$ . Then by induction there exists  $\bar{q}'$  such that  $\Gamma(l) = \bar{q}'(\text{ref } \tau)$ .

□

**Lemma 3.9** If  $\tau_0 \leq \bar{q}(\text{ref } \tau)$ , then  $\tau_0$  has the form  $\bar{q}'(\text{ref } \tau)$ .

**Proof** By induction on the depth of the derivation of  $\tau_0 \leq \bar{q}(\text{ref } \tau)$ . Case analysis of the last rule in the derivation.

- SUBVALQUAL: Then  $\tau_0 = q\bar{q}(\text{ref } \tau)$ , and the result follows.
- SUBQUALREORDER: Then  $\bar{q} = q_2q_1\bar{q}''$  and  $\tau_0 = q_1q_2\bar{q}''(\text{ref } \tau)$ , and the result follows.
- SUBREF: Then  $\tau_0 = \bar{q}(\text{ref } \tau)$ , and the result follows.

- SUBTRANS: Then  $\tau_0 \leq \tau'$  and  $\tau' \leq \bar{q}(\text{ref } \tau)$ . By induction  $\tau'$  has the form  $\bar{q}''(\text{ref } \tau)$ . By induction again  $\tau_0$  has the form  $\bar{q}'(\text{ref } \tau)$ .

□

**Lemma 3.10** If  $\Gamma \vdash \lambda x.s : \tau$ , then  $\tau$  has the form  $\bar{q}(\tau_1 \rightarrow \tau_2)$ .

**Proof** By induction on the depth of the derivation of  $\Gamma \vdash \lambda x.s : \tau$ . Case analysis of the last rule in the derivation.

- T-FUN: Then  $\tau$  has the form  $\tau_1 \rightarrow \tau_2$  and the result is shown, with  $\bar{q}$  being empty.
- T-QUALCASE: Then  $\tau$  has the form  $q\tau'$  and  $\Gamma \vdash \lambda x.s : \tau'$ . By induction  $\tau'$  has the form  $\bar{q}(\tau_1 \rightarrow \tau_2)$ , so  $\tau = q\bar{q}(\tau_1 \rightarrow \tau_2)$  and the result follows.
- T-QUALVAL: Then  $\tau$  has the form  $q\tau'$  and  $\Gamma \vdash \lambda x.s : \tau'$ . By induction  $\tau'$  has the form  $\bar{q}(\tau_1 \rightarrow \tau_2)$ , so  $\tau = q\bar{q}(\tau_1 \rightarrow \tau_2)$  and the result follows.
- T-SUB: Then  $\Gamma \vdash \lambda x.s : \tau'$  and  $\tau' \leq \tau$ . By induction  $\tau'$  has the form  $\bar{q}(\tau_1 \rightarrow \tau_2)$  and the result follows from Lemma 3.11.

□

**Lemma 3.11** If  $\bar{q}(\tau_1 \rightarrow \tau_2) \leq \tau'$ , then  $\tau'$  has the form  $\bar{q}'(\tau'_1 \rightarrow \tau'_2)$ .

**Proof** By induction on the depth of the derivation of  $\bar{q}(\tau_1 \rightarrow \tau_2) \leq \tau'$ . Case analysis of the last rule used in the derivation.

- SUBVALQUAL: Then  $\bar{q} = q\bar{q}'$  and  $\tau' = \bar{q}'(\tau_1 \rightarrow \tau_2)$ , so the result follows.
- SUBQUALREORDER: Then  $\bar{q} = q_1q_2\bar{q}'$  and  $\tau' = q_2q_1\bar{q}'(\tau_1 \rightarrow \tau_2)$ , so the result follows.
- SUBREF: Then  $\tau' = \bar{q}(\tau_1 \rightarrow \tau_2)$ , so the result follows.
- SUBTRANS: Then  $\bar{q}(\tau_1 \rightarrow \tau_2) \leq \tau''$  and  $\tau'' \leq \tau'$ . By induction  $\tau''$  has the form  $\bar{q}''(\tau''_1 \rightarrow \tau''_2)$ . Then by induction again,  $\tau'$  has the form  $\bar{q}'(\tau'_1 \rightarrow \tau'_2)$ .
- SUBFUN: Then  $\tau'$  has the form  $\tau'_1 \rightarrow \tau'_2$ , so the result follows with  $\bar{q}'$  as the empty sequence.

