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**DATA COMMUNICATION NETWORKS:
OPEN SYSTEMS INTERCONNECTION (OSI);
MANAGEMENT**

**COMMON MANAGEMENT INFORMATION
PROTOCOL SPECIFICATION
FOR CCITT APPLICATIONS**

Recommendation X.711

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FOREWORD

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CCITT NOTE

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Recommendation X.711

COMMON MANAGEMENT INFORMATION PROTOCOL SPECIFICATION FOR CCITT APPLICATIONS¹⁾

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1 Scope

This Recommendation specifies a protocol which is used by application layer entities to exchange management information.

This Recommendation specifies:

- procedures for the transmission of management information between application entities;
- the abstract syntax of the Common Management Information Protocol (CMIP) and the associated encoding rules to be applied;
- procedures for the correct interpretation of protocol control information;
- the conformance requirements to be met by implementation of this Recommendation.

This Recommendation does not specify:

- the structure or meaning of the management information that is transmitted by means of CMIP;

¹⁾ Recommendation X.711 and ISO/IEC 9596, *Information technology — Open systems interconnection — Common management information protocol specification* were developed in close collaboration and are technically identical.

- the manner in which management is accomplished as a result of CMIP exchanges;
- the interactions which result in the use of CMIP.

2 References

- [1] CCITT Recommendation *Reference Model of Open Systems Interconnection for CCITT Applications*, Blue Book, Fascicle VIII.4, Rec. X.200, ITU, Geneva, 1988.
- [2] ISO/IEC 7498-4 — *Information processing systems — Open Systems Interconnection — Basic Reference model — Part 4: Management framework* 1989.
- [3] CCITT Recommendation *Specification of abstract syntax notation one (ASN.1)*, Blue Book, Fascicle VIII.4, Rec. X.208, ITU, Geneva, 1988.
- [4] CCITT Recommendation *Specification of Basic Encoding Rules for abstract syntax notation one (ASN.1)*, Blue Book, Fascicle VIII.4, Rec. X.209, ITU, Geneva, 1988.
- [5] CCITT Recommendation *Session Service Definition for Open Systems Interconnection for CCITT Applications*, Blue Book, Fascicle VIII.4, Rec. X.215, ITU, Geneva, 1988.
- [6] CCITT Recommendation *Presentation Service Definition for Open Systems Interconnection for CCITT Applications*, Blue Book, Fascicle VIII.4, Rec. X.216, ITU, Geneva, 1988.
- [7] CCITT Recommendation *Association Control Service Definition for Open Systems interconnection for CCITT Applications*, Blue Book, Fascicle VIII.4, Rec. X.217, ITU, Geneva, 1988.
- [8] CCITT Recommendation *Remote Operations: Model, Notation and Service Definition*, Blue Book, Fascicle VIII.4, Rec. X.219, ITU, Geneva, 1988.
- [9] CCITT Recommendation *Presentation Protocol Specification for Open Systems Interconnection for CCITT Applications*, Blue Book, Fascicle VIII.5, Rec. X.226, ITU, Geneva, 1988.
- [10] CCITT Recommendation *Association Control Protocol Specification for Open Systems interconnection for CCITT Applications*, Blue Book, Fascicle VIII.5, Rec. X.227, ITU, Geneva, 1988.
- [11] CCITT Recommendation *Remote Operations: Protocol Specification*, Blue Book, Fascicle VIII.5, Rec. X.229, ITU, Geneva, 1988.
- [12] CCITT Recommendation *Common Management Information Service Definition for CCITT Applications*, Rec. X.710, ITU, Geneva, 1991.

3 Definitions

For the purposes of this Recommendation, the following definitions apply.

3.1 Basic Reference Model definitions

This Recommendation makes use of the following terms defined in Recommendation X.200

[1]:

- a) application-service-element;
- b) application-process;
- c) real open system;
- d) systems-management.

3.2 Management Framework definitions

This Recommendation makes use of the following terms defined in ISO/IEC 7498-4 [2]:

- a) managed object;

b) management information;

- c) management information base;
- d) systems management application-entity.

3.3 *Remote Operations definitions*

This Recommendation makes use of the following terms defined in Recommendation X.219

[8]:

- a) association-initiator;
- b) association-responder;
- c) linked-operations;
- d) Remote Operations;
- e) Remote Operation Service Element;
- f) invoker;
- g) performer;
- h) Association Class;
- i) Operation Class.

3.4 *CMIS definitions*

This Recommendation makes use of the following terms defined in Recommendation X.710

[12]:

- a) attribute;
- b) common management information service element;
- c) common management information services;
- d) CMISE-service-provider;
- e) CMISE-service-user;
- f) invoking CMISE-service-user;
- g) performing CMISE-service-user.

3.5 *ACSE definitions*

This Recommendation makes use of the following terms defined in Recommendation X.217

[7]:

- a) application context;
- b) application-association;
- c) association.

3.6 *Presentation definitions*

This Recommendation makes use of the following terms defined in Recommendation X.216

[6]:

- a) abstract syntax;
- b) transfer syntax.

4 Symbols and abbreviations

ACSE Association Control Service Element

APDU Application protocol data unit

ASE Application Service Element

ASN.1 Abstract Syntax Notation One

CMIP Common management information protocol
CMIPM Common management information protocol machine
CMIS Common Management Information service
CMISE Common Management Information Service Element
DCS Defined context set
PCI Protocol control information
PDU Protocol data unit
PICS Protocol implementation conformance statement
RO Remote operations
ROSE Remote Operations Service Element
SMAE Systems management application-entity

5 Overview

The common management information protocol (CMIP) specifies protocol elements that may be used to provide the operation and notification services described in Recommendation X.710 [12], which defines the Common Management Information Services (CMIS).

5.1 *Service provided*

The protocol specified in this Recommendation supports the services defined in Recommendation X.710 [12]. These services are summarized in Table 1/X.711.

Common management information services

Service	Type
M-CANCEL-GET	confirmed
M-EVENT-REPORT	confirmed/non-confirmed
M-GET	confirmed
M-SET	confirmed/non-confirmed
M-ACTION	confirmed/non-confirmed
M-CREATE	confirmed
M-DELETE	confirmed

5.2 *Underlying services*

This Recommendation uses the RO-INVOKE, RO-RESULT, RO-ERROR and RO-REJECT-U services of the Remote Operations Service Element (ROSE) defined in Recommendation X.219 [8]. ROSE assumes the use of the presentation service defined in Recommendation X.216 [6]. The confirmed operations of CMIP are operation class 2 (asynchronous) or operation class 1 (synchronous) as required by the application. The choice of operation class is a local matter. The unconfirmed operations of CMIP are operation class 5 (asynchronous, outcome not reported). CMIP uses Association class 3.

If the extended service functional unit is successfully negotiated, ROSEapdus may be mapped on to presentation services other than the P-DATA service.

Note — For example, it may be necessary to modify the presentation defined context set (DCS) when the CMIP operation is sent to the peer CMISE-service-user. In this case, the ROSE APDU which carries the CMIP operation will be mapped onto the P-ALTER-CONTEXT service which is also used to perform the changes to the DCS.

Details of which other presentation services are required and how they are used, are described in the description of the application context in use on the association.

5.2.1 *Service assumed from the ACSE*

This Recommendation assumes the use of the A-ASSOCIATE, A-RELEASE, A-ABORT, and A-P-ABORT services of the Association Control Service Element.

5.2.2 *Service assumed from the presentation layer*

Recommendation X.229 [11] assumes the use of the P-DATA service of the presentation layer for the transfer of the RO-INVOKE, RO-RESULT, RO-ERROR and RO-REJECT PDUs.

5.3 *Management information definitions*

This Recommendation defines the abstract syntax of the Common Management Information Protocol. Attributes specific to a particular managed object are specified by the Recommendation which defines that object.

6 **Elements of procedure**

This clause provides definition for the procedural elements of the CMIP. The procedures define the transfer of CMIP PDUs whose structure, coding and relationship with the CMIS service primitives is specified in § 7.

The Common Management Information Protocol Machine (CMIPM) accepts CMIS request and response service primitives, and issues CMIP PDUs initiating specific elements of procedure as specified in this clause.

A CMIPM shall accept any well-formed CMIP PDU, and pass it to the performing CMISE-service-user for processing, by means of CMIS indication and confirmation service primitives. If the received PDU is not well formed or does not contain a supported notification or operation, a PDU is returned indicating that the received PDU has been rejected.

The procedures indicate only how to interpret the various fields in the CMIP PDU, not what an invoking CMISE-service-user should do with the information it requests nor how a performing CMISE-service-user should process the invocation.

6.1 *Association establishment*

The establishment of an association involves two CMISE-service-users, one that is the association-initiator and one that is the association-responder.

A CMISE-service-user may initiate an association establishment by using the A-ASSOCIATE service of Recommendation X.217 [7].

The application context specifies, among other things, the rules required for the coordination of initialisation information corresponding to different ASEs. The association rules for CMISE are specified in Annex A.

6.2 *Remote operations*

6.2.1 *RO elements of procedure*

The CMIP elements of procedure rely on the following underlying remote operations elements of procedure

- a) invocation;
- b) return-result;
- c) return-error;
- d) user-reject;
- e) provider-reject.

These elements of procedure are described fully in Recommendation X.229 [11].

Table 2/X.711 specifies the correspondence between CMIS and ROSE parameters.

µTABLE 2/X.711

Correspondence between CMIS and ROSE parameters

CMIS parameter	ROSE parameter
Invoke identifier	InvokeID
Linked identifier	Linked-ID

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The correspondence between other CMIS and ROSE parameters is specified in § 7.

6.2.2 RO-Reject problem parameters

The RO-Reject problem parameters are mapped or processed as follows

6.2.2.1 RO-Reject-User.Invoke-problem mapping to CMIS error codes is specified in

µTABLE 3/X.711

Mapping RO-Reject-User. Invoke-problem to CMISE error codes

RO-REJECT parameter	CMISE error code
duplicate-invocation	duplicate invocation
mistyped-argument	mistyped argument
resource-limitation	resource limitation
unrecognized-operation	unrecognized operation

§

Table 3/X.711.

Other Invoke-problem parameters are a local matter.

