

# Cerlienco–Mureddu Correspondence and Lazard Structural Theorem

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## Abstract

This paper is devoted to characterize the shape of polynomial equation systems, viewed as polynomial ideal, with finitely many solutions (counting their multiplicity) and the dual structure of the quotient algebra. Our characterization links both the techniques for solving polynomial systems (Gianni–Kalkbrener Theorem) and the inverse interpolation problem (Möller Algorithm).

## 1 Introduction

In 1927 Macaulay [12] gave a construction which, to each monomial ideal

$$J \subset k[X_1, \dots, X_n] =: \mathcal{P},$$

associates a set  $X$  of distinct points in  $k^n$  such that, using modern lingo, the monomial ideal associated to each degree-compatible Gröbner basis of the radical ideal

$$I := I(X) := \{f \in k[X_1, \dots, X_n] : f(a_1, \dots, a_n) = 0, \text{ for each } (a_1, \dots, a_n) \in X\}$$

is the given monomial ideal  $J$ , i.e.  $J = \mathbf{T}(I)$ ; moreover Macaulay explicitly stated a direct correspondence between the points of  $X$  and the monomials  $\tau \notin J$  forming the “Gröbner sous-escalier”  $\mathbf{N}(I)$ .

In 1981 Möller [20] introduced Duality in Computer Algebra proposing an algorithm – essentially a multivariate version of Newton Interpolation – which, for each finite set of (distinct) points  $X \subset k^n$ , computes the Gröbner basis and the “Gröbner sous-escalier” of  $I := I(X)$ .

Möller’s Algorithm was later refined and generalized [7, 15] to any finite set of functional  $\mathbf{L} \subset \mathcal{P}^* := \text{Hom}_k(\mathcal{P}, k)$  such that

$$P(\mathbf{L}) := \{f \in \mathcal{P} : \lambda(f) = 0, \text{ for each } \lambda \in \mathbf{L}\}$$

is an ideal, computing the Gröbner basis and sous-escalier of  $\mathbf{N}(P(\mathbf{L}))$ .

In 1985 Lazard [11] gave a characterization of the Gröbner basis of any ideal  $I \subset k[X_1, X_2]$  which is also a refinement of Macaulay’s result.

Lazard’s result was then subsumed by Gianni-Kalkbrener Theorem [8, 9] describing the lexicographical reduced Gröbner basis of any zero-dimensional ideal in  $\mathcal{P}$ .

In 1990 Cerlienco–Mureddu [5] gave an algorithm which, for each finite set of distinct points  $X \subset k^n$ , computes the Gröbner sous-escalier  $\mathbf{N}(I)$  of  $I := I(X)$  and a direct correspondence between  $X$  and  $\mathbf{N}(I)$ .

Recently, we [17] merged Lazard’s result, Cerlienco–Mureddu Algorithm and Möller Algorithm in order to give an enhanced Lazard Structural Theorem for a zero-dimensional radical ideal.

Finding out later that Cerlienco and Mureddu [5] had extended (in 1995) their results to cover any zero-dimensional ideal whose primary components are translations of monomial primary ideals at the origin (*CeMu-ideal*), we [18] generalized the Enhanced Lazard Structural Theorem to CeMu-ideals, strongly improving its factorization results.

The aim of this paper is to extend to all zero-dimensional ideals both Cerlienco–Mureddu Algorithm and the Enhanced Lazard Structural Theorem; as we will see, the factorization results don’t hold in the general setting.

We are therefore able to describe the structure of the lexicographical Gröbner basis of any zero dimensional ideal  $I \subset k[X_1, \dots, X_n]$  in terms of its Macaulay Representation (i.e. the set of the inverse systems at each root of  $I$ ).

In particular, denoting  $<$  the lexicographical ordering induced by  $X_1 < \dots < X_n$  an easy combinatorial algorithm returns the “Gröbner sous-escalier”  $\mathbf{N}(I)$  of  $I$ , thus allowing to deduce the minimal basis

$$\{\mathbf{t}_1, \dots, \mathbf{t}_r\}, \mathbf{t}_1 < \mathbf{t}_2 < \dots < \mathbf{t}_r$$

of its associated monomial ideal  $\mathbf{T}(I)$  and (by interpolation) the unique reduced lexicographical Gröbner basis

$$G := \{f_1, \dots, f_r\}, \mathbf{T}(f_i) = \mathbf{t}_i \text{ for each } i.$$

Moreover, for a CeMu-ideal, a variation of Cerlienco–Mureddu algorithm allows to deduce a canonical “linear” factorization of each element of such Gröbner basis in the following sense: for each  $t_i := X_1^{d_1} \cdots X_n^{d_n}$ ,  $1 \leq i \leq r$ , a combinatorial algorithm and interpolation allow to deduce polynomials

$$\gamma_{m\delta i} = X_m - g_{m\delta i}(X_1, \dots, X_{m-1})$$

for each  $i, m, \delta, 1 \leq i \leq r, 1 \leq m \leq n, 1 \leq \delta \leq d_m$  satisfying

$$f_i = \prod_m \prod_\delta \gamma_{m\delta i} \pmod{(f_1, \dots, f_{i-1})} \text{ for each } i.$$

At least in the radical ideal case, this combinatorial description subsumes Gianni–Kalkbrener Theorem as a corollary and gives a combinatorial justification of their algorithm.

## 2 Notation

Let  $\mathcal{P} := k[X_1, \dots, X_n]$ ,  $\mathfrak{m} = (X_1, \dots, X_n)$  the maximal ideal at the origin,  $\mathcal{T} := \{X_1^{a_1} \cdots X_n^{a_n} : (a_1, \dots, a_n) \in \mathbf{N}^n\}$ ,  $<$  the lexicographical ordering on  $\mathcal{T}$  induced by  $X_1 < \cdots < X_n$ .

The algebraic closure of  $k$  is denoted  $\mathbf{k}$  and for each zero-dimensional ideal  $\mathfrak{l} \subset \mathcal{P}$ ,  $\mathcal{Z}(\mathfrak{l}) := \{\mathbf{a} \in \mathbf{k}^n : f(\mathbf{a}) = 0, \forall f \in \mathfrak{l}\} \subset \mathbf{k}^n$ ; for any  $\alpha = (b_1, \dots, b_d) \in \mathbf{k}^d$ ,  $\Phi_\alpha$  is the projection  $\Phi_\alpha : \mathcal{P} \mapsto \mathbf{k}[X_{d+1}, \dots, X_n]$  defined by

$$\Phi_\alpha(f) = f(b_1, \dots, b_d, X_{d+1}, \dots, X_n) \forall f \in k[X_1, \dots, X_n].$$

Each element  $f \in \mathcal{P}$  can be uniquely expressed either as

$$f = \sum_{i=0}^{\deg(f)} g_i X_n^i \in k[X_1, \dots, X_{n-1}][X_n],$$

$g_i \in k[X_1, \dots, X_{n-1}]$ ,  $g_{\deg(f)} \neq 0$ , or as a linear combination

$$f = \sum_{t \in \mathcal{T}} c(f, t)t = \sum_{i=1}^s c(f, t_i)t_i,$$

$c(f, t_i) \neq 0$ ,  $t_i \in \mathcal{T}, t_1 > \cdots > t_s$  of terms  $t \in \mathcal{T}$  with coefficients  $c(f, t)$  in  $k$ ; and we will denote  $\text{Lp}(f) := g_{\deg(f)}$  the *leading polynomial* of  $f$ ,  $\mathbf{T}(f) := t_1$  its *maximal term*,  $\text{lc}(f) := c(f, t_1)$  its *leading coefficient*.

For each subset  $G \subset \mathcal{P}$ ,  $\mathbf{T}\{G\} := \{\mathbf{T}(g) : g \in G\}$  and  $\mathbf{T}(G) := \{\tau \mathbf{T}(g) : \tau \in \mathcal{T}, g \in G\}$  is the monomial ideal it generates. For each ideal  $\mathfrak{l} \subset \mathcal{P}$ ,  $\mathbf{G}(\mathfrak{l})$  is the minimal basis of the monomial ideal  $\mathbf{T}(\mathfrak{l}) = \mathbf{T}\{\mathfrak{l}\}$ ,  $\mathbf{N}(\mathfrak{l}) := \mathcal{T} \setminus \mathbf{T}(\mathfrak{l})$  and

$$\begin{aligned} \mathbf{B}(\mathfrak{l}) &:= \{X_h t : 1 \leq h \leq n, t \in \mathbf{N}(\mathfrak{l})\} \setminus \mathbf{N}(\mathfrak{l}) \\ &= \mathbf{T}(\mathfrak{l}) \cap (\{1\} \cup \{X_h t : 1 \leq h \leq n, t \in \mathbf{N}(\mathfrak{l})\},) \end{aligned}$$

we set  $k[\mathbf{N}(\mathfrak{l})] := \text{Span}_k(\mathbf{N}(\mathfrak{l}))$ .