□

**Lemma 3.12** If  $\Gamma \vdash \lambda x.s : \bar{q}(\tau_1 \rightarrow \tau_2)$ , then there exist  $\tau'_1$  and  $\tau'_2$  such that  $\Gamma, x : \tau'_1 \vdash s : \tau'_2$ , where  $\tau_1 \leq \tau'_1$  and  $\tau'_2 \leq \tau_2$ .

**Proof** By induction on the depth of the derivation of  $\Gamma \vdash \lambda x.s : \bar{q}(\tau_1 \rightarrow \tau_2)$ . Case analysis of the last rule used in the derivation.

- T-FUN: Then  $\bar{q}$  is empty and  $\Gamma, x : \tau_1 \vdash s : \tau_2$ . By SUBREF we have  $\tau_1 \leq \tau_1$  and  $\tau_2 \leq \tau_2$ , so the result follows.
- T-QUALCASE: Then  $\bar{q} = q\bar{q}'$  and  $\Gamma \vdash \lambda x.s : \bar{q}'(\tau_1 \rightarrow \tau_2)$ , so the result follows by induction.
- T-QUALVAL: Then  $\bar{q} = q\bar{q}'$  and  $\Gamma \vdash \lambda x.s : \bar{q}''(\tau_1 \rightarrow \tau_2)$ , so the result follows by induction.
- T-SUB: Then  $\Gamma \vdash \lambda x.s : \tau'$  and  $\tau' \leq \bar{q}(\tau_1 \rightarrow \tau_2)$ . By Lemma 3.13  $\tau'$  has the form  $\bar{q}''(\tau''_1 \rightarrow \tau''_2)$ , where  $\tau_1 \leq \tau''_1$  and  $\tau''_2 \leq \tau_2$ . By induction  $\Gamma, x : \tau'_1 \vdash s : \tau'_2$ , where  $\tau''_1 \leq \tau'_1$  and  $\tau'_2 \leq \tau''_2$ . Then by SUBTRANS also  $\tau_1 \leq \tau'_1$  and  $\tau'_2 \leq \tau_2$ , so the result follows.

□

**Lemma 3.13** If  $\tau' \leq \bar{q}(\tau_1 \rightarrow \tau_2)$ , then  $\tau'$  has the form  $\bar{q}'(\tau'_1 \rightarrow \tau'_2)$ , where  $\tau_1 \leq \tau'_1$  and  $\tau'_2 \leq \tau_2$ .

**Proof** By induction on the depth of the derivation of  $\tau' \leq \bar{q}(\tau_1 \rightarrow \tau_2)$ . Case analysis of the last rule used in the derivation.

- SUBVALQUAL: Then  $\tau' = q\bar{q}(\tau_1 \rightarrow \tau_2)$ . By SUBREF we have  $\tau_1 \leq \tau_1$  and  $\tau_2 \leq \tau_2$ , so the result follows.
- SUBQUALREORDER: Then  $\bar{q} = q_2q_1\bar{q}'$  and  $\tau' = q_1q_2\bar{q}'(\tau_1 \rightarrow \tau_2)$ . By SUBREF we have  $\tau_1 \leq \tau_1$  and  $\tau_2 \leq \tau_2$ , so the result follows.
- SUBREF: Then  $\tau' = \bar{q}(\tau_1 \rightarrow \tau_2)$ . By SUBREF we have  $\tau_1 \leq \tau_1$  and  $\tau_2 \leq \tau_2$ , so the result follows.
- SUBTRANS: Then  $\tau' \leq \tau''$  and  $\tau'' \leq \bar{q}(\tau_1 \rightarrow \tau_2)$ . By induction  $\tau''$  has the form  $\bar{q}''(\tau''_1 \rightarrow \tau''_2)$ , where  $\tau_1 \leq \tau''_1$  and  $\tau''_2 \leq \tau_2$ . Then by induction again,  $\tau'$  has the form  $\bar{q}'(\tau'_1 \rightarrow \tau'_2)$ , where  $\tau''_1 \leq \tau'_1$  and  $\tau''_2 \leq \tau'_2$ . Then by SUBTRANS we have  $\tau_1 \leq \tau'_1$  and  $\tau'_2 \leq \tau_2$ , so the result follows.
- SUBFUN: Then  $\bar{q}$  is empty and  $\tau' = \tau'_1 \rightarrow \tau'_2$ , where  $\tau_1 \leq \tau'_1$  and  $\tau'_2 \leq \tau_2$ , so the result follows with  $\bar{q}'$  as the empty sequence.