6.2.2.2 Other RO-Reject parameters will be handled as a local matter.

6.3 *Event reporting procedure*

6.3.1 *Invocation*

The event reporting procedures are initiated by the M-EVENT-REPORT request primitive.

On receipt of the M-EVENT-REPORT request primitive, the CMIPM shall

- a) in the confirmed mode, construct an APDU requesting the m-EventReport-Confirmed operation, otherwise, construct an APDU requesting the m-EventReport operation;
- b) send the APDU using the RO-INVOKE procedure.

6.3.2 *Receipt*

On receipt of an APDU requesting either the m-EventReport or m-EventReport-Confirmed operation, the CMIPM shall, if the APDU is well formed, issue an M-EVENT-REPORT indication primitive to the CMISE-service-user with the mode parameter indicating whether or not confirmation is requested, otherwise, construct an APDU containing notification of the error and send it using the RO-REJECT-U procedure.

6.3.3 *Response*

In the confirmed mode, the CMIPM shall accept an M-EVENT-REPORT response primitive and shall

- a) construct an APDU confirming the M-EVENT-REPORT notification;
- b) if the parameters in the M-EVENT-REPORT response primitive indicate that the notification was accepted, send the APDU using the RO-RESULT procedure, otherwise, send the APDU using the RO-ERROR procedure.

6.3.4 *Receipt of response*

On receipt of an APDU responding to an M-EVENT-REPORT notification, the CMIPM shall, if the APDU is well formed, issue an M-EVENT-REPORT confirmation primitive to the CMISE-service-user, thus completing the notification procedure, otherwise, construct an APDU containing notification of the error and send it using the RO-REJECT-U procedure.

6.4 *Get procedure*

6.4.1 *Invocation*

The Get procedures are initiated by the M-GET request primitive.

On receipt of the M-GET request primitive, the CMIPM shall

- a) construct an APDU requesting the m-Get operation;
- b) send the APDU using the RO-INVOKE procedure.

6.4.2 *Receipt*

On receipt of an APDU requesting the m-Get operation, the CMIPM shall, if the APDU is well formed, issue an M-GET indication primitive to the CMISE-service-user, otherwise, construct an APDU containing notification of the error and send it using the RO-REJECT-U procedure.

6.4.3 *Response*

The CMIPM shall

- a) accept zero or more M-GET response primitives containing a linked-ID followed by a single M-GET response primitive without a linked-ID;
- b) or each M-GET response primitive containing a linked-ID the CMIPM shall
 - construct an APDU requesting the m-Linked-Reply operation with LinkedReplyArgument set appropriately as either getListError, getResult or processingFailure;
 - send each APDU using the RO-INVOKE procedure
- c) for the M-GET response primitive not containing a linked-ID the CMIPM shall
 - construct an APDU confirming the m-Get operation;
 - if the parameters in the M-GET response primitive indicate that the operation was performed correctly, send the APDU using the RO-RESULT procedure. If the parameters in the M-GET response primitive indicate that the operation was performed with partial success or was not performed because of an error, send the APDU using the RO-ERROR procedure.

6.4.4 *Receipt of response*

On receipt of an APDU responding to an m-Get operation, the CMIPM shall

- a) if the APDU included a linked-ID and is well formed, issue an M-GET confirm primitive to the CMISE-service-user;
- b) if the APDU is the last response (i.e. not containing a linked-ID) and is well formed, issue an M-GET confirmation primitive to the CMISE-service-user, thus completing the M-GET procedure;
- c) if the APDU is not well formed, construct an APDU containing notification of the error and send it using the RO-REJECT-U procedure.

6.4.5 *CancelGet procedure*

6.4.5.1 *Invocation*

The CancelGet procedures are initiated by the M-CANCEL-GET request primitive.

On receipt of the M-CANCEL-GET request primitive, the CMIPM shall

- a) construct an APDU requesting the m-CancelGet operation;
- b) send the APDU using the RO-INVOKE procedure.

6.4.5.2 *Receipt*

On receipt of an APDU requesting the m-CancelGet operation, the CMIPM shall, if the APDU is well formed, issue an M-CANCEL-GET indication primitive to the CMISE-service-user, otherwise, construct an APDU containing notification of the error and send it using the RO-REJECT-U procedure.

6.4.5.3 *Response*

The CMIPM shall:

- a) construct an APDU confirming the m-CancelGet operation;
- b) if the parameters in the M-CANCEL-GET response primitive indicate that the operation was performed correctly, send the APDU using the RO-RESULT procedure otherwise, send the APDU using the RO-ERROR procedure. If the M-CANCEL-GET operation is successful, the performing CMISE-service-user shall cease from sending linked replies to the M-GET operation and shall issue an M-GET response primitive which shall contain the “operation cancelled” error.

6.4.5.4 *Receipt of response*

On receipt of an APDU responding to an m-CancelGet operation, the CMIPM shall, if the APDU is well formed, issue an M-CANCEL-GET confirm primitive to the CMISE-service-user, otherwise, construct an APDU containing notification of the error and send it using the RO-REJECT-U procedure.

6.5 *Set procedure*

6.5.1 *Invocation*

The Set procedures are initiated by the M-SET request primitive.

On receipt of the M-SET request primitive, the CMIPM shall

- a) in the confirmed mode, construct an APDU requesting the m-Set-Confirmed operation, otherwise, construct an APDU requesting the m-Set operation,
- b) send the APDU using the RO-INVOKE procedure.

6.5.2 *Receipt*

On receipt of an APDU requesting the m-Set or m-Set-Confirmed operation, the CMIPM shall, if the APDU is well formed, issue an M-SET indication primitive to the CMISE-service-user, with the mode parameter indicating whether or not confirmation is requested, otherwise, construct an APDU containing notification of the error and send it using the RO-REJECT-U procedure.

6.5.3 *Response*

In the confirmed mode, the CMIPM shall:

- a) accept zero or more M-SET response primitives containing a linked-ID followed by a single M-SET response primitive without a linked-ID;
- b) for each M-SET response primitive containing a linked-ID the CMIPM shall
 - construct an APDU requesting the m-Linked-Reply operation with LinkedReplyArgument set appropriately as either setListError, setResult or processingFailure;
 - send each APDU using the RO-INVOKE procedure;
- c) for the M-SET response primitive not containing a linked-ID the CMIPM shall
 - construct an APDU confirming the m-Set operation;
 - if the parameters in the M-SET response primitive indicate that the operation was performed correctly, send the APDU using the RO-RESULT procedure. If the parameters in the M-SET response primitive indicate that the operation was performed with partial success or was not performed because of an error, send the APDU using the RO-ERROR procedure.

6.5.4 *Receipt of response*

On receipt of an APDU responding to an m-Set-Confirmed operation, the CMIPM shall

- a) if the APDU included a linked-ID and is well formed, issue an M-SET confirm primitive to the CMISE-service-user;
- b) if the APDU is the last response (i.e. not containing a linked-ID) and is well formed, issue an M-SET confirmation primitive to the CMISE-service-user, thus completing the M-SET procedure;
- c) if the APDU is not well formed, construct an APDU containing notification of the error and send it using the RO-REJECT-U procedure.

6.6 *Action procedure*

6.6.1 *Invocation*

The Action procedures are initiated by the M-ACTION request primitive.

On receipt of the M-ACTION request primitive, the CMIPM shall

- a) in the confirmed mode, construct an APDU requesting the m-Action-Confirmed operation otherwise, construct an APDU requesting the m-Action operation,
- b) send the APDU using the RO-INVOKE procedure.

6.6.2 *Receipt*

On receipt of an APDU requesting the m-Action or m-Action-Confirmed operation, the CMIPM shall, if the APDU is well formed, issue an M-ACTION indication primitive to the CMISE-service-user, with the mode parameter indicating whether or not confirmation is requested, otherwise, construct an APDU containing notification of the error and send it using the RO-REJECT-U procedure.

6.6.3 *Response*

In the confirmed mode, the CMIPM shall

- a) accept zero or more M-ACTION response primitives containing a linked-ID followed by a single M-ACTION response primitive without a linked-ID;
- b) for each M-ACTION response primitive containing a linked-ID the CMIPM shall
 - construct an APDU requesting the m-Linked-Reply operation with LinkedReplyArgument set appropriately as either actionError, actionResult or processingFailure;
 - send each APDU using the RO-INVOKE procedure;
- c) for the M-ACTION response primitive not containing a linked-ID the CMIPM shall
 - construct an APDU confirming the m-Action operation;
 - if the parameters in the M-ACTION response primitive indicate that the operation was performed correctly, send the APDU using the RO-RESULT procedure, otherwise, send the APDU using the RO-ERROR procedure.

6.6.4 *Receipt of response*

On receipt of an APDU responding to an m-Action-Confirmed operation, the CMIPM shall

- a) if the APDU included a linked-ID and is well formed, issue an M-ACTION confirm primitive to the CMISE-service-user;
- b) if the APDU is the last response (i.e. not containing a linked-ID) and is well formed, issue an M-ACTION confirmation primitive to the CMISE-service-user, thus completing the M-ACTION procedure;
- c) if the APDU is not well formed, construct an APDU containing notification of the error and send it using the RO-REJECT-U procedure.

6.7 *Create procedure*

6.7.1 *Invocation*

The Create procedures are initiated by the M-CREATE request primitive.

On receipt of the M-CREATE request primitive, the CMIPM shall

- a) construct an APDU requesting the m-Create operation,
- b) send the APDU using the RO-INVOKE procedure.

6.7.2 *Receipt*

On receipt of an APDU requesting the m-Create operation, the CMIPM shall, if the APDU is well formed, issue an M-CREATE indication primitive to the CMISE-service-user, otherwise, construct an APDU containing notification of the error and send it using the RO-REJECT-U procedure.

6.7.3 *Response*

The CMIPM shall accept an M-CREATE response primitive and shall

- a) construct an APDU confirming the m-Create operation,
- b) if the parameters in the M-CREATE response primitive indicate that the operation was performed correctly, send the APDU using the RO-RESULT procedure, otherwise, send the APDU using the RO-ERROR procedure.