For each  $f \in \mathcal{P}$ , there is [2, 3, 4] a unique *canonical form*

$$g := \text{Can}(f, \mathfrak{l}) = \sum_{t \in \mathbf{N}(\mathfrak{l})} \gamma(f, t, \langle) t \in k[\mathbf{N}(\mathfrak{l})]$$

such that  $f - g \in \mathfrak{l}$ . A *Gröbner basis* [2, 3] of  $\mathfrak{l}$  is any set  $G \subset \mathfrak{l}$  such that  $\mathbf{T}(G) = \mathbf{T}\{\mathfrak{l}\}$ , i.e.  $\mathbf{T}\{G\}$  generates the monomial ideal  $\mathbf{T}(\mathfrak{l}) = \mathbf{T}\{\mathfrak{l}\}$ ; the *reduced Gröbner basis* [2, 3] of  $\mathfrak{l}$  is the set  $\mathcal{G}(\mathfrak{l}) := \{\tau - \text{Can}(\tau, \mathfrak{l}) : \tau \in \mathbf{G}(\mathfrak{l})\}$ ; the *border basis* [15] of  $\mathfrak{l}$  is the set  $\mathcal{B}(\mathfrak{l}) := \{\tau - \text{Can}(\tau, \mathfrak{l}) : \tau \in \mathbf{B}(\mathfrak{l})\}$ .

Two sets  $\mathbf{L} := \{\ell_1, \dots, \ell_s\} \subset \mathcal{P}^* := \text{Hom}_k(\mathcal{P}, k)$ , and  $\mathbf{q} = \{q_1, \dots, q_s\} \subset \mathcal{P}$  are *triangular* if  $\ell_i(q_j) = 0$ , for each  $i < j$ .

Denoting, for each  $k$ -vector subspace  $L \subset \mathcal{P}^*$ ,

$$\mathbf{P}(L) := \{g \in \mathcal{P} : \ell(g) = 0, \text{ for each } \ell \in L\}$$

and, for each  $k$ -vector subspace  $P \subset \mathcal{P}$ ,

$$\mathbf{L}(P) := \{\ell \in \mathcal{P}^* : \ell(g) = 0, \text{ for each } g \in P\},$$

we recall [13, 14, 16, 1, 21] that the mutually inverse maps  $\mathbf{L}(\cdot)$  and  $\mathbf{P}(\cdot)$  give a biunivocal, inclusion reversing, correspondence between the set of the zero-dimensional ideals  $P \subset \mathcal{P}$  and the set of 'certain' finite  $k$ -dimensional  $\mathcal{P}$ -modules  $L \subset \mathcal{P}^*$ .

### 3 Macaulay framework

For each  $\tau \in \mathcal{T}$ , letting

$$M(\tau) := c(f, \tau), \text{ for each } f = \sum_{t \in \mathcal{T}} c(f, t)t \in \mathcal{P}$$

one has a morphism  $M(\tau) : \mathcal{P} \rightarrow k$ ; letting  $\mathbf{M} := \{M(\tau) : \tau \in \mathcal{T}\}$ ,  $\text{Span}_k(\mathbf{M}) \subset \mathcal{P}^*$  is the set of the *Noetherian equations* [13, 14, 21] of  $\mathcal{P}$ .

For each element

$$\ell := \sum_i c_i M(\tau_i) \in \text{Span}_k(\mathbf{M}) : c_i \in k \setminus \{0\}, \tau_i \in \mathcal{T}, \tau_1 < \tau_2 < \dots < \tau_i < \dots$$

$\mathbf{T}_<(\ell) := \tau_1$  is the *leading term* of  $\ell$ ,  $\text{ord}(\ell) := \min_i(\deg(\tau_i))$  is the *order* (or *under-degree*) of  $\ell$ ,  $\deg(\ell) := \max_i(\deg(\tau_i))$  is the *degree* of  $\ell$ .

For a subset  $\Lambda \subset \text{Span}_k(\mathbf{M})$ , we set

$$\mathbf{T}_<\{\Lambda\} := \{\mathbf{T}_<(\ell), \ell \in \Lambda\}, \quad \mathbf{N}_<(\Lambda) := \mathcal{T} \setminus \mathbf{T}_<\{\Lambda\}.$$

For each  $j = 1, \dots, n$ ,  $\sigma_j := \sigma_{X_j} : \text{Span}_k(\mathbf{M}) \mapsto \text{Span}_k(\mathbf{M})$  is the linear map such that

$$\sigma_{X_j}(M(\tau)) = \begin{cases} M(\omega) & \text{if } \tau = X_j \omega \\ 0 & \text{if } X_j \nmid \tau \end{cases} \quad \forall \tau \in \mathcal{T};$$

since, for each  $i, j$ ,  $\sigma_{X_j} \sigma_{X_i} = \sigma_{X_i} \sigma_{X_j}$ , a linear map  $\sigma_t : \text{Span}_k(\mathbf{M}) \mapsto \text{Span}_k(\mathbf{M})$  is inductively defined for each  $t \in \mathcal{M}$  by  $\sigma_{X_j t} := \sigma_{X_j} \sigma_t$  so that for each  $\tau, \omega \in \mathcal{T}$  we have

$$\sigma_\tau(M(\omega)) = \begin{cases} M(v) & \text{if } \omega = \tau v \\ 0 & \text{if } \tau \nmid \omega; \end{cases}$$

for each  $f := \sum_{\tau \in \mathcal{T}} c(f, \tau) \tau \in \mathcal{P}$   $\sigma_f : \text{Span}_k(\mathbf{M}) \mapsto \text{Span}_k(\mathbf{M})$  is defined as

$$\sigma_f(\ell) := \sum_{\tau \in \mathcal{T}} c(f, \tau) \sigma_\tau(\ell) \text{ for each } \ell \in \text{Span}_k(\mathbf{M}).$$

A vector subspace  $\Lambda \subset \text{Span}_k(\mathbf{M})$  is called *stable* if for each  $\ell \in \Lambda$  and each  $f \in \mathcal{P}$ ,  $\sigma_f(\ell) \in \Lambda$ .

**Proposition 3.1** *For any  $f, g \in \mathcal{P}$  and  $\omega \in \mathcal{T}$  it holds*

$$M(\omega)(fg) = \sum_{\substack{v \in \mathcal{T} \\ v\tau = \omega}} M(v)(f)M(\tau)(g)$$

**Proof:** For

$$\begin{aligned} f &= \sum_{v \in \mathcal{T}} c(f, v) v = \sum_{v \in \mathcal{T}} M(v)(f) v, \\ g &= \sum_{\tau \in \mathcal{T}} c(g, \tau) \tau = \sum_{\tau \in \mathcal{T}} M(\tau)(g) \tau, \\ fg &= \sum_{\omega \in \mathcal{T}} c(fg, \omega) \omega = \sum_{\omega \in \mathcal{T}} M(\omega)(fg) \omega \end{aligned}$$

and, for each  $\omega \in \mathcal{T}$ , we have

$$\begin{aligned} M(\omega)(fg) = c(fg, \omega) &= \sum_{\substack{v \in \mathcal{T} \\ v\tau = \omega}} c(f, v)c(g, \tau) \\ &= \sum_{\substack{v \in \mathcal{T} \\ v\tau = \omega}} M(v)(f)M(\tau)(g). \square \end{aligned}$$

Denoting, for each  $k$ -vector subspace  $\Lambda \subset \text{Span}_K(\mathbf{M})$ ,

$$I(\Lambda) := \{f \in \mathcal{P} : \ell(f) = 0, \text{ for each } \ell \in \Lambda\}$$

and, for each  $k$ -vector subspace  $P \subset \mathcal{P}$ ,

$$M(P) := \{\ell \in \text{Span}_k(\mathbf{M}) : \ell(f) = 0, \text{ for each } f \in P\},$$

we recall [13, 14, 16, 21] that the mutually inverse maps  $I(\cdot)$  and  $M(\cdot)$  give a biunivocal, inclusion reversing, correspondence between the set of the  $\mathfrak{m}$ -closed ideals  $I \subset \mathcal{P}$  and the set of the stable  $k$ -vector subspaces  $\Lambda \subset \text{Span}_K(\mathbf{M})$ ,  $\mathfrak{m}$ -primary ideals being dual to finite-dimensional stable spaces and we remark that, for each  $\mathfrak{m}$ -closed ideal  $I \subset \mathcal{P}$ ,  $M(I)$  consists of all the Noetherian equations of  $I$ .