□

**Lemma 3.14** If  $\Gamma; \tau' \vdash \langle \sigma, v \rangle$  and  $\Gamma; \mathbf{ref} \tau \vdash \langle \sigma, l \rangle$  and  $\tau'$  is a component of  $\tau$ , then  $\sigma \vdash v \not\rightsquigarrow l$ .

**Proof** By induction on the depth of the derivation of  $\Gamma; \tau' \vdash \langle \sigma, v \rangle$ . Case analysis of the last rule used in the derivation.

- Q-INT: Then  $v = c$  and the result follows from UNREACHINT.
- Q-UNIT: Then  $v = ()$  and the result follows from UNREACHUNIT.
- Q-FUN: Then  $v = \lambda x.s$  and the result follows from UNREACHFUN.
- Q-REF: Then  $v = l'$  and  $\tau' = \mathbf{ref} \tau''$  and  $\Gamma \vdash l' : \mathbf{ref} \tau''$  and  $\Gamma; \tau'' \vdash \langle \sigma, \sigma(l') \rangle$  and  $l' \in \text{dom}(\sigma)$ .

First we show that  $l' \neq l$ . Suppose not, so  $l' = l$ . Since  $\Gamma \vdash l' : \mathbf{ref} \tau''$ , by Lemma 3.8  $\Gamma(l') = \Gamma(l)$  has the form  $\bar{q}(\mathbf{ref} \tau'')$ . We're given that  $\Gamma; \mathbf{ref} \tau \vdash \langle \sigma, l \rangle$ , so by Q-REF we have  $\Gamma \vdash l : \mathbf{ref} \tau$ , so again by Lemma 3.8  $\Gamma(l)$  also has the form  $\bar{q}'(\mathbf{ref} \tau)$ . Therefore, it must be the case that  $\tau = \tau''$ . But we know that  $\mathbf{ref} \tau''$  is a component of  $\tau$ , so we have a contradiction.

Since  $\mathbf{ref} \tau''$  is a component of  $\tau$ , so is  $\tau''$ . Since  $\Gamma; \tau'' \vdash \langle \sigma, \sigma(l') \rangle$  and  $\Gamma; \mathbf{ref} \tau \vdash \langle \sigma, l \rangle$ , by induction we have  $\sigma \vdash \sigma(l') \not\rightsquigarrow l$ . Therefore, we have shown  $l' \neq l$  and  $l' \in \text{dom}(\sigma)$  and  $\sigma \vdash \sigma(l') \not\rightsquigarrow l$ , so by UNREACHLOC we have  $\sigma \vdash l' \not\rightsquigarrow l$ .

- Q-QUAL: Then  $\tau' = q\tau''$  and  $\Gamma; \tau'' \vdash \langle \sigma, v \rangle$ . Since  $\tau'$  is a component of  $\tau$ , so is  $\tau''$ . Then by induction we have  $\sigma \vdash v \not\rightsquigarrow l$ .

□

**Lemma 3.15** If  $\Gamma; \tau \vdash \langle \sigma, v \rangle$  and  $\sigma \vdash v \not\rightsquigarrow l$ , then  $\Gamma; \tau \vdash \langle \sigma[l \mapsto v'], v \rangle$ .

**Proof** By induction on the depth of the derivation of  $\Gamma; \tau \vdash \langle \sigma, v \rangle$ . Case analysis of the last rule used in the derivation.