6.7.4 *Receipt of response*

On receipt of an APDU responding to an m-Create operation, the CMIPM shall, if the APDU is well formed, issue an M-CREATE confirmation primitive to the CMISE-service-user, thus completing the M-CREATE procedure, otherwise, construct an APDU containing notification of the error and send it using the RO-REJECT-U procedure.

6.8 *Delete procedure*

6.8.1 *Invocation*

The Delete procedures are initiated by the M-DELETE request primitive.

On receipt of the M-DELETE request primitive, the CMIPM shall

- a) construct an APDU requesting the m-Delete operation,
- b) send the APDU using the RO-INVOKE procedure.

6.8.2 *Receipt*

On receipt of an APDU requesting the m-Delete operation, the CMIPM shall, if the APDU is well formed, issue an M-DELETE indication primitive to the CMISE-service-user, otherwise, construct an APDU containing notification of the error and send it using the RO-REJECT-U procedure.

6.8.3 *Response*

The CMIPM shall

- a) accept zero or more M-DELETE response primitives containing a linked-ID followed by a single M-DELETE response primitive without a linked-ID;
- b) for each M-DELETE response primitive containing a linked-ID the CMIPM shall
 - construct an APDU requesting the m-Linked-Reply operation with LinkedReplyArgument set appropriately as either deleteError, deleteResult or processingFailure;
 - send each APDU using the RO-INVOKE procedure;
- c) for the M-DELETE response primitive not containing a linked-ID the CMIPM shall

- construct an APDU confirming the m-Delete operation;
- if the parameters in the M-DELETE response primitive indicate that the operation was performed correctly, send the APDU using the RO-RESULT procedure, otherwise, send the APDU using the RO-ERROR procedure.

6.8.4 *Receipt of response*

On receipt of an APDU responding to an m-Delete operation, the CMIPM shall

- a) if the APDU included a linked-ID and is well formed, issue an M-DELETE confirm primitive to the CMISE-service-user;
- b) if the APDU is the last response (i.e. not containing a linked-ID) and is well formed, issue an M-DELETE confirmation primitive to the CMIS-service-user, thus completing the M-DELETE procedure;
- c) if the APDU is not well formed, construct an APDU containing notification of the error and send it using the RO-REJECT-U procedure.

6.9 *Association orderly release*

Either CMISE-service-user may initiate an orderly release of the association by using the A-RELEASE service of Recommendation X.217 [7].

Note — This specification is different from the ROSE use of the BIND operation in which only the association-initiator may use the A-RELEASE procedure.

6.10 *Association abrupt release*

Either CMISE-service-user may initiate an abrupt release of the association using the A-ABORT service of Recommendation X.217 [7].

The CMISE-service-provider may initiate an abrupt release of the association using the A-P-ABORT service of Recommendation X.217 [7].

7 **Abstract syntax**

This clause specifies the abstract syntax for the CMIP PDUs.

7.1 *Conventions*

The abstract syntax is defined using the notation specified in Recommendation X.208 [3]. The ASN.1 MACRO productions used or referenced by this Recommendation do not exercise the ambiguous aspects of the grammar.

For each of the CMISE service parameters which is to be transferred by a CMIP PDU, there is a PDU field (an ASN.1 NamedType) with the same name as the corresponding service parameter (see Recommendation X.710 [12]), except for the differences required by the use of ASN.1, which are that blanks between words are removed and the first letter of the following word is capitalized, e.g. “managed object class” becomes “managedObjectClass”. To make some of the names shorter, some words are abbreviated as follows:

ack	acknowledgement
arg	argument
id	identifier
info	information
sync	synchronization.

7.2 Correspondence between CMISE primitives and CMIP operations

µTABLE 4/X.711

Correspondence between CMISE primitives and CMIP operations

CMIS primitive	Mode	Linked-ID	CMIP operation
M-CANCEL-GET req/ind	Confirmed	Not applicable	m-Cancel-Get-Confirmed
M-CANCEL-GET rsp/conf	Not applicable	Not applicable	m-Cancel-Get-Confirmed
M-EVENT-REPORT req/ind	Non-confirmed	Not applicable	m-EventReport
M-EVENT-REPORT req/ind	Confirmed	Not applicable	m-EventReport-Confirmed
M-EVENT-REPORT rsp/conf	Not applicable	Not applicable	m-EventReport-Confirmed
M-GET req/ind	Confirmed	Not applicable	m-Get
M-GET rsp/conf	Not applicable	Absent	m-Get
M-GET rsp/conf	Not	Present	m-Linked-Reply

	applicable		
M-SET req/ind	Non-confirmed	Not applicable	m-Set
M-SET req/ind	Confirmed	Not applicable	m-Set-Confirmed
M-SET rsp/conf	Not applicable	Absent	m-Set-Confirmed
M-SET rsp/conf	Not applicable	Present	m-Linked-Reply
M-ACTION req/ind	Non-confirmed	Not applicable	m-Action
M-ACTION req/ind	Confirmed	Not applicable	m-Action-confirmed
M-ACTION rsp/conf	Not applicable	Absent	m-Action-confirmed
M-ACTION rsp/conf	Not applicable	Present	m-Linked-Reply
M-CREATE req/ind	Confirmed	Not	m-Create

		applicable	
M-CREATE rsp/conf	Not applicable	Not applicable	m-Create
M-DELETE req/ind	Confirmed	Not applicable	m-Delete
M-DELETE rsp/conf	Not applicable	Absent	m-Delete
M-DELETE rsp/conf	Not applicable	Present	m-Linked-Reply

Note — The mapping from the OPERATION and ERROR macros to ROSE is as defined in Recommendation X.219 [8]

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7.3 ACSE user data

The ACSE protocol (Recommendation X.227 [10]) is described using ASN.1. The “user information” is defined using the EXTERNAL data type.

7.3.1 A-ASSOCIATE user data

The encoding of the CMIP user information to be passed to A-ASSOCIATE in the “user information” parameter is defined as follows:

```

CMIP-A-ASSOCIATE-Information {joint-iso-ccitt ms(9) cmip(1) modules(0) aAssociateUserInfo(1)}
DEFINITIONS ::= BEGIN
FunctionalUnits ::= BIT STRING {
    multipleObjectSelection      (0),
    filter                       (1),
    multipleReply                 (2),
    extendedService              (3),
    cancelGet                    (4)

```

```

    }
-- Functional unit i is supported if and only if bit i is one
-- Information carried in user-information parameter of A-ASSOCIATE
    CMIPUserInfo ::= SEQUENCE {
        protocolVersion          [0] IMPLICIT ProtocolVersion DEFAULT
{ version1 },
        functionalUnits          [1] IMPLICIT FunctionalUnits DEFAULT {},
        accessControl            [2] EXTERNAL OPTIONAL,
        userInfo                 [3] EXTERNAL OPTIONAL
    }
    ProtocolVersion ::= BIT STRING {
        version1                (0),
        version2                (1)
    }

```

Note — This Recommendation specifies protocol version 2 for technical compatibility with ISO/IEC 9596-1: 1991.

END

The encoding of other “user information” supplied by the CMISE-service user is not defined by this Recommendation.

7.3.2 A-ABORT user data

The encoding of the CMIP user information to be passed to A-ABORT in the “user information” parameter is defined as follows

CMIP-A-ABORT-Information {joint-iso-ccitt-ms(9) cmip(1) modules(0) aAbortUserInfo(2)}
DEFINITIONS ::= BEGIN

```

-- Information carried in user-information parameter of A-ABORT
    CMIPAbortInfo ::= SEQUENCE {
        abortSource              [0] IMPLICIT CMIPAbortSource,
        userInfo                 [1] EXTERNAL OPTIONAL
    }

```

```

CMIPAbortSource ::= ENUMERATED {
    cmiseServiceUser          (0),
    cmiseServiceProvider      (1)
}
END

```

The encoding of other “user information” supplied by the CMISE-service user is not defined by this Recommendation.

7.4 CMIP data units

The protocol is described in terms of Common Management Information Protocol Data Units exchanged between the peer CMISEs. The PDUs are specified using ASN.1 and the Remote Operations Protocol OPERATION and ERROR external macros defined in Recommendation X.219 [8].

```

-- Common Management Information Protocol (CMIP)
  CMIP-1 {joint-iso-ccitt-ms(9) cmip(1) modules(0) protocol(3)}
  DEFINITIONS ::= BEGIN

-- Remote Operations definitions
  IMPORTS OPERATION, ERROR FROM Remote-Operation-Notation {joint-iso-ccitt remoteOperations(4)
notation(0)}

-- Remote Operations Service definitions
  InvokeIDType FROM Remote-Operations-APDUs {joint-iso-ccitt remoteOperations(4) apdus(1)}

-- Directory Service definitions
  DistinguishedName, RDNSequence FROM InformationFramework {joint-iso-ccitt ds(5) modules(1)
informationFramework(1)};

-- CMISE operations

-- in the following operations, the argument type is mandatory in the corresponding ROSE APDU

-- Action operations (M-ACTION)
  m-Action OPERATION
    ARGUMENT ActionArgument
    ::= localValue 6
  m-Action-Confirmed OPERATION
    ARGUMENT      ActionArgument
    RESULT        ActionResult

-- this result is conditional; for conditions see Recommendation X.710 § 8.3.3.2.9
  ERRORS {
    accessDenied, classInstanceConflict, complexityLimitation, invalidScope,
invalidArgumentValue,
    invalidFilter, noSuchAction, noSuchArgument, noSuchObjectClass,
noSuchObjectInstance,
    processingFailure, syncNotSupported
  }
  LINKED { m-Linked-Reply }
    ::= localValue 7
  m-CancelGet OPERATION
    ARGUMENT
    getInvokeId      InvokeIDType