A basis  $\{\ell_1, \ell_2, \dots, \ell_i, \dots\}$  of a stable vector subspace  $\Lambda \subset \text{Span}_k(\mathbf{M})$  is called the *Macaulay basis* [14, 21] of  $\Lambda$  w.r.t.  $<$  if

- $\mathbf{T}_{<}\{\Lambda\} := \{\mathbf{T}_{<}(\ell_i)\} \subset \mathcal{T}$  is an order ideal<sup>1</sup>;
- $\ell_i = M(\mathbf{T}_{<}(\ell_i)) + \sum_{v \in \mathbf{N}_{<}(\Lambda)} \xi(v, \mathbf{T}_{<}(\ell_i))M(v)$ , for suitable  $\xi(v, \mathbf{T}_{<}(\ell_i)) \in k$  and for each  $i$ .

If we set  $\ell(\tau) := M(\tau) + \sum_{t \in \mathbf{T}(I)} \gamma(t, \tau, \mathbf{N}(I))M(t) \in \text{Span}_k(\mathbf{M})$ , for each  $\mathfrak{m}$ -closed ideal  $I \subset \mathcal{P}$  and each  $\tau \in \mathbf{N}(I)$ , then  $I$  can be characterized [13, 14, 16, 21] by the unique *Macaulay basis*  $\{\ell(\tau) : \tau \in \mathbf{N}(I)\}$  of  $M(I)$ .

Therefore, each zero-dimensional ideal  $I \subset \mathcal{P}$  can be considered as *given* if we know the set  $Z := \mathcal{Z}(I)$  and, for each  $\mathbf{a} \in Z$ , the Macaulay basis of the corresponding primary component of  $I$ .

For each  $\mathbf{a} \in Z := \mathcal{Z}(I)$ ,  $\mathbf{a} := (a_1, \dots, a_n)$ , denote:

- $\lambda_{\mathbf{a}} : \mathcal{P} \mapsto \mathcal{P}$  the translation  $\lambda_{\mathbf{a}}(X_i) = X_i + a_i$ , for each  $i$ ,
- $\mathfrak{m}_{\mathbf{a}} = (X_1 - a_1, \dots, X_n - a_n)$ ,

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<sup>1</sup>A subset  $\mathbf{N} \subset \mathcal{T}$  is called an order ideal if it satisfies  $st \in \mathbf{N} \implies t \in \mathbf{N}$ , for each  $s, t \in \mathcal{T}$ .

- $\mathfrak{q}_a$  the  $\mathfrak{m}_a$ -primary component of  $\mathfrak{l}$ ,
- $\Lambda_a := M(\lambda_a(\mathfrak{q}_a)) \subset \text{Span}_K(\mathbf{M})$ ,
- $\ell_{va}, \forall v \in \mathbf{N}_{<}(\lambda_a(\mathfrak{q}_a))$ , the Macaulay equation  $\ell_{va} := \ell(v)$  so that
- $\{\ell_{va} : v \in \mathbf{N}_{<}(\lambda_a(\mathfrak{q}_a))\}$  is the Macaulay basis of  $\Lambda_a$ .

Setting  $s := \sum_{a \in Z} \deg(\mathfrak{q}_a)$  and

$$\mathbf{L} := \{\lambda_1, \dots, \lambda_s\} := \{\ell_{va}\lambda_a : v \in \mathbf{N}_{<}(\lambda_a(\mathfrak{q}_a)), a \in Z\},$$

we know that  $\text{Span}_k(\mathbf{L}) = \mathbf{L}(\mathfrak{l})$  and  $\mathfrak{l} = \mathbf{P}(\text{Span}_k(\mathbf{L}))$ ; moreover, wlog we can assume  $\mathbf{L}$  to be ordered so that, for each  $\sigma$ ,

$$\mathfrak{l}_\sigma = \mathbf{P}(\text{Span}_k\{\lambda_1, \dots, \lambda_\sigma\})$$

is an ideal [13, 14, 19, 21].

We also set

$$\mathbf{X} := \{x_1, \dots, x_s\} := \{(a, v) : v \in \mathbf{N}_{<}(\mathfrak{q}_a), a \in Z\}$$

enumerated so that  $x_j = (a, v) \iff \lambda_j = \ell_{va}\lambda_a$  and  $\forall j, 1 \leq j \leq s$ , we set  $M(\lambda_j) := M(v)\lambda_a$  where  $\lambda_j = \ell_{va}\lambda_a$ .

Under the following equivalent assumptions:

- $\lambda = M(\lambda)$  for each  $\lambda \in \mathbf{L}$ ,
- $\ell_{va} = M(v)$ , for each  $\lambda = \ell_{va}\lambda_a \in \mathbf{L}$ ,
- each  $\lambda_a(\mathfrak{q}_a)$  is a monomial ideal,

Cerlienco–Mureddu Algorithm [5, 6] associates to each couple of sets  $\mathbf{L}$  and  $\mathbf{X}$  as above, an order ideal  $\mathbf{N} := \mathbf{N}(\mathbf{L})$  and a bijection  $\Phi := \Phi(\mathbf{L}) : \mathbf{L} \mapsto \mathbf{N}$ , which, as we will proof later, satisfies

$$\mathbf{N}_{<}(\mathbf{L}) = \mathbf{N}(\mathbf{P}(\text{Span}_k(\mathbf{L})))$$

for the lexicographical ordering induced by  $X_1 < \dots < X_n$ .

**Definition 3.2** *The ordered sets  $\mathbf{L}(\mathfrak{l}) := \mathbf{L}$  and  $\mathbf{X}(\mathfrak{l}) := \mathbf{X}$  are called, respectively, a Macaulay representation and a CeMu-skeleton of  $\mathfrak{l} := \mathbf{P}(\mathbf{L})$ ; each  $\lambda = \ell_{va}\lambda_a \in \mathbf{L}$  is called a CeMu-functional and each  $x = (a, v) \in \mathbf{X}$  a CeMu-card.*

*Moreover, if  $\forall \lambda = \ell_{va}\lambda_a \in \mathbf{L}$ ,  $\lambda = M(\lambda) = M(v)\lambda_a$ , then  $\mathfrak{l}$  is called a CeMu-ideal,  $\mathbf{X}$  its CeMu-scheme, and each  $x = (a, v) \in \mathbf{X}$  a CeMu-condition.*

□

We need also to consider, for each  $m < n$ , the sets

$$\begin{aligned}\mathcal{T}[1, m] &:= \mathcal{T} \cap k[X_1, \dots, X_m] \\ &= \{X_1^{a_1} \cdots X_m^{a_m} : (a_1, \dots, a_m) \in \mathbf{N}^m\}, \\ \mathbf{M}[1, m] &:= \{M(\tau) : \tau \in \mathcal{T}[1, m]\}\end{aligned}$$

and the projection

$$\pi_m : k^n \mapsto k^m, \pi_m(x_1, \dots, x_n) = (x_1, \dots, x_m),$$

which we freely use to denote also the projections

$$\begin{aligned}\pi_m : \mathcal{T} \simeq \mathbf{N}^n \mapsto \mathbf{N}^m \simeq \mathcal{T}[1, m], \pi_m(X_1^{\alpha_1} \cdots X_n^{\alpha_n}) &= X_1^{\alpha_1} \cdots X_m^{\alpha_m}, \\ \pi_m : \mathbf{M} \mapsto \mathbf{M}[1, m], \pi_m(M(\tau)) &= M(\pi_m(\tau)),\end{aligned}$$

and  $\pi_m : k^n \times \mathcal{T} \mapsto k^m \times \mathcal{T}[1, m]$ ,  $\pi_m(\mathbf{a}, \tau) = (\pi_m(\mathbf{a}), \pi_m(\tau))$ .

Recalling Macaulay's notation [13, 14] for Noether equations as members of  $k[X_1^{-1}, \dots, X_n^{-1}]$ , we remark that for each Noetherian equation

$$\ell(\tau) := M(\tau) + \sum_{t \in \mathbf{T}(\mathfrak{l})} \gamma(t, \tau, \mathbf{N}(\mathfrak{l}))M(t) = \tau^{-1} + \sum_{t \in \mathbf{T}(\mathfrak{l})} \gamma(t, \tau, \mathbf{N}(\mathfrak{l}))t^{-1},$$

$\tau = X_1^{d_1} \cdots X_n^{d_n}$ , there are unique polynomials

$$f_i(X_1^{-1}, \dots, X_i^{-1}) \in k[X_1^{-1}, \dots, X_i^{-1}]$$

so that

$$\begin{aligned}\ell(\tau) &= \left( \left( \cdots \left( (1 + X_1^{-1}f_1(X_1^{-1}))X_1^{-d_1} + X_2^{-1}f_2(X_1^{-1}, X_2^{-1}) \right) X_2^{-d_2} \cdots \right. \right. \\ &\quad \left. \left. + f_{n-1}(X_1^{-1}, \dots, X_{n-1}^{-1}) \right) X_{n-1}^{-d_{n-1}} + X_n^{-1}f_n(X_1^{-1}, \dots, X_n^{-1}) \right) X_n^{-d_n}\end{aligned}$$

and we set

$$\begin{aligned}\pi_m(\ell(\tau)) &:= \left( \cdots (1 + X_1^{-1}f_1(X_1^{-1}))X_1^{-d_1} + \cdots \right. \\ &\quad \left. + f_{m-1}(X_1^{-1}, \dots, X_{m-1}^{-1}) \right) X_{m-1}^{-d_{m-1}} + X_m^{-1}f_m(X_1^{-1}, \dots, X_m^{-1}) \\ &= (\sigma_{X_m^{d_m} \cdots X_n^{d_n}}(\ell(\tau)))(X_1^{-1}, \dots, X_m^{-1}, 0, \dots, 0) \\ &\in k[X_1^{-1}, \dots, X_m^{-1}].\end{aligned}$$