- Q-INT: Then  $\tau = \mathbf{int}$  and  $v = c$ , and the result follows by Q-INT.
- Q-UNIT: Then  $\tau = \mathbf{unit}$  and  $v = ()$ , and the result follows by Q-UNIT.
- Q-FUN: Then  $\tau = \tau_1 \rightarrow \tau_2$  and  $v = \lambda x.s$  and  $\Gamma \vdash \lambda x.s : \tau_1 \rightarrow \tau_2$ . Then the result follows by Q-FUN.
- Q-REF: Then  $\tau = \mathbf{ref} \tau'$  and  $v = l'$  and  $\Gamma \vdash l' : \mathbf{ref} \tau'$  and  $\Gamma; \tau' \vdash \langle \sigma, \sigma(l') \rangle$  and  $l' \in \text{dom}(\sigma)$ . Then also  $l' \in \text{dom}(\sigma[l \mapsto v'])$ . The result follows by Q-REF if we can prove  $\Gamma; \tau' \vdash \langle \sigma[l \mapsto v'], \sigma[l \mapsto v'](l') \rangle$ . Since  $\sigma \vdash l' \not\rightsquigarrow l$  and  $l' \in \text{dom}(\sigma)$ , by UNREACHLOC we have  $l' \neq l$  and  $\sigma \vdash \sigma(l') \not\rightsquigarrow l$ . Since  $\Gamma; \tau' \vdash \langle \sigma, \sigma(l') \rangle$  and  $\sigma \vdash \sigma(l') \not\rightsquigarrow l$ , by induction  $\Gamma; \tau' \vdash \langle \sigma[l \mapsto v'], \sigma(l') \rangle$ . Since  $l' \neq l$ ,  $\sigma(l') = \sigma[l \mapsto v'](l')$ , so we have proven  $\Gamma; \tau' \vdash \langle \sigma[l \mapsto v'], \sigma[l \mapsto v'](l') \rangle$ .
- Q-QUAL: Then  $\tau = q\tau'$  and  $[[q]](v)$  and  $\Gamma; \tau' \vdash \langle \sigma, v \rangle$ . By induction we have  $\Gamma'; \tau' \vdash \langle \sigma[l \mapsto v'], v \rangle$ , and the result follows by Q-QUAL.

□

**Lemma 3.16** If  $\Gamma \sim \sigma$  and it is not the case that  $\sigma \vdash v \not\rightsquigarrow l$ , then there exists a location  $l'$  such that  $v = l'$  and a nonnegative integer  $k$  such that  $\sigma^k(l') = l$ .

**Proof** We prove this lemma by induction on the *depth* of  $v$ , which we define as follows. If  $v$  is not a location, then  $\text{depth}(v) = 0$ . Otherwise  $v$  has the form  $l'$ . If  $l' \notin \text{dom}(\sigma)$  then  $\text{depth}(l') = 0$ . Otherwise  $l' \in \text{dom}(\sigma)$ . Since  $\Gamma \sim \sigma$ , by Lemma 3.24 we have  $\sigma \vdash \sigma(l') \not\rightsquigarrow l'$ . Then by Lemma 3.17 there exists a positive integer  $k'$  and a value  $v'$  such that  $\sigma^{k'}(l') = v'$ , where  $v'$  is not a location, and  $\text{depth}(l')$  is defined to be  $k'$ . Note that  $k'$  is unique.

- $v$  has depth 0: Then either  $v$  is not a location or  $v = l'$  and  $l' \notin \text{dom}(\sigma)$ . If  $v$  is not a location, then it is either an integer constant  $c$ , the unit value  $()$ , or a function value  $\lambda x.s$ . But then  $\sigma \vdash v \not\rightsquigarrow l$  by UNREACHINT, UNREACHUNIT, and UNREACHFUN, contradicting our initial assumptions. Therefore  $v = l'$  and  $l' \notin \text{dom}(\sigma)$ . Since it is not the case that  $\sigma \vdash l' \not\rightsquigarrow l$ , by UNREACHLOC we have that either  $l' = l$  or  $l' \in \text{dom}(\sigma)$  and it is not the case that  $\sigma \vdash \sigma(l') \not\rightsquigarrow l$ . Therefore  $l' = l$ , so  $\sigma^0(l') = l$  and the result follows with  $k = 0$ .
- $v$  has depth  $d > 0$ : Then  $v = l'$  and  $l' \in \text{dom}(\sigma)$  and there exists a value  $v'$  such that  $\sigma^d(l') = v'$ , where  $v'$  is not a location. Since it is not the case that  $\sigma \vdash l' \not\rightsquigarrow l$ , by UNREACHLOC we have that either  $l' = l$  or  $l' \in \text{dom}(\sigma)$  and it is not the case that  $\sigma \vdash \sigma(l') \not\rightsquigarrow l$ . If  $l' = l$  then  $\sigma^0(l') = l$  and the result follows with  $k = 0$ . Otherwise  $l' \in \text{dom}(\sigma)$  and it is not the case that  $\sigma \vdash \sigma(l') \not\rightsquigarrow l$ . If we can show that  $\sigma(l')$  has a smaller depth than  $l'$ , then by induction we have that there exists a location  $l''$  such that  $\sigma(l') = l''$  and a nonnegative integer  $k''$  such that  $\sigma^{k''}(l'') = l$ , so  $\sigma^{k''+1}(l') = l$  and the result follows.