```

```

RESULT
    ERRORS {    mistypedOperation, noSuchInvokeId, processingFailure }
    ::= localValue 10

-- Create operation (M-CREATE)
m-Create OPERATION
    ARGUMENT      CreateArgument
    RESULT        CreateResult

-- this result is conditional; for conditions see Recommendation X.710 § 8.3.4.1.3
    ERRORS {
        accessDenied, classInstanceConflict, duplicateManagedObjectInstance,
        invalidAttributeValue,
        invalidObjectInstance, missingAttributeValue, noSuchAttribute, noSuchObjectClass,
        noSuchObjectInstance, noSuchReferenceObject, processingFailure
    }
    ::= localValue 8

-- Delete operation (M-DELETE)
m-Delete OPERATION
    Argument      DeleteArgument
    RESULT        DeleteResult

-- this result is conditional; for conditions see Recommendation X.710 § 8.3.5.2.8
    ERRORS {
        accessDenied, classInstanceConflict, complexityLimitation, invalidFilter,
        InvalidScope, noSuchObjectClass, noSuchObjectInstance, processingFailure,
        syncNotSupported
    }
    LINKED {    m-Linked-Reply }
    ::= localValue 9

-- Event Reporting operations (M-EVENT-REPORT)
m-EventReport OPERATION
    ARGUMENT      EventReportArgument
    ::= localValue 0
m-EventReport-Confirmed OPERATION
    ARGUMENT      EventReportArgument
    RESULT        EventReportResult    -- optional
    ERRORS {
        invalidArgumentValue, noSuchArgument, noSuchEventType, noSuchObjectClass,
        noSuchObjectInstance, processingFailure
    }
    ::= localValue 1

-- Get operation (M-GET)
m-Get OPERATION
    ARGUMENT      GetArgument
    RESULT        GetResult

-- this result is conditional; for conditions see Recommendation X.710 § 8.3.1.2.8
    ERRORS {
        accessDenied, classInstanceConflict, complexityLimitation, getListError,
        invalidFilter, invalidScope, no SuchObjectClass, noSuchObjectInstance,
        operationCancelled, processingFailure, syncNotSupported
    }

```

```

LINKED { m-Linked-Reply }
    ::= localValue 3

-- Linked operation to M-GET, M-SET (Confirmed), M-ACTION (Confirmed), and M-DELETE
m-Linked-Reply OPERATION
    ARGUMENT          LinkedReplyArgument
    ::= localValue 2

-- Set operations (M-SET)
m-Set OPERATION
    ARGUMENT          SetArgument
    ::= localValue 4
m-Set-Confirmed OPERATION
    ARGUMENT          SetArgument
    RESULT            SetResult

-- this result is conditional; for conditions see Recommendation X.710 § 8.3.2.2.9
ERRORS {
    accessDenied, classInstanceConflict, complexityLimitation, invalidFilter,
    invalidScope, noSuchObjectClass, noSuchObjectInstance, processingFailure,
    setListError, syncNotSupported
}
LINKED { m-Linked-Reply }
    ::= localValue 5

-- CMIS error definitions

-- in the following errors, unless otherwise indicated, the parameter type is mandatory in the corresponding ROSE
APDU
accessDenied ERROR
    ::= localValue 2
classInstanceConflict ERROR
    PARAMETER          BaseManagedObjectId
    ::= localValue 19
complexityLimitation ERROR
    PARAMETER          ComplexityLimitation -- optional
    ::= localValue 20
duplicateManagedObjectInstance ERROR
    PARAMETER          ObjectInstance
    ::= localValue 11
getListError ERROR
    PARAMETER          GetListError
    ::= localValue 7
invalidArgumentValue ERROR
    PARAMETER          InvalidArgumentValue
    ::= localValue 15
invalidAttributeValue ERROR
    PARAMETER          Attribute
    ::= localValue 6
invalidFilter ERROR
    PARAMETER          CMISFilter
    ::= localValue 4

```

invalidObjectInstance ERROR		
PARAMETER	ObjectInstance	
::= localValue 17		
invalidScope ERROR		
PARAMETER	Scope	
::= localValue 16		
missingAttributeValue ERROR		
PARAMETER	SET OF AttributeId	
::= localValue 18		
mistypedOperation ERROR		
::= localValue 21		
noSuchAction ERROR		
PARAMETER	NoSuchAction	
::= localValue 9		
noSuchArgument ERROR		
PARAMETER	NoSuchArgument	
::= localValue 14		
noSuchAttribute ERROR		
PARAMETER	AttributeId	
::= localValue 5		
noSuchEventType ERROR		
PARAMETER	NoSuchEventType	
::= localValue 13		
noSuchInvokeId ERROR		
PARAMETER	InvokeIDType	
::= localValue 22		
noSuchObjectClass ERROR		
PARAMETER	ObjectClass	
::= localValue 0		
noSuchObjectInstance ERROR		
PARAMETER	ObjectInstance	
::= localValue 1		
noSuchReferenceObject ERROR		
PARAMETER	ObjectInstance	
::= localValue 12		
operationCancelled ERROR		
::= localValue 23		
processingFailure ERROR		
PARAMETER	ProcessingFailure	-- optional
::= localValue 10		
setListError ERROR		
PARAMETER	SetListError	
::= localValue 8		
syncNotSupported ERROR		
PARAMETER	CMISSync	
::= localValue 3		

-- Supporting type definitions

AccessControl::= EXTERNAL

ActionArgument

COMPONENTS OF
accessControl
synchronization

bestEffort,

scope
filter
actionInfo
}

ActionError

managedObjectClass
managedObjectInstance
currentTime
actionErrorInfo
}

ActionErrorInfo

errorStatus

accessDenied
noSuchAction
noSuchArgument
invalidArgumentValue
},

errorInfo

actionType
actionArgument
argumentValue
} }

ActionInfo

actionType
actionInfoArg
}

ActionReply

actionType
actionReplyInfo
}

ActionResult

managedObjectClass
managedObjectInstance
currentTime
actionReply
}

ActionTypeId

globalForm
localForm
}

::= SEQUENCE {

BaseManagedObjectId,
[5] AccessControl OPTIONAL,
[6] IMPLICIT CMISsync DEFAULT

[7] Scope DEFAULT baseObject,
CMISFilter DEFAULT and {},
[12] IMPLICIT ActionInfo

::= SEQUENCE {

ObjectClass OPTIONAL,
ObjectInstance OPTIONAL,
[5] IMPLICIT GeneralizedTime OPTIONAL,
[6] ActionErrorInfo

::= SEQUENCE {

ENUMERATED {

(2),
(9),
(14),
(15)

CHOICE {
ActionTypeId,
[0] NoSuchArgument,
[1] InvalidArgumentValue

::= SEQUENCE {

ActionTypeId,
[4] ANY DEFINED BY actionType OPTIONAL

::= SEQUENCE {

ActionTypeId,
[4] ANY DEFINED BY actionType

::= SEQUENCE {

ObjectClass OPTIONAL,
ObjectInstance OPTIONAL,
[5] IMPLICIT GeneralizedTime OPTIONAL,
[6] IMPLICIT ActionReply OPTIONAL

::= CHOICE {

[2] IMPLICIT OBJECT IDENTIFIER,
[3] IMPLICIT INTEGER

-- This Recommendation does not allocate any values for localForm. Where this alternative is used, the permissible values for the integers and their meanings shall be defined as part of the application context in which they are used

Attribute ::= SEQUENCE {

attributeId AttributeId,
attributeValue ANY DEFINED BY attributeId
}

AttributeError	::= SEQUENCE {
errorStatus	ENUMERATED {
accessDenied	(2),
noSuchAttribute	(5),
invalidAttributeValue	(6),
invalidOperation	(24),
invalidOperator	(25)
}	
modifyOperator	[2] IMPLICIT ModifyOperator OPTIONAL,
	-- present for invalidOperator &
invalidOperation	
attributeId	AttributeId,
attributeValue	ANY DEFINED BY attributeId OPTIONAL
	-- absent for setToDefault
}	
AttributeId	::= CHOICE {
globalForm	[0] IMPLICIT OBJECT IDENTIFIER,
localForm	[1] IMPLICIT INTEGER
}	
-- This Recommendation does not allocate any values for localForm. Where this alternative is used, the permissible values for the integers and their meanings shall be defined as part of the application context in which they are used	
AttributeIdError	::= SEQUENCE {
errorStatus	ENUMERATED {
accessDenied	(2),
noSuchAttribute	(5)
attributeId	AttributeId
}	}
BaseManagedObjectId	::= SEQUENCE {
baseManagedObjectClass	ObjectClass,
baseManagedObjectInstance	ObjectInstance
}	
CMISFilter	::= CHOICE {
item	[8] FilterItem,
and	[9] IMPLICIT SET OF CMISFilter,
or	[10] IMPLICIT SET OF CMISFilter,
not	[11] CMISFilter
}	
CMISSync	::= ENUMERATED {
bestEffort	(0),
atomic	(1)
}	
ComplexityLimitation	::= SET {
scope	[0] Scope OPTIONAL,
filter	[1] CMISFilter OPTIONAL,
sync	[2] CMISSync OPTIONAL
}	
CreateArgument	::= SEQUENCE {
managedObjectClass	ObjectClass,

CHOICE {	
managedObjectInstance	ObjectInstance,
superiorObjectInstance	[8] ObjectInstance } OPTIONAL,
accessControl	[5] AccessControl OPTIONAL,
referenceObjectInstance	[6] ObjectInstance OPTIONAL,
attributeList	[7] IMPLICIT SET OF Attribute OPTIONAL
}	
CreateResult	::= SEQUENCE {
managedObjectClass	ObjectClass OPTIONAL,
managedObjectInstance	ObjectInstance OPTIONAL,
	-- <i>shall be returned if omitted from</i>
CreateArgument	
currentTime	[5] IMPLICIT GeneralizedTime OPTIONAL,
attributeList	[6] IMPLICIT SET OF Attribute OPTIONAL
}	
DeleteArgument	::= SEQUENCE {
COMPONENTS OF	BaseManagedObjectId,
accessControl	[5] AccessControl OPTIONAL,
synchronization	[6] IMPLICIT CMISync DEFAULT
bestEffort,	
scope	[7] Scope DEFAULT baseObject,
filter	CMISFilter DEFAULT and { }
}	
DeleteError	::= SEQUENCE {
managedObjectClass	ObjectClass OPTIONAL,
managedObjectInstance	ObjectInstance OPTIONAL,
currentTime	[5] IMPLICIT GeneralizedTime OPTIONAL,
deleteErrorInfo	[6] ENUMERATED { accessDenied (2)
} }	
DeleteResult	::= SEQUENCE {
managedObjectClass	ObjectClass OPTIONAL,
managedObjectInstance	ObjectInstance OPTIONAL,
currentTime	[5] IMPLICIT GeneralizedTime OPTIONAL
}	
EventReply	::= SEQUENCE {
eventType	EventTypeId,
eventReplyInfo	[8] ANY DEFINED BY eventType OPTIONAL
}	
EventReportArgument	::= SEQUENCE {
managedObjectClass	ObjectClass,
managedObjectInstance	ObjectInstance,
eventTime	[5] IMPLICIT GeneralizedTime OPTIONAL,
eventType	EventTypeId,
eventInfo	[8] ANY DEFINED BY eventType OPTIONAL
}	
EventReportResult	::= SEQUENCE {
managedObjectClass	ObjectClass OPTIONAL,
managedObjectInstance	ObjectInstance OPTIONAL,
currentTime	[5] IMPLICIT GeneralizedTime OPTIONAL,
eventReply	EventReply OPTIONAL
}	
EventTypeId	::= CHOICE {
globalForm	[6] IMPLICIT OBJECT IDENTIFIER,

```
localForm  
}
```