Finally, for a CeMu-functional  $\lambda = \ell_{\mathbf{v}\mathbf{a}}\lambda_{\mathbf{a}}$  we set

$$\pi_m(\lambda) := \pi_m(\ell_{\mathbf{v}\mathbf{a}}\lambda_{\mathbf{a}}) := \pi_m(\ell_{\mathbf{v}\mathbf{a}})\lambda_{\pi_m(\mathbf{a})}.$$

## 4 Lazard, Gianni–Kalkbrener and Cerlienco–Mureddu results

**Theorem 4.1 (Lazard Structural Theorem) [11]**

Let  $\mathcal{P} := k[X_1, X_2]$ ,  $<$  the lexicographical ordering induced by  $X_1 < X_2$ ,  $\mathfrak{l} \subset \mathcal{P}$  an ideal and  $\{f_0, f_1, \dots, f_k\}$  a Gröbner basis of  $\mathfrak{l}$ , ordered so that

$$\mathbf{T}(f_0) < \mathbf{T}(f_1) < \dots < \mathbf{T}(f_k).$$

Then

$$\begin{aligned} f_0 &= PG_1 \cdots G_{k+1}, \\ f_j &= PH_j G_{j+1} \cdots G_{k+1}, 1 \leq j < k, \\ f_k &= PH_k G_{k+1}, \end{aligned}$$

where

- $P$  is the primitive part of  $f_0 \in k[X_1][X_2]$ ;
- $G_i \in k[X_1], 1 \leq i \leq k+1$ ;
- $H_i \in k[X_1][X_2]$  is a monic polynomial of degree  $d(i)$ , for each  $i$ ;
- $d(1) < d(2) < \dots < d(k)$ ;
- $H_{i+1} \in (G_1 \cdots G_i, H_1 G_2 \cdots G_i, \dots, H_j G_{j+1} \cdots G_i, \dots, H_{i-1} G_i, H_i), \forall i$ . □

**Theorem 4.2 (Gianni–Kalkbrener) [8, 9]** Let  $\mathfrak{l} \subset \mathcal{P}$  be an ideal,  $<$  the lexicographical ordering induced by  $X_1 < \dots < X_n$  and  $G := \{g_1, \dots, g_s\}$  a Gröbner basis of  $\mathfrak{l}$  w.r.t.  $<$ , enumerated in such a way that

$$\mathbf{T}(g_1) < \mathbf{T}(g_2) < \dots < \mathbf{T}(g_{s-1}) < \mathbf{T}(g_s).$$

For each  $d, 1 \leq d \leq n, \delta \in \mathbf{N}$ , set

$$\begin{aligned} G_d &:= G \cap k[X_1, \dots, X_d], \\ G_{d\delta} &:= \{g \in G, g \in k[X_1, \dots, X_d], \deg_i(g) \leq \delta\} \end{aligned}$$

and remark that

$$\begin{aligned} G_{11} &\subseteq G_{12} \subseteq \dots \subseteq G_1 \subseteq \dots \subseteq G_{d-1} \subseteq \dots \\ &\subseteq G_{d\delta} \subseteq G_{d\delta+1} \subseteq \dots \subseteq G_d \subseteq \dots, \end{aligned}$$

each  $G_{d\delta}$  is a section of both  $G_{d\delta+1}$  and  $G_d$ .

Each  $G_d$  and  $\text{Lp}_{d\delta}(G) := \{\text{Lp}(g), g \in G_{d\delta}\}$  are Gröbner bases w.r.t.  $<$  of, respectively,  $\mathfrak{l}_d := \mathfrak{l} \cap k[X_1, \dots, X_d]$  and  $\text{Lp}_{d\delta}(\mathfrak{l}) := \{\text{Lp}(g), g \in \mathfrak{l}_d, \deg_i(g) \leq \delta\}$ .

Moreover, for each  $d, 1 \leq d \leq n$  and each  $\alpha := (b_1, \dots, b_d) \in \mathcal{Z}(\mathfrak{l}_d)$ , denoting  $\sigma$  the minimal value such that  $\Phi_\alpha(\text{Lp}(g_\sigma)) \neq 0$  and  $j, \delta$  the value such that

$$g_\sigma = \text{Lp}(g_\sigma)X_j^{\delta+1} + \dots \in k[X_1, \dots, X_j] \setminus k[X_1, \dots, X_{j-1}]$$

it holds

$$j = d + 1,$$

$$\text{for each } g \in G_d, \Phi_\alpha(g) = 0,$$

$$\text{for each } g \in G_{d+1\delta}, \Phi_\alpha(g) = 0,$$

$$\Phi_\alpha(g_\sigma) = \gcd(\Phi_\alpha(g) : g \in G_{d+1}) \in k[X_{d+1}],$$

for each  $b \in k$ ,

$$(b_1, \dots, b_d, b) \in \mathcal{Z}(\mathfrak{l}_{d+1}) \iff \Phi_\alpha(g_\sigma)(b) = 0.$$

□

**Algorithm 4.3 (Cerlienco–Mureddu)** [5, 6] Given a Macaulay representation  $\mathbf{L}$  consisting of CeMu-functionals, and a CeMu-skeleton  $\mathbf{X}$  of an unknown zero-dimensional CeMu-ideal  $\mathfrak{l} \subset \mathcal{P}$ , determine it by assigning an order ideal  $\mathbf{N} := \mathbf{N}(\mathbf{L})$  and a bijection  $\Phi := \Phi(\mathbf{L}) : \mathbf{L} \mapsto \mathbf{N}$  satisfying

$$\mathbf{N}_{<}(\mathbf{L}) = \mathbf{N}(\mathbf{P}(\text{Span}_k(\mathbf{L})))$$

for the lexicographical ordering induced by  $X_1 < \dots < X_n$ .

The algorithm is inductive on  $s = \#\mathbf{L}$ , the only possible solution for  $s = 1$  being  $\mathbf{N} = \{1\}$ ,  $\Phi(\lambda_1) = 1$ .

Let then  $\mathbf{L}' := \{\lambda_1, \dots, \lambda_{s-1}\}$ ,  $\mathbf{N}' := \mathbf{N}(\mathbf{L}')$ ,  $\Phi' := \Phi(\mathbf{L}')$ , and set

$$m := \max(j : \exists i < s : \pi_j(\lambda_i) = \pi_j(\lambda_s)),$$

$$d := \#\{\lambda_i, i < s : \pi_m(\lambda_i) = \pi_m(\lambda_s), \Phi'(\lambda_i) \in \mathcal{T}[1, m+1]\},$$

$$\mathbf{W} := \{\lambda_i : \Phi'(\lambda_i) = \omega_i X_{m+1}^d, \omega_i \in \mathcal{T}[1, m]\} \cup \{\lambda_s\},$$

$$\mathbf{V} := \pi_m(\mathbf{W}),$$

$$\omega := \Phi(\mathbf{V})(\pi_m(\lambda_s)),$$

$$t_s := \omega X_{m+1}^d,$$

where  $\mathbf{N}(\mathbf{V})$  and  $\Phi(\mathbf{V})$  are the result of applying the present algorithm to  $\mathbf{V}$ , which can be inductively done since  $\#(\mathbf{V}) \leq s - 1$ . We then define

$$\mathbf{N} := \mathbf{N}' \cup \{t_s\} \text{ and } \Phi(\lambda_i) := \begin{cases} \Phi'(\lambda_i) & i < s \\ t_s & i = s. \end{cases}$$

□

## 5 Cerlienco–Mureddu Correspondence

Let

$$\begin{aligned} \mathbf{L} &:= \{\lambda_1, \dots, \lambda_s\}, & \mathbf{X} &:= \{\mathbf{x}_1, \dots, \mathbf{x}_s\} \subset k^n \times \mathcal{T}, \\ \mathbf{x}_i &= (\mathbf{a}_i, v_i), \mathbf{a}_i := (a_{i1}, \dots, a_{in}), v_i = \prod_{l=1}^n X_l^{\alpha_{il}} \end{aligned}$$

be the Macaulay representation and the CeMu-skeleton of an unknown zero-dimensional ideal  $\mathfrak{l} \subset \mathcal{P}$ . Our aim is to generalize Cerlienco–Mureddu Algorithm removing the assumption  $\mathfrak{l}$  a CeMu-ideal.