To see that  $\sigma(l')$  has smaller depth than  $l'$ , we analyze the form of  $\sigma(l')$ . If it is a value other than a location or it is a location that is not in  $\text{dom}(\sigma)$ , then  $\text{depth}(\sigma(l')) = 0$ . Since the depth of  $l'$  is  $d > 0$ , the result follows. Otherwise,  $\sigma(l')$  is some location  $l'' \in \text{dom}(\sigma)$ . Since there exists a value  $v'$  such that  $\sigma^d(l') = v'$ , where  $v'$  is not a location, also  $\sigma^{d-1}(l'') = v'$ , so the depth of  $l''$  is  $d - 1$ , which is smaller than  $d$ .

□

**Lemma 3.17** If  $\Gamma \sim \sigma$  and  $l \in \text{dom}(\sigma)$  and  $\sigma \vdash \sigma^k(l) \not\rightsquigarrow l$  for some nonnegative integer  $k$ , then there exists a positive integer  $k'$  and a value  $v$  such that  $\sigma^{k'}(l) = v$ , where  $v$  is not a location.

**Proof** By induction on the depth of the derivation of  $\sigma \vdash \sigma^k(l) \not\rightsquigarrow l$ . Case analysis of the last rule used in the derivation.

- UNREACHINT, UNREACHUNIT, or UNREACHFUN: Then  $\sigma^k(l)$  is not a location. Since  $l$  is a location,  $k > 0$ , so the result follows with  $k' = k$ .
- UNREACHLOC: Then  $\sigma^k(l) = l'$  and  $l' \neq l$  and  $l' \in \text{dom}(\sigma) \Rightarrow \sigma \vdash \sigma(l') \not\rightsquigarrow l$ . Then by Lemma 3.18  $l' \in \text{dom}(\sigma)$ . Therefore we have  $\sigma \vdash \sigma(l') \not\rightsquigarrow l$ , or equivalently  $\sigma \vdash \sigma^{k+1}(l) \not\rightsquigarrow l$ . Then by induction there exists a positive integer  $k'$  and a value  $v$  such that  $\sigma^{k'}(l) = v$ , where  $v$  is not a location.

□

**Lemma 3.18** If  $\Gamma \sim \sigma$  and  $l \in \text{dom}(\sigma)$  and  $\sigma^k(l) = l'$  for some nonnegative integer  $k$ , then  $l' \in \text{dom}(\sigma)$ .

**Proof** By induction on  $k$ .

- $k = 0$ : Then  $l = l'$  and since  $l \in \text{dom}(\sigma)$  also  $l' \in \text{dom}(\sigma)$ .
- $k > 0$ : Let  $\sigma^{k-1}(l) = l''$ . By induction  $l'' \in \text{dom}(\sigma)$ . Since  $\Gamma \sim \sigma$ , we have  $\Gamma; \Gamma(l'') \vdash \langle \sigma, l'' \rangle$ . By Lemma 3.22,  $\Gamma(l'')$  has the form  $\bar{q}(\text{ref } \tau)$ , and by Lemma 3.23 we have  $\Gamma; \tau \vdash \langle \sigma, l' \rangle$ . By Lemma 3.22 again,  $\tau$  has the form  $\bar{q}'(\text{ref } \tau')$ , and by Lemma 3.23 again we have  $l' \in \text{dom}(\sigma)$ .

□

**Lemma 3.19** If  $\Gamma_2 \sim \sigma_2$  and  $\Gamma_2; \Gamma_2(l) \vdash \langle \sigma_2, l \rangle$  and  $\sigma_2^k(l) = l_1$  for some nonnegative integer  $k$  and  $\sigma' = \sigma_2[l_1 \mapsto v_2]$  and  $\Gamma_2; \tau' \vdash \langle \sigma_2, v_2 \rangle$  and  $l_1 \in \text{dom}(\sigma_2)$  and  $\Gamma_2 \vdash l_1 : \text{ref } \tau'$ , then  $\Gamma_2; \Gamma_2(l) \vdash \langle \sigma', l \rangle$ .