[7] IMPLICIT INTEGER

-- This Recommendation does not allocate any values for localForm. Where this alternative is used, the permissible values for the integers and their meanings shall be defined as part of the application context in which they are used

FilterItem	::= CHOICE {	
equality	[0] IMPLICIT Attribute,	
substrings	[1] IMPLICIT SEQUENCE OF CHOICE {	
initialString	[0] IMPLICIT SEQUENCE {	
	attributeId AttributeId,	
	string ANY DEFINED BY	
attributeId },		
anyString	[1] IMPLICIT SEQUENCE {	
	attributeId AttributeId,	
	string ANY DEFINED BY	
attributeId },		
finalString	[2] IMPLICIT SEQUENCE {	
	attributeId AttributeId,	
	string ANY DEFINED BY	
attributeId} },		
greaterOrEqual	[2] IMPLICIT Attribute,	-- <i>asserted value</i> ³
attribute value		
lessOrEqual	[3] IMPLICIT Attribute,	-- <i>asserted value</i> ³
attribute value		
present	[4] AttributeId,	
subsetOf	[5] IMPLICIT Attribute,	-- <i>asserted value</i>
is a subset of		<i>attribute value</i>
supersetOf	[6] IMPLICIT Attribute,	-- <i>asserted value</i>
is a superset of		<i>attribute value</i>
nonNullSetIntersection	[7] IMPLICIT Attribute	
}		
GetArgument	::= SEQUENCE {	
COMPONENTS OF	BaseManagedObjectId,	
accessControl	[5] AccessControl OPTIONAL,	
synchronization	[6] IMPLICIT CMISSync DEFAULT	
bestEffort,		
scope	[7] Scope DEFAULT baseObject,	
filter	CMISFilter DEFAULT and {},	
attributeIdList	[12] IMPLICIT SET OF AttributeId OPTIONAL	
}		
GetInfoStatus	::= CHOICE {	
attributeIdError	[0] IMPLICIT AttributeIdError,	
attribute	[1] IMPLICIT Attribute	
}		
GetListError	::= SEQUENCE {	
managedObjectClass	ObjectClass OPTIONAL,	
managedObjectInstance	ObjectInstance OPTIONAL,	
currentTime	[5] IMPLICIT GeneralizedTime OPTIONAL,	
getInfoList	[6] IMPLICIT SET OF GetInfoStatus	
}		
GetResult	::= SEQUENCE {	
managedObjectClass	ObjectClass OPTIONAL,	
managedObjectInstance	ObjectInstance OPTIONAL,	
currentTime	[5] IMPLICIT GeneralizedTime OPTIONAL,	

attributeList
}

[6] IMPLICIT SET OF Attribute OPTIONAL

InvalidArgumentValue actionValue eventValue	::= CHOICE { [0] IMPLICIT ActionInfo, [1] IMPLICIT SEQUENCE { eventType EventTypeId, [8] ANY DEFINED BY eventType } }
eventInfo OPTIONAL }	
LinkedReplyArgument getResult getListError setResult setListError actionResult processingFailure deleteResult actionError deleteError }	::= CHOICE { [0] IMPLICIT GetResult, [1] IMPLICIT GetListError, [2] IMPLICIT SetResult, [3] IMPLICIT SetListError, [4] IMPLICIT ActionResult, [5] IMPLICIT ProcessingFailure, [6] IMPLICIT DeleteResult, [7] IMPLICIT ActionError, [8] IMPLICIT DeleteError }
ModifyOperator replace addValues removeValues setToDefault }	::= INTEGER { (0), (1), (2), (3) }
NoSuchAction managedObjectClass actionType }	::= SEQUENCE { ObjectClass, ActionTypeId }
NoSuchArgument actionId	::= CHOICE { [0] IMPLICIT SEQUENCE { managedObjectClass ObjectClass actionType ActionTypeId }, [1] IMPLICIT SEQUENCE { managedObjectClass ObjectClass eventType EventTypeId } }
OPTIONAL, eventId OPTIONAL, }	
NoSuchEventType managedObjectClass eventType }	::= SEQUENCE { ObjectClass, EventTypeId }
ObjectClass globalForm localForm }	::= CHOICE { [0] IMPLICIT OBJECT IDENTIFIER, [1] IMPLICIT INTEGER }

-- This Recommendation does not allocate any values for localForm. Where this alternative is used, the permissible values for the integers and their meanings shall be defined as part of the application context in which they are used

ObjectInstance distinguishedName nonSpecificForm	::= CHOICE { [2] IMPLICIT DistinguishedName, [3] IMPLICIT OCTET STRING, }
---------------------------------------------------------------	--------------------------------------------------------------------------------------------------

localDistinguishedName	[4] IMPLICIT RDNSequence
}	

-- localDistinguishedName is that portion of the distinguished name that is necessary to unambiguously identify the managed object within the context of communication between the open systems

ProcessingFailure	::= SEQUENCE {	
managedObjectClass	ObjectClass,	
managedObjectInstance	ObjectInstance OPTIONAL,	
specificErrorInfo	[5] SpecificErrorInfo	
}		
Scope	::= CHOICE {INTEGER {	
baseObject	(0),	
firstLevelOnly	(1),	
wholeSubtree	(2) },	
individualLevels	[1] IMPLICIT INTEGER,	-- POSITIVE level to be
integer indicates the selected		
baseToNthLevel	[2] IMPLICIT INTEGER }	-- POSITIVE that the range of
integer N indicates levels		
		-- (0 - N) is to be selected

-- with individualLevels and baseToNthLevel, a value of 0 has the same semantics as baseObject

-- with individualLevels, a value of 1 has the same semantics as firstLevelOnly

SetArgument	::= SEQUENCE {	
COMPONENTS OF	BaseManagedObjectId,	
accessControl	[5] AccessControl OPTIONAL,	
synchronization	[6] IMPLICIT CMISSync DEFAULT	
bestEffort,		
scope	[7] Scope DEFAULT baseObject,	
filter	CMISFilter DEFAULT and { },	
modificationList	[12] IMPLICIT SET OF SEQUENCE {	
modifyOperator	[2] IMPLICIT ModifyOperator DEFAULT	
replace,		
attributeId	AttributeId,	
attributeValue	ANY DEFINED BY attributeId OPTIONAL -- absent	
for	setToDefault	
}		

SetInfoStatus	::= CHOICE {
attributeError	[0] IMPLICIT AttributeError,
attribute	[1] IMPLICIT Attribute
}	

ListError	::= SEQUENCE {
managedObjectClass	ObjectClass OPTIONAL,
managedObjectInstance	ObjectInstance OPTIONAL,
currentTime	[5] IMPLICIT GeneralizedTime OPTIONAL,
setInfoList	[6] IMPLICIT SET OF SetInfoStatus
}	

SetResult	::= SEQUENCE {
managedObjectClass	ObjectClass OPTIONAL,
managedObjectInstance	ObjectInstance OPTIONAL,
currentTime	[5] IMPLICIT GeneralizedTime OPTIONAL,
attributeList	[6] IMPLICIT SET OF Attribute OPTIONAL
}	

SpecificErrorInfo	::= SEQUENCE {
errorId	OBJECT IDENTIFIER,


```
        errorInfo          ANY DEFINED BY errorId
    }
END -- End of CMIP syntax definitions
```

7.5 Definition of abstract syntax for CMIP

This Recommendation assigns the ASN.1 object identifier value
{joint-iso-ccitt ms(9) cmip(1) cmip-pci(1) abstractSyntax(4)}

as an abstract syntax name for the set of presentation data values, each of which is either a value of the ASN.1 type

Remote-Operations-APDUs.ROSEapdus

as defined in Recommendation X.229 [11] with the argument component filled according to the definitions in CMIP-1, or a value of one of the ASN.1 types:

- **CMIP-A-ASSOCIATE-Information.CMIPUserInfo;**
- **CMIP-A-ABORT-Information.CMIPAbortInfo.**

The corresponding ASN.1 object descriptor value shall be
“CMIP-PCI”.

This abstract syntax is defined to include all data types resolved by the ANY DEFINED BY X productions, in which X is of type OBJECT IDENTIFIER.

The ASN.1 object identifier and object descriptor values
{joint-iso-ccitt asn1(1) basic-encoding(1)} and **“Basic Encoding of single ASN.1 type”**

(assigned to an object in Recommendation X.209 [4]) can be used as a transfer syntax name with this abstract syntax.

7.5.1 Extensibility rules

7.5.1.1 When processing incoming CMIP-A-ASSOCIATE-Information, the accepting CMIPM shall

- ignore all tagged values that are not defined in the abstract syntax of this Recommendation; and
- ignore all unknown bit name assignments within a BIT STRING.

7.5.1.2 The abstract syntax name may be used when the presentation data values are modified to include:

- new system management operations;
- new tagged elements within a SET or SEQUENCE;
- new bit name assignments within a BIT STRING;
- new named numbers for an INTEGER; and
- new named enumerations within an ENUMERATED.

8 Conformance

A system claiming to implement the procedures specified in this standard shall comply with the requirements in §§ 8.1 and 8.2.