The algorithm is inductive on  $s = \#(\mathbf{X})$ , the only possible solution for  $s = 1$  being  $\mathbf{N} = \{1\}$ ,  $\Phi(\mathbf{x}_1) = 1$ .

Let us therefore consider  $\mathbf{L}' := \{\lambda_1, \dots, \lambda_{s-1}\}$ , the corresponding order ideal  $\mathbf{N}' := \mathbf{N}(\mathbf{L}')$  and the bijection  $\Phi' := \Phi(\mathbf{L}')$ .

Let us also denote,  $\forall \nu, 1 \leq \nu < n$ ,  $\delta \in \mathbf{N}$ ,

$$\mathbf{Y}_{\nu\delta} := \text{Span}_k\{\pi_\nu(\lambda) : \lambda \in \mathbf{L}', \text{ exists } \omega \in \mathcal{T}[1, \nu] : \Phi'(\lambda) = \omega X_{\nu+1}^\delta\}.$$

If  $\mathfrak{P}(\text{Span}_k(\mathbf{L}))$  is radical, by abuse of notation, we simply identify each  $\mathbf{x}_i = (\mathbf{a}_i, 1)$  and the corresponding  $\lambda_i = \lambda_{\mathbf{a}_i}$  with  $\mathbf{a}_i$ . With this notation, we set

$$m := \max\{j : \pi_j(\lambda_s) \in \text{Span}_k(\pi_j(\mathbf{L}'))\},$$

$$d := \min\{\delta : \pi_m(\lambda_s) \notin \mathbf{Y}_{m\delta}\},$$

$$\mathbf{W} := \{\pi_m(\lambda) : \Phi'(\lambda) = \omega X_{m+1}^d, \omega \in \mathcal{T}[1, m]\} \cup \{\pi_m(\lambda_s)\}$$

$$\omega := \Phi(\mathbf{W})(\pi_m(\lambda_s)),$$

$$t_s := \omega X_{m+1}^d$$

where  $\mathbf{N}(\mathbf{W})$  and  $\Phi(\mathbf{W})$  result by applying the present algorithm to  $\mathbf{W}$ , which can be inductively done since  $\#(\mathbf{W}) \leq s - 1$ . We then define

$$\mathbf{N} := \mathbf{N}' \cup \{t_s\} \text{ and } \Phi(\lambda_i) := \begin{cases} \Phi'(\lambda_i) & i < s \\ t_s & i = s. \end{cases}$$

Let

$$\begin{aligned} \mathbf{L} &:= \{\lambda_1, \dots, \lambda_s\}, & \mathbf{X} &:= \{\mathbf{x}_1, \dots, \mathbf{x}_s\} \subset k^n \times \mathcal{T}, \\ \mathbf{x}_i &= (\mathbf{a}_i, v_i), \mathbf{a}_i := (a_{i1}, \dots, a_{in}), & v_i &= \prod_{l=1}^n X_l^{\alpha_{il}} \end{aligned}$$

be the Macaulay representation and the CeMu-skeleton of a zero-dimensional ideal  $\mathfrak{l} \subset \mathcal{P}$  and let  $\mathbf{N} := \mathbf{N}(\mathbf{L})$ ,  $\Phi := \Phi(\mathbf{L})$  be the result of Cerlienco–Murreddu Correspondence. Then

**Lemma 5.1** *If  $\mathbf{Y} = \{\lambda_1, \dots, \lambda_r\} \subset \mathbf{L}$  is an initial segment of  $\mathbf{L}$  then*

- $\mathbf{Y}$  is a CeMu-skeleton,
- $\mathbf{N}(\mathbf{Y}) \subset \mathbf{N}(\mathbf{L})$ ,
- for each  $j \leq r < s$ ,  $\Phi(\mathbf{Y})(\lambda_j) = \Phi(\mathbf{L})(\lambda_j)$ .

□

**Remark 5.2** Let us remark that, by construction, we will have

$$\begin{aligned} \mathbb{P}(\text{Span}_k(\pi_\nu(\mathbf{L}))) &= \mathbf{Y}_{\nu 0} \supset \mathbf{Y}_{\nu 1} \supset \dots \supset \mathbf{Y}_{\nu \delta} \supset \mathbf{Y}_{\nu \delta + 1} \supset \dots; \\ \mathfrak{l} \cap k[X_1, \dots, X_\nu] &= \mathbb{P}(\text{Span}_k(\pi_\nu(\mathbf{L}))) \\ &= \mathbb{P}(\mathbf{Y}_{\nu 0}) \cdots \subset \mathbb{P}(\mathbf{Y}_{\nu \delta}) \subset \mathbb{P}(\mathbf{Y}_{\nu \delta + 1}) \subset \dots \end{aligned}$$

The result is essentially a specialization of Kalkbrener’s Theorem [10]

□

## 6 Lazard Structural Theorem

Let

$$\begin{aligned} \mathbf{L} &:= \{\lambda_1, \dots, \lambda_s\}, & \mathbf{X} &:= \{\mathbf{x}_1, \dots, \mathbf{x}_s\} \subset k^n \times \mathcal{T}, \\ \mathbf{x}_i &= (\mathbf{a}_i, v_i), \mathbf{a}_i := (a_{i1}, \dots, a_{in}), & v_i &= \prod_{l=1}^n X_l^{\alpha_{il}} \end{aligned}$$

be the Macaulay representation and the CeMu-skeleton of a zero-dimensional ideal  $\mathfrak{l} \subset \mathcal{P}$  and let  $\mathbf{N} := \mathbf{N}(\mathbf{L})$ ,  $\Phi := \Phi(\mathbf{L})$  be the result of Cerlienco–Murreddu Correspondence. Then

**Fact 6.1** *It holds*

(A)  $\mathbf{N} := \mathbf{N}(I)$ .

□

Since  $\mathbf{N}$  is an order ideal,  $\mathbf{T} := \mathcal{T} \setminus \mathbf{N}$  is a monomial ideal whose minimal basis  $\mathbf{G} := \{\mathbf{t}_1, \dots, \mathbf{t}_r\}$  will be ordered so that  $\mathbf{t}_1 < \mathbf{t}_2 < \dots < \mathbf{t}_r$ .

Denoting further

$$\mathbf{B} := (\{1\} \cup \{X_i \tau : \tau \in \mathbf{N}\}) \setminus \mathbf{N}$$

we obviously obtain

**Corollary 6.2** *It holds*

(B)  $\mathbf{G}(I) = \mathbf{G} = \{\mathbf{t}_1, \dots, \mathbf{t}_r\}, \mathbf{t}_1 < \mathbf{t}_2 < \dots < \mathbf{t}_r$ ;

(C)  $\mathbf{B}(I) = \mathbf{B}$ .

□

Let us extend the ordering of  $\mathbf{L}$  to  $\mathbf{N} = \{\tau_1, \dots, \tau_s\}$  enumerating it so that  $\tau_\sigma = \Phi(\lambda_\sigma)$ , for each  $\sigma$  and let us denote the ordering of  $\mathbf{L}$  and  $\mathbf{N}$  by  $\prec$  so that

$$\text{for each } \alpha, \beta, \tau_\alpha \prec \tau_\beta, \lambda_\alpha \prec \lambda_\beta \iff \alpha < \beta.$$

Denote for each  $\tau \in \mathbf{N}$

- $\mathbf{L}(\tau) := \{\lambda \in \mathbf{L} : \lambda \prec \Phi^{-1}(\tau)\} = \{\lambda \in \mathbf{L} : \Phi(\lambda) \prec \tau\}$ ,
- $\mathbf{X}(\tau) := \{x_j : \lambda_j \in \mathbf{L}(\tau)\}$ ,
- $I(\mathbf{L}(\tau)) := \mathbf{P}(\text{Span}_k(\mathbf{L}(\tau)))$ ,

and, for each  $\tau \in \mathbf{N} \cup \mathbf{B}$

- $\mathbf{N}(\tau) := \{\omega \in \mathbf{N} : \omega \prec \tau\}$ ,

so that

**Corollary 6.3** *It holds*

(D) *For each  $\tau \in \mathbf{N}$  there is a unique polynomial*

$$f_\tau := \tau - \sum_{\omega \in \mathbf{N}(\tau)} c(f_\tau, \omega) \omega$$

*such that  $\lambda(f_\tau) = 0$ , for each  $\lambda \in \mathbf{L}(\tau)$ .*

(E) For each  $\tau \in \mathbf{G}$  there is a unique polynomial

$$f_\tau := \tau - \sum_{\omega \in \mathbf{N}} c(f_\tau, \omega) \omega$$

such that  $\lambda(f_\tau) = 0$ , for each  $\lambda \in \mathbf{L}$ .