**Proof** Assume WLOG that  $k$  is the smallest nonnegative integer such that  $\sigma_2^k(l) = l_1$ . We prove this lemma by induction on  $k$ .

- $k = 0$ : Then  $l = l_1$ , so we must show  $\Gamma_2; \Gamma_2(l_1) \vdash \langle \sigma', l_1 \rangle$ . Since  $\Gamma_2 \vdash l_1 : \text{ref } \tau'$ , by Lemma 3.8, there exists some  $\bar{q}$  such that  $\Gamma_2(l_1) = \bar{q}(\text{ref } \tau')$ . Since  $\Gamma_2 \sim \sigma_2$ , we know that  $\Gamma_2; \bar{q}(\text{ref } \tau') \vdash \langle \sigma_2, l_1 \rangle$ . Then by Lemma 3.20 also  $\Gamma_2; \bar{q}(\text{ref } \tau') \vdash \langle \sigma', l_1 \rangle$ .
- $k > 0$ : Since  $\Gamma_2; \Gamma_2(l) \vdash \langle \sigma_2, l \rangle$ , by Lemma 3.22  $\Gamma_2(l)$  has the form  $\bar{q}(\text{ref } \tau'')$ . Then the result follows by Lemma 3.21.

□

**Lemma 3.20** If  $\Gamma_2; \bar{q}(\text{ref } \tau') \vdash \langle \sigma_2, l_1 \rangle$  and  $\Gamma_2; \tau' \vdash \langle \sigma_2, v_2 \rangle$  and  $\sigma' = \sigma_2[l_1 \mapsto v_2]$  and  $l_1 \in \text{dom}(\sigma_2)$ , then  $\Gamma_2; \bar{q}(\text{ref } \tau') \vdash \langle \sigma', l \rangle$ .

**Proof** By induction on the length of  $\bar{q}$ .

- $\bar{q}$  has length 0: So  $\Gamma_2; \text{ref } \tau' \vdash \langle \sigma_2, l_1 \rangle$ . Then by Q-REF,  $\Gamma_2 \vdash l_1 : \text{ref } \tau'$ . Since  $\sigma' = \sigma_2[l_1 \mapsto v_2]$ , we have  $l_1 \in \text{dom}(\sigma')$ . Since  $\sigma'(l_1) = v_2$ , if we can show that  $\Gamma_2; \tau' \vdash \langle \sigma', v_2 \rangle$ , then by Q-REF we have  $\Gamma_2; \text{ref } \tau' \vdash \langle \sigma', l_1 \rangle$ , which is what we are trying to prove.

Since  $\Gamma_2; \tau' \vdash \langle \sigma_2, v_2 \rangle$  and  $\Gamma_2; \text{ref } \tau' \vdash \langle \sigma_2, l_1 \rangle$ , by Lemma 3.14 we have  $\sigma_2 \vdash v_2 \not\rightsquigarrow l_1$ . Then by Lemma 3.15 we have  $\Gamma_2; \tau' \vdash \langle \sigma_2[l_1 \mapsto v_2], v_2 \rangle$ , or equivalently  $\Gamma_2; \tau' \vdash \langle \sigma', v_2 \rangle$ .

- $\bar{q}$  has length greater than zero: So  $\bar{q}$  has the form  $q\bar{q}'$  and  $\Gamma_2; q\bar{q}'(\text{ref } \tau') \vdash \langle \sigma_2, l_1 \rangle$ . By Q-QUAL we have  $[[q]](l_1)$  and  $\Gamma_2; \bar{q}'(\text{ref } \tau') \vdash \langle \sigma_2, l_1 \rangle$ . By induction  $\Gamma_2; \bar{q}'(\text{ref } \tau') \vdash \langle \sigma', l_1 \rangle$ , and by Q-QUAL also  $\Gamma_2; q\bar{q}'(\text{ref } \tau') \vdash \langle \sigma', l_1 \rangle$ .