8.1 Static requirements

The system shall

- a) support the kernel functional unit defined in Recommendation X.710 [12], and the facilities implied by that functional unit;
- b) support the transfer syntax derived from the encoding rules specified in

Recommendation X.209 [4] and named

{joint-iso-ccitt asn1(1) basic-encoding(1)}

for the purpose of generating and interpreting CMISE protocol information as defined by the abstract syntax

“CMIP-PCI”

for the functional units supported:

- c) support the ACSE protocol defined in Recommendation X.227 [10], to establish and to release an association;
- d) support the rules specified in annex A in any application context that includes CMISE as one of the ASEs;
- e) support association class 3 of the ROSE protocol defined in Recommendation X.229 [11];
- f) support the multiple reply functional unit if the multiple object selection functional unit is supported.

8.2 *Dynamic requirements*

The system shall

- a) follow the procedures relevant to each functional unit that the system claims to implement;
- b) when used, verify the optional security parameters defined in the CMIP PDUs;
- c) when the extended service functional unit is supported, support the presentation protocol defined in Recommendation X.226 [9], as required by the application context;
- d) when scoping is provided, support the multiple reply functional unit.

ANNEX A

(This annex does not form an integral part of this Recommendation)

Association rules for CMISE

A.1 *ACSE, session and presentation requirements*

A.1.1 CMISE requires the kernel presentation functional unit as defined in Recommendation X.216 [6].

A.1.2 CMISE requires the kernel and full duplex session functional units as defined in Recommendation X.215 [5].

A.1.3 CMISE requires the normal mode of ACSE and presentation services as defined in Recommendations X.227 [10] and X.216 [6].

A.2 *Association initialisation rules*

A.2.1 *Request*

The CMISE-service-user that initiates the association establishment shall provide the A-ASSOCIATE user information defined by Recommendation X.710 [12]. The CMIP user information

shall be made available to the CMIPM which shall

- a) construct CMIPUserInfo from the information supplied;
- b) set the protocol version parameter within CMIPUserInfo by setting the bit corresponding to each version supported;
- c) include CMIPUserInfo as a separate EXTERNAL in the user information parameter of the A-ASSOCIATE request primitive;
- d) wait for the user information specific to CMIS to be returned in the A-ASSOCIATE confirm primitive.

A.2.2 Indication

On receipt of an A-ASSOCIATE indication primitive, the CMIPUserInfo parameter shall be made available to the CMIPM which shall

- a) check that at least one of the proposed protocol version can be supported;
- b) verify that the optional access control parameter is valid;
- c) if any of the checks fail, the association shall be rejected by setting the reason for failure parameter in the A-ASSOCIATE response primitive to “rejected by responder (permanent)”. The association is not established and that instance of the CMIPM shall cease to exist;
- d) if the above checks succeed, the following information, if present in CMIPUserInfo, shall be made available to the CMISE-service-user: functional units supported by the CMISE-service-provider, access control and user information. The CMIPM shall wait for the response from the CMISE-service-user.

A.2.3 Response

The A-ASSOCIATE response primitive indicating “accepted” or “rejected”, and which if accepted, includes the functional units, access control and user information parameters, shall be made available to the CMIPM which shall

- a) construct CMIPUserInfo required for the response. The CMIPUserInfo shall include the version parameter indicating all versions of CMIP that are supported;
- b) include CMIPUserInfo as a separate EXTERNAL in the user information parameter of the A-ASSOCIATE response primitive;
- c) if the association response indicates “accepted”, the protocol version agreed to is the version corresponding to the highest number supported by both CMIPMs. The CMIPM shall then be ready to accept CMISE indication primitives;
- d) if the association response indicates “rejected”, that instance of the CMIPM shall cease to exist.

A.2.4 Confirmation

On receipt of the A-ASSOCIATE confirmation primitive, the CMIPUserInfo parameter shall be made available to the CMIPM which shall

- a) if the association confirmation indicates success, the association is established and the functional units, access control and user information parameters, if present in the confirmation, are made available to the association-initiator. The functional units agreed to correspond to those for which both CMISE-service-users indicated support and the protocol version is the highest version number supported by both CMIPMs;
- b) if the association confirmation indicates failure, the association is not established and

that instance of the CMIPM shall cease to exist.

A.3 *Association release rules*

Either CMISE-service-user may initiate an association release.

A.3.1 Request

On receipt of a request for association release, the necessary A-RELEASE parameters shall be made available to the CMIPM which shall cease to accept service requests and wait for the confirmation of the release of the association.

A.3.2 Indication

On receipt of an A-RELEASE indication primitive, the necessary A-RELEASE indication parameters shall be made available to the responding CMIPM which shall wait for the association release response.

A.3.3 Response

On receipt of an association release response from the responding CMISE-service-user, the necessary A-RELEASE response parameters shall be made available to the responding CMIPM. Thereafter, that instance of the CMIPM shall cease to exist.

A.3.4 Confirmation

On receipt of an A-RELEASE confirm primitive, the necessary A-RELEASE confirm parameters shall be made available to the initiating CMIPM. Thereafter, that instance of the CMIPM shall cease to exist.

A.4 Association abort rules

Either CMISE-service-user may initiate an abrupt termination of the association.

On the basis of local information, if the ability of the underlying services to convey unlimited user information by A-ABORT does not exist, the CMIPAbortInfo parameter may not be included in the A-ABORT service primitives.

A.4.1 A-ABORT request

On receipt of a request to abort the association, the necessary A-ABORT request parameters including the A-ABORT user information defined by Recommendation X.710 [12] shall be made available to the CMIPM which shall

- a) construct CMIPAbortInfo from the information supplied;
- b) set the abort source parameter within CMIPUserInfo to CMISE-service-user;
- c) include CMIPAbortInfo as a separate field in the user information parameter of the A-ABORT request primitive;
- d) thereafter, that instance of the CMIPM shall cease to exist.

A.4.2 A-ABORT Indication

On receipt of an A-ABORT indication primitive, the necessary A-ABORT indication parameters including CMIPAbortInfo shall be made available to the CMIPM. Thereafter, that instance of the CMIPM shall cease to exist.

A.4.3 A-P-ABORT Indication

On receipt of an A-P-ABORT indication primitive, the necessary A-P-ABORT indication

parameters shall be made available to the CMIPM. Thereafter, that instance of the CMIPM shall cease to exist.

A.4.4 CMIP protocol error

On detecting a protocol error, the CMIPM shall

- a) construct CMIPAbortInfo with the abort source parameter set to CMISE-service-provider;
- b) indicate to the CMISE-service-user that a protocol error has occurred;
- c) include CMIPAbortInfo as a separate field in the user information parameter of the A-ABORT request primitive;
- d) thereafter, that instance of the CMIPM shall cease to exist.

ANNEX B

(This annex does not form an integral part of this Recommendation)

Expanded ASN.1 syntax

This annex describes how the OPERATION and ERROR macros of Recommendation X.219 [8] are expanded into ASN.1 data types and subtypes.

If any inconsistencies exist between these definitions and the definitions in § 7, then the definitions in § 7 take precedence.

-- Common Management Information Protocol (CMIP)

CMIP-1 {joint-iso-ccitt ms(9) cmip(1) modules(0) protocol(3)}

DEFINITIONS ::= BEGIN

-- Remote Operations definitions

IMPORTS OPERATION, ERROR FROM Remote-Operation-Notation {joint-iso-ccitt remoteOperations(4) notation(0)}

-- Directory Service definitions

DistinguishedName, RDNSequence FROM InformationFramework {joint-iso-ccitt ds(5) modules(1) informationFramework(1)};

-- CMISE operations

ROSEapdus ::= CHOICE {

 roiv-apdu [1] IMPLICIT ROIVapdu,
 rors-apdu [2] IMPLICIT RORSapdu,
 roer-apdu [3] IMPLICIT ROERapdu,
 rorj-apdu [4] IMPLICIT RORJapdu
}

ROIVapdu ::= SEQUENCE {

 invokeID InvokeIDType,
 linked-ID [0] IMPLICIT InvokeIDType OPTIONAL,
 operation-value OPERATION,
 argument ANY DEFINED BY operation-value

OPTIONAL

}

RORSapdu ::= SEQUENCE {

 invokeID InvokeIDType,
 SEQUENCE {
 operation-value OPERATION,
 result ANY DEFINED BY
 operation-value } OPTIONAL

}

```

ROERapdu ::= SEQUENCE {
    invokeID
    error-value
    parameter
}
RORJapdu ::= SEQUENCE {
    invokeID CHOICE {
    problem CHOICE {
}
InvokeIDType ::= INTEGER

```

-- The use of the GeneralProblem, ReturnResultProblem, and ReturnErrorProblem codes are a local issue.

```

GeneralProblem ::= INTEGER {
    unrecognisedAPDU (0), -- ROSE-provider detected
    mistypedAPDU (1),
    badlyStructuredAPDU (2)
}
InvokeProblem ::= INTEGER {
    duplicateInvocation (0), -- ROSE-user detected
    unrecognisedOperation (1),
    mistypedArgument (2),
    resourceLimitation (3),
    initiatorReleasing (4),
    unrecognisedLinkedID (5),
    linkedResponseUnexpected (6),
    unexpectedChildOperation (7)
}
ReturnResultProblem ::= INTEGER {
    unrecognisedInvocation (0) -- ROSE-user detected
    resultResponseUnexpected (1),
    mistypedResult (2)
}
ReturnErrorProblem ::= INTEGER {
    unrecognisedInvocation (0), -- ROSE-user detected
    errorResponseUnexpected (1),
    unrecognisedError (2),
    unexpectedError (3),
    mistypedParameter (4)
}

```

-- This part of the ASN.1 specification provides a definition of the InvokeProblem subtype used by CMIP.

```

InvokeProblem-CMIPUser ::= InvokeProblem (
    duplicateInvocation |
    unrecognisedOperation |
    mistypedArgument |
    resourceLimitation
)