**Proof:** Since  $\#\mathbf{L}(\tau) = \#\mathbf{X}(\tau) = \#\mathbf{N}(\tau)$  and  $\#\mathbf{L} = \#\mathbf{X} = \#\mathbf{N}$ ,  $f_\tau$  can be computed by interpolation.  $\square$

In the same mood, but interpolation is not sufficient to prove it, we can state

**Fact 6.4** It holds

(F) For each  $\tau \in \mathbf{B}$  there is a polynomial

$$f_\tau := \tau - \sum_{\omega \in \mathbf{N}(\tau)} c(f_\tau, \omega) \omega$$

such that  $\lambda(f_\tau) = 0$ , for each  $\lambda \in \mathbf{L}$ .  $\square$

**Corollary 6.5** It holds:

(G) The reduced Gröbner basis of  $\mathfrak{l}$  is

$$\mathcal{G}(\mathfrak{l}) := \{f_\tau : \tau \in \mathbf{G}\};$$

moreover, for each  $\tau \in \mathbf{N}$ ,  $\mathbf{T}(f_\tau) = \tau$ .

(H) The border basis of  $\mathfrak{l}$  is

$$\mathcal{B}(\mathfrak{l}) := \{f_\tau : \tau \in \mathbf{B}\}.$$

**Proof:** For each  $\tau \in \mathbf{G} \cup \mathbf{B}$ ,  $\tau$  is the only term in  $f_\tau$  which is not a member of  $\mathbf{N}$  so that  $\mathbf{T}(f_\tau) = \tau$ .

For any  $\tau \in \mathbf{N}$ ,  $\mathbf{T}(f_\tau) = \tau$  because Cerlienco–Mureddu Correspondence grants  $\tau \in \mathbf{G}(\mathfrak{l}(\mathbf{L}(\tau)))$  and  $\mathbf{N}(\mathfrak{l}(\mathbf{L}(\tau))) = \mathbf{N}(\tau)$ .  $\square$

**Fact 6.6** It holds:

(I) For each  $\nu, 1 \leq \nu < n$ ,

let  $j_\nu$  be the value such that  $\mathfrak{t}_{j_\nu} < X_{\nu+1} \leq \mathfrak{t}_{j_\nu+1}$ ; then  $\{f_{\mathfrak{t}_1}, \dots, f_{\mathfrak{t}_{j_\nu}}\}$  is a minimal Gröbner basis of  $\mathfrak{P}(\text{Span}_k(\pi_\nu(\mathbf{L})))$  and of  $\mathfrak{l} \cap k[X_1, \dots, X_\nu]$ ; for each  $\delta \in \mathbf{N}$ , let  $j(\nu\delta)$  be the value such that  $\mathfrak{t}_{j(\nu\delta)} < X_{\nu+1}^\delta \leq \mathfrak{t}_{j(\nu\delta)+1}$ ; then  $\{\text{Lp}(f_{\mathfrak{t}_1}), \dots, \text{Lp}(f_{\mathfrak{t}_{j_\nu\delta}})\}$  is a Gröbner basis of  $\mathfrak{l}(\mathbf{Y}_{\nu\delta})$ ;

(L) for each  $j, 1 \leq j \leq s$ ,  $\lambda_j(f_{\tau_j}) \neq 0$  so that  $\mathbf{L}$  and  $\{\lambda_j(f_{\tau_j})^{-1} f_{\tau_j}, 1 \leq j \leq s\}$  are triangular.  $\square$

## 7 Intermezzo: factorization results

Let us now restrict ourselves to a CeMu-ideal, assuming that

$$\begin{aligned} \mathbf{L} &:= \{\lambda_1, \dots, \lambda_s\}, \quad \mathbf{X} := \{\mathbf{x}_1, \dots, \mathbf{x}_s\} \subset k^n \times \mathcal{T}, \\ \mathbf{x}_i &= (\mathbf{a}_i, v_i), \mathbf{a}_i := (a_{i1}, \dots, a_{in}), v_i = \prod_{l=1}^n X_l^{\alpha_{il}} \end{aligned}$$

are the Macaulay representation and the CeMu-scheme of a CeMu-ideal  $\mathbf{l}$ , so that, for each  $i$ ,

$$\lambda_i = M(\lambda) = M(v_i)\lambda_{\mathbf{a}_i}, \text{ for each } i, 1 \leq i \leq s.$$

Under this assumption, for any term

$$\tau := X_1^{d_1} \dots X_n^{d_n} \in \mathcal{T} \setminus \mathbf{N}(\mathbf{L})$$

such that  $\mathbf{N} \cup \{\tau\}$  is an order ideal, we define, for each  $m, 1 \leq m \leq n$ :

$$\mathbf{N}_m(\tau) := \mathbf{N}_m(\mathbf{L}, \tau) := \{\omega \in \mathcal{T}[1, m] : \tau > \omega X_{m+1}^{d_{m+1}} \dots X_n^{d_n} \in \mathbf{N}\},$$

$$\mathbf{A}_m(\tau) := \mathbf{A}_m(\mathbf{L}, \tau) := \{\Phi^{-1}(\omega X_{m+1}^{d_{m+1}} \dots X_n^{d_n}) : \omega \in \mathbf{N}_m(\tau)\} \subset \mathbf{L},$$

$$\mathbf{B}_m(\tau) := \mathbf{B}_m(\mathbf{L}, \tau) := \pi_m(\mathbf{A}_m(\tau)) \subset (k[X_1, \dots, X_m])^*,$$

$$\mathbf{C}_m(\tau) := \mathbf{C}_m(\mathbf{L}, \tau) := \{\pi_m(\lambda) \in \mathbf{B}_m(\tau) : \pi_{m-1}(\lambda) \notin \mathbf{B}_{m-1}(\tau)\},$$

$$\mathbf{L}_m(\tau) := \mathbf{L}_m(\mathbf{L}, \tau) := \{\lambda \in \mathbf{L} : \pi_m(\lambda) \in \mathbf{C}_m(\tau)\} \subset \mathbf{L};$$

$$\mathbf{D}_m(\tau) := \mathbf{D}_m(\mathbf{L}, \tau) := \{\mathbf{x}_i \in \mathbf{X} : \pi_m(\lambda_i) \in \mathbf{C}_m(\tau)\} \subset k^m \times \mathcal{T}[1, m];$$

$$\mathbf{M}_m(\tau) := \mathbf{M}_m(\mathbf{L}, \tau) := \{\omega \in \mathcal{T}[1, m] : \omega < X_m^{d_m}, \omega X_{m+1}^{d_{m+1}} \dots X_n^{d_n} \in \mathbf{N}\},$$

$$\mathbf{M}_m(\tau) := \{\omega \in \mathbf{M}_m(\tau) : \omega \prec \tau\},$$

where, with slight abuse of notation, we have

$$\mathbf{N}_n(\tau) := \{\omega \in \mathcal{T} : \omega < \tau\}, \mathbf{A}_n(\tau) := \{\lambda : \Phi(\lambda) < \tau\}, \mathbf{C}_1(\tau) := \mathbf{B}_1(\tau).$$

**Lemma 7.1** *With the notation above, it holds*

1.  $\#(\mathbf{B}_m(\tau)) = \#(\mathbf{A}_m(\tau)) = \#(\mathbf{N}_m(\tau))$ ;

2. Cerlienco–Mureddu Correspondence associates to  $\mathbf{B}_m(\tau)$  the order ideal

$$\mathbf{N}(\mathbf{B}_m(\tau)) = \mathbf{N}_m(\tau)$$

and the bijection  $\Phi(\mathbf{B}_m(\tau))$  defined by

$$\Phi(\mathbf{B}_m(\tau))(\pi_m(\mathbf{x}))X_{m+1}^{d_{m+1}} \cdots X_n^{d_n} = \Phi(\mathbf{x}), \text{ for each } \mathbf{x} \in \mathbf{A}_m;$$

3.  $\#(\mathbf{L}_m(\tau)) = \#(\mathbf{C}_m(\tau)) \leq \#(\mathbf{M}_m(\tau))$ ;

4. under Cerlienco–Mureddu Correspondence one has

$$\mathbf{N}(\mathbf{C}_m(\tau)) \subset \{\omega \in \mathcal{T}[1, m] : \omega < X_m^{d_m}\};$$

5.  $\mathbf{L} = \cup_m \mathbf{L}_m(\tau)$ .