□

**Lemma 3.21** If  $\Gamma_2 \sim \sigma_2$  and  $\Gamma_2; \bar{q}(\mathbf{ref} \tau'') \vdash \langle \sigma_2, l \rangle$  and  $\sigma_2^k(l) = l_1$  for some positive integer  $k$  and  $\sigma' = \sigma_2[l_1 \mapsto v_2]$  and Lemma 3.19 holds for all nonnegative integers  $i$  such that  $0 \leq i < k$ , then  $\Gamma_2; \bar{q}(\mathbf{ref} \tau'') \vdash \langle \sigma', l \rangle$ .

**Proof** We prove this lemma by induction on the length of  $\bar{q}$ .

- $\bar{q}$  has length 0: So  $\Gamma_2; \mathbf{ref} \tau'' \vdash \langle \sigma_2, l \rangle$ . By Q-REF,  $\Gamma_2 \vdash l : \mathbf{ref} \tau''$  and  $l \in \text{dom}(\sigma_2)$  and  $\Gamma_2; \tau'' \vdash \langle \sigma_2, \sigma_2(l) \rangle$ . Since  $k > 0$  we have that  $l \neq l_1$ , so  $\sigma_2(l) = \sigma'(l)$  and  $\Gamma_2; \tau'' \vdash \langle \sigma_2, \sigma'(l) \rangle$ . Since  $l \in \text{dom}(\sigma_2)$ , by definition of  $\sigma'$  we have  $l \in \text{dom}(\sigma')$ . Then the result holds by Q-REF if we can show that  $\Gamma_2; \tau'' \vdash \langle \sigma', \sigma'(l) \rangle$ .

Since  $\sigma_2^k(l) = l_1$  we have  $\sigma_2^{k-1}(\sigma_2(l)) = l_1$ , and  $\sigma_2(l)$  must be some location  $l'$ . Then we have  $\Gamma_2; \tau'' \vdash \langle \sigma_2, l' \rangle$ , so by Lemma 3.22  $\tau''$  has the form  $\bar{q}_0(\mathbf{ref} \tau_0)$ , and by Lemma 3.23 we have  $l' \in \text{dom}(\sigma_2)$ . Since  $\Gamma_2 \sim \sigma_2$ , also  $l' \in \text{dom}(\Gamma_2)$  and we have  $\Gamma_2; \Gamma_2(l') \vdash \langle \sigma_2, l' \rangle$ . Therefore by induction on Lemma 3.19 we have  $\Gamma_2; \Gamma_2(l') \vdash \langle \sigma', l' \rangle$ .

Since  $\Gamma_2; \tau'' \vdash \langle \sigma_2, l' \rangle$ , by Lemma 3.3 we have  $\Gamma_2 \vdash l' : \bar{q}_0(\mathbf{ref} \tau_0)$ . Then by Lemma 3.8 we have  $\Gamma_2(l') = \bar{q}'_0(\mathbf{ref} \tau_0)$ . Then since  $\Gamma_2; \Gamma_2(l') \vdash \langle \sigma', l' \rangle$ , by Lemma 3.25 we have  $\Gamma_2; \mathbf{ref} \tau_0 \vdash \langle \sigma', l' \rangle$ . Finally, since  $\Gamma_2; \bar{q}_0(\mathbf{ref} \tau_0) \vdash \langle \sigma_2, l' \rangle$  by Lemma 3.26 we also  $\Gamma_2; \bar{q}_0(\mathbf{ref} \tau_0) \vdash \langle \sigma', l' \rangle$ , which is what we were trying to prove.

- $\bar{q}$  has length greater than zero, so  $\bar{q}$  has the form  $q\bar{q}'$  and  $\Gamma_2; q\bar{q}'(\mathbf{ref} \tau'') \vdash \langle \sigma_2, l \rangle$ . By Q-QUAL we have  $[[q]](l)$  and  $\Gamma_2; \bar{q}'(\mathbf{ref} \tau'') \vdash \langle \sigma_2, l \rangle$ . By induction  $\Gamma_2; \bar{q}'(\mathbf{ref} \tau'') \vdash \langle \sigma', l \rangle$ , and by Q-QUAL also  $\Gamma_2; q\bar{q}'(\mathbf{ref} \tau'') \vdash \langle \sigma', l \rangle$  as desired.

□

**Lemma 3.22** If  $\Gamma; \tau \vdash \langle \sigma, l \rangle$  then  $\tau$  has the form  $\bar{q}(\mathbf{ref} \tau')$ .

**Proof** By induction on the depth of the derivation of  $\Gamma; \tau \vdash \langle \sigma, l \rangle$ . Case analysis of the last rule used in the derivation.