```

-- This part of the ASN.1 specification provides a definition of ROIVapdu and RORSapdu subtypes used by CMIP. The subtypes of the ROIVapdu define the allowed values of the operation-value and argument defined by that operation-value for all CMIP notifications and operations. The subtypes of the RORSapdu define the allowed values of the operation-value and result defined by that operation-value for all CMIP notifications and operations.

```

m-Action OPERATION ::= localValue 6
ROIV-m-Action ::= ROIVapdu (WITH COMPONENTS
    { invokeID                PRESENT,
      linked-ID               ABSENT,
      operation-value         (m-Action),
      argument                 (INCLUDES ActionArgument) } )
m-Action-Confirmed OPERATION ::= localValue 7
ROIV-m-Action-Confirmed ::= ROIVapdu (WITH COMPONENTS
    { invokeID                PRESENT,
      linked-ID               ABSENT,
      operation-value         (m-Action-Confirmed),
      argument                 (INCLUDES ActionArgument) } )
RORS-m-Action-Confirmed ::= RORSapdu (WITH COMPONENTS
    { ... ,
      invokeID                PRESENT,
      -- result sequence -- (WITH COMPONENTS
      { operation-value        (m-Action-Confirmed),
        result                  (INCLUDES ActionResult) } )
      -- required only if there is a single reply to the ROIV-m-Action-Confirmed
      -- ROIVapdu and data is to be returned in the RORSapdu
    } )
m-Cancel-Get OPERATION ::= localValue 10
ROIV-m-Cancel-Get ::= ROIVapdu (WITH COMPONENTS
    { invokeID                PRESENT,
      linked-ID               ABSENT,
      operation-value         (m-Cancel-Get),
      argument                 (INCLUDES InvokeIDType)
    } )
RORS-m-Cancel-Get ::= RORSapdu (WITH COMPONENTS
    { invokeID                PRESENT,
      -- There is no result sequence for RORS-m-Cancel-Get
    } )
m-Create OPERATION ::= localValue 8
ROIV-m-Create ::= ROIVapdu (WITH COMPONENTS
    { invokeID                PRESENT,
      linked-ID               ABSENT,
      operation-value         (m-Create),
      argument                 (INCLUDES CreateArgument) } )
RORS-m-Create ::= RORSapdu (WITH COMPONENTS
    { ... ,
      invoke-ID               PRESENT,
      -- result sequence -- (WITH COMPONENTS
      { operation-value        (m-Create),
        result                  (INCLUDES CreateResult) } )
    } )

```

m-Delete OPERATION	::= localValue 9
ROIV-m-Delete	::= ROIVapdu (WITH COMPONENTS
{ invokeID	PRESENT,
linked-ID	ABSENT,
operation-value	(m-Delete),
argument	(INCLUDES DeleteArgument) })
RORS-m-Delete	::= RORSapdu (WITH COMPONENTS
{ ... ,	
invokeID	PRESENT,
-- result sequence -- (WITH COMPONENTS	
{ operation-value	(m-Delete),
result	(INCLUDES DeleteResult) })
-- required only if there is a single reply to the ROIV-m-DeleteROIVapdu and data	
is to be returned in the RORSapdu	
})	
m-EventReport OPERATION	::= localValue 0
ROIV-m-EventReport	::= ROIVapdu (WITH COMPONENTS
{ invokeID	PRESENT,
linked-ID	ABSENT,
operation-value	(m-EventReport),
argument	(INCLUDES EventReportArgument) })
m-EventReport-Confirmed OPERATION	::= localValue 1
ROIV-m-EventReport-Confirmed	::= ROIVapdu (WITH COMPONENTS
{ invokeID	PRESENT,
linked-ID	ABSENT,
operation-value	(m-EventReport-Confirmed),
argument	(INCLUDES EventReportArgument) })
RORS-m-EventReport-Confirmed	::= RORSapdu (WITH COMPONENTS
{ ... ,	
invokeID	PRESENT,
-- result sequence -- (WITH COMPONENTS	
{ operation-value	(m-EventReport-Confirmed),
result	(INCLUDES EventReportResult) })
-- required only if data is to be returned in the RORSapdu	
})	
m-Get OPERATION	::= localValue 3
ROIV-m-Get	::= ROIVapdu (WITH COMPONENTS
{ invokeID	PRESENT,
linked-ID	ABSENT,
operation-value	(m-Get),
argument	(INCLUDES GetArgument) })
RORS-m-Get	::= RORSapdu (WITH COMPONENTS
{ ... ,	
invokeID	PRESENT,
-- result sequence -- (WITH COMPONENTS	
{ operation-value	(m-Get),
result	(INCLUDES GetResult) })
-- required only if there is a single reply to the ROIV-m-Get ROIVapdu	
})	

m-Linked-Reply OPERATION**ROIV-m-Linked-Reply**

{ invokeID
linked-ID
operation-value
argument

::= localValue 2

::= ROIVapdu (WITH COMPONENTS

PRESENT,
PRESENT,
(m-Linked-Reply),
(INCLUDES LinkedReplyArgument) })

- This part of the ASN.1 specification provides a definition of ROIV-m-Linked-Reply subtypes used by CMIP. The subtypes of the ROIV-m-Linked-Reply ROIVapdu define the allowed values of the argument defined by the operation-value for the specific CMIP linked reply operations.

ROIV-m-Linked-Reply-Action

{ invokeID
linked-ID
operation-value
argument

::= ROIV-m-Linked-Reply (WITH COMPONENTS

PRESENT,
PRESENT,
(m-Linked-Reply),
(INCLUDES LinkedReplyArgument (WITH

COMPONENTS

getResult ABSENT,
getListError ABSENT,
setResult ABSENT,
setListError ABSENT,
actionResult PRESENT,
processingFailure PRESENT,
deleteResult ABSENT,
actionError PRESENT,
deleteError ABSENT)

) })

ROIV-m-Linked-Reply-Delete

{ invokeID
linked-ID
operation-value
argument

::= ROIV-m-Linked-Reply (WITH COMPONENTS

PRESENT,
PRESENT,
(m-Linked-Reply),
(INCLUDES LinkedReplyArgument (WITH

COMPONENTS

getResult ABSENT,
getListError ABSENT,
setResult ABSENT,
setListError ABSENT,
actionResult ABSENT,
processingFailure PRESENT,
deleteResult PRESENT,
actionError ABSENT,
deleteError PRESENT)

) })

ROIV-m-Linked-Reply-Get

{ invokeID
linked-ID
operation-value
argument

::= ROIV-m-Linked-Reply (WITH COMPONENTS

PRESENT,
PRESENT,
(m-Linked-Reply),
(INCLUDES LinkedReplyArgument (WITH

COMPONENTS

getResult PRESENT,
getListError PRESENT,
setResult ABSENT,
setListError ABSENT,
actionResult ABSENT,

)))	processingFailure	PRESENT,
	deleteResult	ABSENT,
	actionError	ABSENT,
	deleteError	ABSENT)

ROIV-m-Linked-Reply-Set { invokeID linked-ID operation-value argument COMPONENTS) }) m-Set OPERATION ROIV-m-Set { invokeID linked-ID operation-value argument m-Set-Confirmed OPERATION ROIV-m-Set-Confirmed { invokeID linked-ID operation-value argument RORS-m-Set-Confirmed { ... , invokeID -- <i>result sequence -- (WITH COMPONENTS</i> { operation-value result -- <i>required only if there is a single reply to the ROIV-m-Set-Confirmed ROIVapdu</i> <i>and data is to be returned in the RORSapdu</i> })	::= ROIV-m-Linked-Reply (WITH COMPONENTS PRESENT, PRESENT, (m-Linked-Reply), (INCLUDES LinkedReplyArgument (WITH getResult ABSENT, getListError ABSENT, setResult PRESENT setListError PRESENT, actionResult ABSENT, processingFailure PRESENT, deleteResult ABSENT, actionError ABSENT, deleteError ABSENT) ::= localValue 4 ::= ROIVapdu (WITH COMPONENTS PRESENT, ABSENT, (m-Set), (INCLUDES SetArgument) }) ::= localValue 5 ::= ROIVapdu (WITH COMPONENTS PRESENT, ABSENT, (m-Set-Confirmed), (INCLUDES SetArgument) }) ::= RORSapdu (WITH COMPONENTS PRESENT, (m-Set-Confirmed), (INCLUDES SetResult) })
--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

- This part of the ASN.1 specification provides a definition of ROERapdu subtypes used by CMIP. The subtypes of the ROERapdu define the allowed values of the error value and parameter defined by that error-value for all CMIP notifications and operations.

accessDenied ERROR ROER-accessDenied { invokeID error-value	::= localValue 2 ::= ROERapdu (WITH COMPONENTS PRESENT, (accessDenied) })
------------------------------------------------------------------------------------	---------------------------------------------------------------------------------------------------

- This ROERapdu may only be returned in response to the ROIV-m-Get, ROIV-m-Set-Confirmed, ROIV-m-Action-Confirmed, ROIV-m-Create and ROIV-m-Delete ROIVapdus

classInstanceConflict ERROR ROER-classInstanceConflict { invokeID error-value parameter	::= localValue 19 ::= ROERapdu (WITH COMPONENTS PRESENT, (classInstanceConflict), (INCLUDES BaseManagedObjectId) })
-------------------------------------------------------------------------------------------------------------------	------------------------------------------------------------------------------------------------------------------------------------------------

-- This ROERapdu may only be returned in response to the ROIV-m-Get, ROIV-m-Set-Confirmed, ROIV-m-Action-Confirmed, ROIV-m-Create and ROIV-m-Delete ROIVapdus

complexityLimitation ERROR	::= localValue 20
ROER-complexityLimitation	::= ROERapdu (WITH COMPONENTS
{ invokeID	PRESENT,
error-value	(complexityLimitation),
parameter	(INCLUDES ComplexityLimitation)
OPTIONAL })	

-- This ROERapdu may only be returned in response to the ROIV-m-Get, ROIV-m-Set-Confirmed, ROIV-m-Action-Confirmed and ROIV-m-Delete ROIVapdus

duplicateManagedObjectInstance ERROR	::= localValue 11
ROER-duplicateManagedObjectInstance	::= ROERapdu (WITH COMPONENTS
{ invokeID	PRESENT,
error-value	(duplicateManagedObjectInstance),
parameter	(INCLUDES ObjectInstance) })

-- This ROERapdu may only be returned in response to the ROIV-m-Create ROIVapdu

getListError ERROR	::= localValue 7
ROER-getListError	::= ROERapdu (WITH COMPONENTS
{ invokeID	PRESENT,
error-value	(getListError),
parameter	(INCLUDES GetListError) })