**Proof:**

1. is trivial;

2. Cerlienco–Mureddu Algorithm when applied to the ordered set  $\mathbf{L}$  associates each element  $\lambda \in \mathbf{A}_m(\tau)$  to the term

$$\Phi(\lambda) = \Phi(\pi_m(\mathbf{A}_m(\tau)))(\pi_m(\lambda))X_{m+1}^{d_{m+1}} \cdots X_n^{d_n};$$

3. in order to obtain  $\mathbf{M}_m(\tau)$  one has to remove from  $\mathbf{N}_m(\tau)$  the subset

$$\{\omega X_m^{d_m} \in \mathbf{N}_m(\tau) : \omega \in \mathcal{T}[1, m-1]\} = \{\omega X_m^{d_m} : \omega \in \mathbf{N}_{m-1}(\tau)\}$$

while for each  $\omega \in \mathbf{N}_{m-1}(\tau)$  there are  $d_m + 1$  CeMu-conditions  $y = (\mathbf{a}, v) \in k^m \times \mathcal{T}[1, m]$  for which

$$M(v)\lambda_{\mathbf{a}} \in \mathbf{B}_m(\tau) \text{ and } \Phi(\mathbf{B}_{m-1}(\tau))(\pi_{m-1}(\ell_{v\mathbf{a}}\lambda_{\mathbf{a}})) = \omega.$$

4. In order that there is  $\omega \in \mathbf{N}(\mathbf{C}_m(\tau))$  such that  $\omega \geq X_m^{d_m}$ , Cerlienco–Mureddu Algorithm requires that at least  $d_m + 1$  CeMu-conditions  $\mathbf{x}_0, \dots, \mathbf{x}_{d_m}, \mathbf{x}_i = (\mathbf{a}_i, v_i)$  exist such that

$$\pi_m(\mathbf{x}_0) = \cdots = \pi_m(\mathbf{x}_i) = \cdots = \pi_m(\mathbf{x}_{d_m}),$$

so that  $\pi_{m-1}(M(v_i)\lambda_{\mathbf{a}_i}) \in \mathbf{B}_{m-1}(\tau)$ .

5. If  $\lambda \in \mathbf{L}$  is such that  $\Phi(\lambda) < \tau$ , then there is a minimal value  $m \leq n$  for which  $\lambda \in \mathbf{A}_m(\tau)$ ,  $\pi_m(\lambda) \in \mathbf{B}_m(\tau)$ ,  $\pi_m(\lambda) \in \mathbf{C}_m(\tau)$ ,  $\lambda \in \mathbf{L}_m(\tau)$ .

If  $\lambda \in \mathbf{L}$  is such that  $\Phi(\lambda) = X_1^{e_1} \cdots X_n^{e_n} > \tau$ , there is  $m \leq n$  such that  $e_m > d_m$ , while  $e_i = d_i$ , for each  $i > m$ ; this implies that there is  $\ell \in \mathbf{A}_m(\tau)$  such that  $\pi_m(\ell) = \pi_m(\lambda)$  so that  $\lambda \in \mathbf{D}_m(\tau)$ .

□

As for **(D-E)** linear interpolation is all one needs to prove

**Proposition 7.2** *With the same notation as in Lemma 7.1, it holds*

- (V)** for each  $\tau := X_1^{d_1} \cdots X_n^{d_n} \in \mathbf{G}$ , and each  $m, 1 \leq m \leq n$ , there are polynomials

$$g_{m\tau} := X_m^{d_m} + \sum_{\omega \in \mathbf{M}_m(\tau)} c(g_{m\tau}, \omega) \omega$$

such that  $\lambda(g_{m\tau}) = 0$ , for each  $\lambda \in \mathbf{L}_m(\tau)$ ;

- (T)** for each  $\tau := X_1^{d_1} \cdots X_n^{d_n} \in \mathbf{N}$  and each  $m, 1 \leq m \leq n$ , there are polynomials

$$g_{m\tau} := X_m^{d_m} + \sum_{\omega \in \mathbf{M}_m(\tau)} c(g_{m\tau}, \omega) \omega$$

such that  $\lambda(g_{m\tau}) = 0$ , for each  $\lambda \in \mathbf{L}_m(\tau)$ ,  $\lambda \prec \Phi^{-1}(\tau)$ .

**Proof:**

- (V)** Since  $\#(\mathbf{C}_m(\tau)) \leq \#(\mathbf{M}_m(\tau))$ , we can evaluate each  $c(g_{m\tau}, \omega)$  by interpolation, so that  $\ell(g_{m\tau}) = 0, \forall \ell \in \mathbf{C}_m(\tau)$  and  $\lambda(g_{m\tau}) = \pi_m(\lambda)(g_{m\tau}), \forall \lambda \in \mathbf{L}_m(\tau)$ .

- (T)** One has just to apply **(V)** to the set  $\mathbf{X}(\tau)$ .

□

For each  $\tau := X_1^{d_1} \cdots X_n^{d_n} \in \mathbf{N}$ , let us denote  $\nu := \nu(\tau) \leq n$  the value such that  $d_\nu \neq 0$  while  $d_\mu = 0$  for each  $\mu > \nu$  so that  $\tau \in \mathcal{T}[1, \nu]$ ,  $g_{m\tau} = 1$  for  $m > \nu$ , and, denoting

$$\begin{aligned} h_\tau &:= \prod_{m=1}^n g_{m\tau} \in k[X_1, \dots, X_{\nu-1}][X_\nu], \\ l_\tau &:= \prod_{m=1}^{\nu(\tau)-1} g_{m\tau} \in k[X_1, \dots, X_{\nu-1}], \\ p_\tau &:= g_{\nu\tau} \in k[X_1, \dots, X_{\nu-1}][X_\nu], \end{aligned}$$

it holds

$$h_\tau = l_\tau p_\tau = l_\tau X_\nu^{d_\nu} + \dots$$

so that  $l_\tau \in k[X_1, \dots, X_{\nu-1}]$  is the leading polynomial and the content of  $h_\tau$  while the monic polynomial  $p_\tau$  is the primitive component of  $h_\tau$ .

Therefore we have<sup>2</sup>

**Corollary 7.3** *With the notation above, under the assumption  $\mathfrak{l}$  radical ideal, it holds*

(W) *for each  $\tau = X_1^{d_1} \dots X_\nu^{d_\nu} \in \mathbf{N}$ , there are*

$$l_\tau \in k[X_1, \dots, X_{\nu-1}]$$

*and a monic polynomial*

$$p_\tau = X_\nu^{d_\nu} + \sum_{\omega \in \mathbf{M}_\nu(\tau)} c(p_\tau, \omega) \omega \in k[X_1, \dots, X_{\nu-1}][X_\nu]$$

*so that  $h_\tau := l_\tau p_\tau$  are such that*

- $\mathbf{T}(h_\tau) = \tau$ ,
- $\text{Lp}(h_\tau) = l_\tau$ ,
- $l_\tau(\pi_{\nu-1}(\mathbf{a})) = 0$ , for all  $\mathbf{a} \in \mathbf{X}(\tau)$ ,
- $p_\tau(\mathbf{a}) = 0$ , for each  $\mathbf{a} \in \mathbf{D}_\nu(\tau)$ ,
- $h_\tau(\mathbf{a}) = 0$ , for each  $\mathbf{a} \in \mathbf{X}$  such that  $\mathbf{a} \prec \Phi^{-1}(\tau)$ .

(X) *for each  $i, 1 \leq i \leq r$  there are*

$$l_i \in k[X_1, \dots, X_{\nu-1}]$$

*and a monic polynomial*

$$p_i = X_\nu^{d_\nu} + \sum_{\omega \in \mathbf{M}_\nu(\mathbf{t}_i)} c(p_i, \omega) \omega \in k[X_1, \dots, X_{\nu-1}][X_\nu]$$

*so that  $h_i := l_i p_i$  are such that*

- $\mathbf{T}(h_i) = \mathbf{t}_i = X_1^{d_1} \dots X_\nu^{d_\nu} \in \mathbf{G} \cap \mathcal{T}[1, \nu]$ ,
- $\text{Lp}(h_i) = l_i$ ,

---

<sup>2</sup>This justifies why we need to require that  $\mathfrak{l}$  is radical: in this restricted setting, each functional  $\lambda_i$  is evaluation at a point and distributes with product.

- $l_i(\pi_{\nu-1}(\mathbf{a})) = 0$ , for each  $\mathbf{a} \in \cup_{m=1}^{\nu-1} \mathbf{D}_m(\mathbf{t}_i)$ ,
- $p_i(\mathbf{a}) = 0$ , for each  $\mathbf{a} \in \mathbf{D}_\nu(\mathbf{t}_i)$ ,
- $h_i(\mathbf{a}) = 0$ , for each  $\mathbf{a} \in \mathbf{X}$ .

□

While  $\#(\mathbf{C}_m(\tau)) \leq \#(\mathbf{M}_m(\tau))$ , in general equality does not hold and the polynomials  $g_{m\tau}$  are not unique. However, uniqueness can be forced via Cerlienco–Mureddu Correspondence in such a way that the result does not require the assumption  $\mathbf{I}$  radical ideal.