- Q-REF: Then  $\tau$  has the form  $\mathbf{ref} \tau'$ , so the result follows with  $\bar{q}$  being empty.
- Q-QUAL: Then  $\tau = q\tau''$  and  $\Gamma; \tau'' \vdash \langle \sigma, l \rangle$ . By induction  $\tau''$  has the form  $\bar{q}'(\mathbf{ref} \tau')$ , so  $\tau$  has the form  $\bar{q}(\mathbf{ref} \tau')$ , where  $\bar{q} = q\bar{q}'$ .

□

**Lemma 3.23** If  $\Gamma; \bar{q}(\mathbf{ref} \tau) \vdash \langle \sigma, l \rangle$ , then  $l \in \text{dom}(\sigma)$  and  $\Gamma; \tau \vdash \langle \sigma, \sigma(l) \rangle$ .

**Proof** By induction on the depth of the derivation of  $\Gamma; \bar{q}(\mathbf{ref} \tau) \vdash \langle \sigma, l \rangle$ . Case analysis of the last rule used in the derivation.

- Q-REF: Then  $\bar{q}$  is empty and  $l \in \text{dom}(\sigma)$  and  $\Gamma; \tau \vdash \langle \sigma, \sigma(l) \rangle$ .
- Q-QUAL: Then  $\bar{q} = q\bar{q}'$  and  $\Gamma; \bar{q}'(\mathbf{ref} \tau) \vdash \langle \sigma, l \rangle$ . By induction,  $l \in \text{dom}(\sigma)$  and  $\Gamma; \tau \vdash \langle \sigma, \sigma(l) \rangle$ .

□

**Lemma 3.24** If  $\Gamma \sim \sigma$  and  $l \in \text{dom}(\sigma)$  then  $\sigma \vdash \sigma(l) \not\sim l$ .

**Proof** Since  $\Gamma \sim \sigma$  and  $l \in \text{dom}(\sigma)$ , also  $l \in \text{dom}(\Gamma)$ . Then since  $\Gamma \sim \sigma$ , we have  $\Gamma; \Gamma(l) \vdash \langle \sigma, l \rangle$ . Then by Lemma 3.22  $\Gamma(l)$  has the form  $\bar{q}(\mathbf{ref} \tau)$ , and by Lemma 3.23 also  $\Gamma; \tau \vdash \langle \sigma, \sigma(l) \rangle$ . Then since  $\tau$  is a component of  $\bar{q}(\mathbf{ref} \tau)$ , by Lemma 3.14 we have  $\sigma \vdash \sigma(l) \not\sim l$ . □

**Lemma 3.25** If  $\Gamma; \bar{q}\tau \vdash \langle \sigma, v \rangle$  then  $\Gamma; \tau \vdash \langle \sigma, v \rangle$ .

**Proof** By induction on the length of  $\bar{q}$ .

- $\bar{q}$  has length 0: Then  $\bar{q}\tau = \tau$  and the result follows.
- $\bar{q}$  has length  $k > 0$ : Then  $\bar{q} = q\bar{q}'$ . Since  $\Gamma; \bar{q}\tau \vdash \langle \sigma, v \rangle$ , by Q-QUAL we have  $\Gamma; \bar{q}'\tau \vdash \langle \sigma, v \rangle$ , and the result follows by induction.

□

**Lemma 3.26** If  $\Gamma; \tau \vdash \langle \sigma, v \rangle$  and  $\Gamma; \bar{q}\tau \vdash \langle \sigma', v \rangle$ , then  $\Gamma; \bar{q}\tau \vdash \langle \sigma, v \rangle$ .

**Proof** By induction on the length of  $\bar{q}$ .

- $\bar{q}$  has length 0: Then  $\bar{q}\tau = \tau$  and the result follows.
- $\bar{q}$  has length  $k > 0$ : Then  $\bar{q} = q\bar{q}'$ . Since  $\Gamma; \bar{q}\tau \vdash \langle \sigma', v \rangle$ , by Q-QUAL we have  $[[q]](v)$  and  $\Gamma; \bar{q}'\tau \vdash \langle \sigma', v \rangle$ . Then by induction we have  $\Gamma; \bar{q}'\tau \vdash \langle \sigma, v \rangle$ , and the result follows by Q-QUAL.

□