-- This ROERapdu may only be returned in response to the ROIV-m-Get ROIVapdu

invalidArgumentValue ERROR	::= localValue 15
ROER-invalidArgumentValue	::= ROERapdu (WITH COMPONENTS
{ invokeID	PRESENT,
error-value	(invalidArgumentValue),
parameter	(INCLUDES InvalidArgumentValue) })

-- This ROERapdu may only be returned in response to the ROIV-m-EventReport-Confirmed and ROIV-m-Action-Confirmed ROIVapdus

invalidAttributeValue ERROR	::= localValue 6
ROER-invalidAttributeValue	::= ROERapdu (WITH COMPONENTS
{ invokeID	PRESENT,
error-value	(invalidAttributeValue),
parameter	(INCLUDES Attribute) })

-- This ROERapdu may only be returned in response to the ROIV-m-Create ROIVapdu

invalidFilter ERROR	::= localValue 4
ROER-invalidFilter	::= ROERapdu (WITH COMPONENTS
{ invokeID	PRESENT,
error-value	(invalidFilter),
parameter	(INCLUDES CMISFilter) })

-- This ROERapdu may only be returned in response to the ROIV-m-Get, ROIV-m-Set-Confirmed, ROIV-m-Action-Confirmed and ROIV-m-Delete ROIVapdus

invalidObjectInstance ERROR	::= localValue 17
ROER-invalidObjectInstance	::= ROERapdu (WITH COMPONENTS
{ invokeID	PRESENT,
error-value	(invalidObjectInstance),
parameter	(INCLUDES ObjectInstance) })

-- This ROERapdu may only be returned in response to the ROIV-m-Create ROIVapdu

invalidScope ERROR	::= localValue 16
ROER-invalidScope	::= ROERapdu (WITH COMPONENTS
{ invokeID	PRESENT,
error-value	(invalidScope),
parameter	(INCLUDES Scope) })

-- This ROERapdu may only be returned in response to the ROIV-m-Get, ROIV-m-Set-Confirmed, ROIV-m-Action-Confirmed and ROIV-m-Delete ROIVapdus

missingAttributeValue ERROR	::= localValue 18
ROER-missingAttributeValue	::= ROERapdu (WITH COMPONENTS
{ invokeID	PRESENT,
error-value	(missingAttributeValue),
parameter	(INCLUDES SET OF AttributeId) })

-- This ROERapdu may only be returned in response to the ROIV-m-Create ROIVapdu

mistypedOperation ERROR	::= localValue 21
ROER-mistypedOperation	::= ROERapdu (WITH COMPONENTS
{ invokeID	PRESENT,
error-value	(mistypedOperation) })

-- This ROERapdu may only be returned in response to the ROIV-m-Cancel-Get ROIVapdu

noSuchAction ERROR	::= localValue 9
ROER-noSuchAction	::= ROERapdu (WITH COMPONENTS
{ invokeID	PRESENT,
error-value	(noSuchAction),
parameter	(INCLUDES NoSuchAction) })

-- This ROERapdu may only be returned in response to the ROIV-m-Action-Confirmed ROIVapdu

noSuchArgument ERROR	::= localValue 14
ROER-noSuchArgument	::= ROERapdu (WITH COMPONENTS
{ invokeID	PRESENT,
error-value	(noSuchArgument),
parameter	(INCLUDES NoSuchArgument) })

-- This ROERapdu may only be returned in response to the ROIV-m-EventReport-Confirmed and ROIV-m-Action-Confirmed ROIVapdus

noSuchAttribute ERROR	::= localValue 5
ROER-noSuchAttribute	::= ROERapdu (WITH COMPONENTS
{ invokeID	PRESENT,
error-value	(noSuchAttribute),
parameter	(INCLUDES AttributeId) })

```

-- This ROERapdu may only be returned in response to the ROIV-m-Create ROIVapdu
  noSuchEventType ERROR ::= localValue 13
  ROER-noSuchEventType ::= ROERapdu (WITH COMPONENTS
    { invokeID
      error-value
      parameter
      PRESENT,
      (noSuchEventType),
      (INCLUDES NoSuchEventType) } )

-- This ROERapdu may only be returned in response to the ROIV-m-EventReport-Confirmed ROIVapdu
  noSuchInvokeId ERROR ::= localValue 22
  ROER-noSuchInvokeId ::= ROERapdu (WITH COMPONENTS
    { invokeID
      error-value
      parameter
      PRESENT,
      (noSuchInvokeId),
      (INCLUDES InvokeIdType) } )

-- This ROERapdu may only be returned in response to the ROIV-m-Cancel-Get ROIVapdu
  noSuchObjectClass ERROR ::= localValue 0
  ROER-noSuchObjectClass ::= ROERapdu (WITH COMPONENTS
    { invokeID
      error-value
      parameter
      PRESENT,
      (noSuchObjectClass ),
      (INCLUDES ObjectClass) } )

-- This ROERapdu may only be returned in response to the ROIV-m-EventReport-Confirmed, ROIV-m-Get,
  noSuchObjectInstance ERROR ::= localValue 1
  ROER-noSuchObjectInstance ::= ROERapdu (WITH COMPONENTS
    { invokeID
      error-value
      parameter
      PRESENT,
      (noSuchObjectInstance),
      (INCLUDES ObjectInstance) } )

-- This ROERapdu may only be returned in response to the ROIV-m-EventReport-Confirmed, ROIV-m-Get,
  noSuchReferenceObject ERROR ::= localValue 12
  ROER-noSuchReferenceObject ::= ROERapdu (WITH COMPONENTS
    { invokeID
      error-value
      parameter
      PRESENT,
      (noSuchReferenceObject),
      (INCLUDES ObjectInstance) } )

-- This ROERapdu may only be returned in response to the ROIV-m-Create ROIVapdu
  operationCancelled ERROR ::= localValue 23
  ROER-operationCancelled ::= ROERapdu (WITH COMPONENTS
    { invokeID
      error-value
      PRESENT,
      (operationCancelled) } )

-- This ROERapdu may only be returned in response to the ROIV-m-Get ROIVapdu
  processingFailure ERROR ::= localValue 10
  ROER-processingFailure ::= ROERapdu (WITH COMPONENTS
    { invokeID
      error-value
      parameter
      PRESENT,
      (processingFailure),
      (INCLUDES ProcessingFailure)
    OPTIONAL } )

```

```

-- This ROERapdu may only be returned in response to the ROIV-m-EventReport-Confirmed, ROIV-m-Get,
ROIV-m-Set-Confirmed, ROIV-m-Action-Confirmed, ROIV-m-Create, and ROIV-m-Delete ROIVapdus
setListError ERROR ::= localValue 8
ROER-setListError ::= ROERapdu (WITH COMPONENTS
    { invokeID          PRESENT,
      error-value       (setListError),
      parameter         (INCLUDES SetListError) } )

-- This ROERapdu may only be returned in response to the ROIV-m-Set-Confirmed ROIVapdu
syncNotSupported ERROR ::= localValue 3
ROER-syncNotSupported ::= ROERapdu (WITH COMPONENTS
    { invokeID          PRESENT,
      error-value       (syncNotSupported),
      parameter         (INCLUDES CMISSync) } )

-- This ROERapdu may only be returned in response to the ROIV-m-Get, ROIV-m-Set-Confirmed,
ROIV-m-Action-Confirmed and ROIV-m-Delete ROIVapdus

-- To complete the abstract syntax specification provided in this annex, the definitions of the supporting types in § 7.4
are incorporated by reference
END -- of CMIP syntax definitions

```

ANNEX C

(This annex does not form an integral part of this Recommendation)
Examples of CMISE ROSE APDUs

This annex provides some examples of the complete expansion of ROSE APDUs carrying CMIP information.

These examples are provided as guidance for users of this Recommendation.

```

-- ROIVapdu for the CMISE confirmed action operation.
ROIVapdu-example ::= [1] IMPLICIT SEQUENCE {
    invokeID          InvokeIDType,
    operation-value    INTEGER {m-Action-Confirmed (7)},
    argument          SEQUENCE {
        COMPONENTS OF
        accessControl [5] AccessControl OPTIONAL,
        synchronization [6] IMPLICIT CMISSync OPTIONAL,
        scope          [7] Scope DEFAULT baseObject,
        filter          CMISFilter DEFAULT and {},
        actionInfo      [12] IMPLICIT SEQUENCE (
            actionType  ActionTypeId,
            actionInfoArg [4] ANY DEFINED BY actionType OPTIONAL
        )
    } }

```

```

-- RORSapdu for the CMISE confirmed action operation.
RORSapdu-example ::= [2] IMPLICIT SEQUENCE {
    invokeID                InvokeIDType,
    SEQUENCE {
        operation-value      INTEGER {m-Action-Confirmed (7)},
        result               SEQUENCE {
            managedObjectClass ObjectClass OPTIONAL,
            managedObjectInstance ObjectInstance OPTIONAL,
            currentTime        [5] IMPLICIT GeneralizedTime OPTIONAL,
            actionReply        [6] IMPLICIT SEQUENCE (
                actionType     ActionTypeId,
                actionReplyInfo [4] ANY DEFINED BY actionType
            )
        }
    }
}

-- ROIVapdu for the CMISE Linked Reply for a confirmed action operation.
ROIVapdu-linked-example ::= [1] IMPLICIT SEQUENCE {
    invokeID                InvokeIDType,
    linked-ID               [0] IMPLICIT InvokeIDType,
    operation-value          INTEGER {m-Action-Confirmed (7)},
    argument                 CHOICE {
        actionResult         [4] IMPLICIT ActionResult,
        processingFailure     [5] IMPLICIT ProcessingFailure,
        actionError           [7] IMPLICIT ActionError
    }
}

-- ROERapdu for the CMISE confirmed action operation when a noSuchAction error occurs.
ROERapdu-example ::= [3] IMPLICIT SEQUENCE {
    invokeID                InvokeIDType,
    error-value              INTEGER {noSuchAction (9)},
    parameter               SEQUENCE { managedObjectClass
        ObjectClass
                                OPTIONAL,
                                actionId
        ActionTypeId
    }
}

```