We begin by remarking that

$$\#(\mathbf{C}_1(\tau)) = \#(\mathbf{M}_1(\tau)), \text{ for each } \tau := X_1^{d_1} \cdots X_n^{d_n},$$

so that  $g_{1\tau}$  is actually unique. We can therefore set  $\gamma_{1\tau} := g_{1\tau}$  and compute inductively, for  $m, 1 < m \leq n$ ,

- $\zeta_{m\tau} := \prod_{\nu=1}^{m-1} \gamma_{\nu\tau}$ ,
- $\mathbf{Q}_m(\tau) := \{M(\omega)\lambda_{\mathbf{a}} : \omega \in \mathcal{T}[1, m-1], \mathbf{a} \in \mathbf{Z} := \mathcal{Z}(\mathbf{I}), M(\omega)\lambda_{\mathbf{a}}(\zeta_{m\tau}) \neq 0\}$ ,
- $\mathbf{P}_m(\tau) := \{M(\pi_m(\frac{v_i}{\omega}))\lambda_{\mathbf{a}_i} : M(v_i)\lambda_{\mathbf{a}_i} \in \mathbf{L}_m(\tau), M(\omega)\lambda_{\mathbf{a}_i} \in \mathbf{Q}_m(\tau)\}$ ,
- $\mathbf{R}_m(\tau) := \{(\pi_m(\mathbf{a}_i), \pi_m(\frac{v_i}{\omega})) : M(\pi_m(\frac{v_i}{\omega}))\lambda_{\mathbf{a}_i} \in \mathbf{P}_m(\tau)\}$ ,
- $\mathbf{E}_m(\tau) := \mathbf{N}(\mathbf{R}_m(\tau))$ ,
- $\mathbf{S}_m(\tau) := \{(\pi_m(\mathbf{a}_i), \pi_m(\frac{v_i}{\omega})) \in \mathbf{R}_m(\tau) : (\mathbf{a}_i, v_i) \prec \Phi^{-1}(\tau)\}$ ,
- $\mathbf{F}_m(\tau) := \mathbf{N}(\mathbf{S}_m(\tau))$ .

This decomposition can be further refined if, for each  $\tau := X_1^{d_1} \cdots X_n^{d_n}$  and each  $\nu \leq n$ , we iteratively compute, for deasing  $\delta \leq d_\nu$ ,

$$\begin{aligned} \mathbf{Y}_{\nu\delta}(\tau) &:= \{\pi_\nu(x) : \exists \omega \in \mathcal{T}[1, \nu] : \Phi(x) = \omega X_{\nu+1}^\delta, x \in \mathbf{P}_{\nu\delta+1}(\tau)\}, \\ \mathbf{E}_{\nu\delta}(\tau) &:= \mathbf{N}(\mathbf{Y}_{\nu\delta}(\tau)), \\ \mathbf{P}_{\nu\delta}(\tau) &:= \{M\left(\pi_\nu\left(\frac{v_i}{\omega}\right)\right)\lambda_{\mathbf{a}_i} : M(v_i)\lambda_{\mathbf{a}_i} \in \mathbf{L}_\nu(\tau), M(\omega)\lambda_{\mathbf{a}_i} \in \mathbf{Y}_{\nu\delta}(\tau)\}, \\ \mathbf{S}_{\nu\delta}(\tau) &:= \{\pi_\nu(x) \in \mathbf{Y}_{\nu\delta}(\tau) : x \prec \Phi^{-1}(\tau)\}, \\ \mathbf{F}_m(\tau) &:= \mathbf{N}(\mathbf{S}_m(\tau)). \end{aligned}$$

with initial value  $\mathbf{P}_{\nu d_n+1}(\tau) := \mathbf{P}_{\nu-1} := \mathbf{P}_{\nu-1} \mathbf{2}$ .

We then obtain:

**Corollary 7.4** [18] *It holds*

(M) *For each  $\tau := X_1^{d_1} \cdots X_n^{d_n} \in \mathbf{N}$ , and each  $m, 1 \leq m \leq n$ , there are unique polynomials*

$$\gamma_{m\tau} := X_m^{d_m} + \sum_{\omega \in \mathbf{F}_m(\tau)} c(\gamma_{m\tau}, \omega)\omega$$

and

$$\gamma_{m\delta\tau} := X_m + \sum_{\omega \in \mathbf{F}_{m\delta}(\tau)} c(\gamma_{m\tau}, \omega)\omega, \quad 1 \leq \delta \leq d_m$$

such that

- $\pi_m(\lambda)(\gamma_{m\delta\tau}) = 0$ , for each  $\lambda \in \mathbf{Y}_{\nu\delta}(\tau)$ ,  $\lambda \prec \Phi^{-1}(\tau)$ ;
- $\pi_m(\lambda)(\gamma_{m\tau}) = 0$ , for each  $\lambda \in \mathbf{L}_m(\tau)$ ,  $\lambda \prec \Phi^{-1}(\tau)$ ;
- $\gamma_{m\tau} = \prod_{\delta} \gamma_{m\delta\tau}$ .

(N) *For each  $\tau := X_1^{d_1} \cdots X_n^{d_n} \in \mathbf{G}$ , and each  $m, 1 \leq m \leq n$ , there are unique polynomials*

$$\gamma_{m\tau} := X_m^{d_m} + \sum_{\omega \in \mathbf{E}_m(\tau)} c(\gamma_{m\tau}, \omega)\omega$$

and

$$\gamma_{m\delta\tau} := X_m + \sum_{\omega \in \mathbf{E}_{m\delta}(\tau)} c(\gamma_{m\tau}, \omega)\omega, \quad 1 \leq \delta \leq d_m$$

such that

- $\pi_m(\lambda)(\gamma_{m\delta\tau}) = 0$ , for each  $\lambda \in \mathbf{Y}_{m\delta}(\tau)$ ,
- $\pi_m(\lambda)(\gamma_{m\tau}) = 0$ , for each  $\lambda \in \mathbf{L}_m(\tau)$ ,
- $\gamma_{m\tau} = \prod_{\delta} \gamma_{m\delta\tau}$ ;

(O) *For each  $\tau = X_1^{d_1} \cdots X_{\nu}^{d_{\nu}} \in \mathbf{N}$ , there are*

$$L_{\tau} \in k[X_1, \dots, X_{\nu-1}]$$

and a unique monic polynomial

$$P_{\tau} = X_{\nu}^{d_{\nu}} + \sum_{\omega \in \mathbf{F}_{\nu}(\tau)} c(P_{\tau}, \omega)\omega \in k[X_1, \dots, X_{\nu-1}][X_{\nu}]$$

so that  $H_{\tau} := L_{\tau}P_{\tau}$  are such that

- $\mathbf{T}(H_\tau) = \tau$ ,  $\text{Lp}(H_\tau) = L_\tau$ ,
- $\pi_{\nu-1}(\lambda)(L_\tau) = 0$ , for each  $\lambda \in \mathbf{L}(\tau)$ ,
- $\pi_\nu(\lambda)(P_\tau) = 0$ , for each  $\lambda \in \mathbf{L}_\nu(\tau)$ ,
- $\pi_\nu(\lambda)(H_\tau) = 0$ , for each  $\lambda \in \mathbf{L} : \lambda \prec \Phi^{-1}(\tau)$ .

(P) For each  $i, 1 \leq i \leq r$  there are

$$L_i \in k[X_1, \dots, X_{\nu-1}]$$

and a unique monic polynomial

$$P_i = X_\nu^{d_i} + \sum_{\omega \in \mathbf{E}_\nu(\mathbf{t}_i)} c(P_i, \omega) \omega \in k[X_1, \dots, X_{\nu-1}][X_\nu]$$

so that  $H_i := L_i P_i$  are such that

- $\mathbf{T}(H_i) = \mathbf{t}_i = X_1^{d_1} \cdots X_\nu^{d_\nu} \in \mathbf{G} \cap \mathcal{T}[1, \nu]$ ,  $\text{Lp}(H_i) = L_i$ ,
- $\pi_{\nu-1}(\lambda)(L_i) = 0$ , for each  $\lambda \in \cup_{m=1}^{\nu-1} \mathbf{L}_m(\mathbf{t}_i)$ ,
- $\pi_\nu(\lambda)(P_i) = 0$ , for each  $\lambda \in \mathbf{L}_\nu(\mathbf{t}_i)$ ,
- $\pi_\nu(\lambda)(H_i) = 0$ , for each  $\lambda \in \mathbf{L}$ .

**Proof:** The only non trivial statements, i.e. the vanishing of  $\pi_{\nu-1}(\lambda)(L)$  and  $\pi_\nu(\lambda)(H)$  are an elementary consequence of Leibniz Formula (Proposition 3.1).  $\square$

**Fact 7.5** *It holds*

(Q)  $L_i, P_i, H_i, 1 \leq i \leq r$  satisfy

$\{H_1, \dots, H_r\}$  is a minimal Gröbner basis of  $\mathfrak{l}$ ,

for each  $\nu, 1 \leq \nu < n$ ,  $\{H_1, \dots, H_{j_\nu}\}$  is a minimal Gröbner basis of  $\mathfrak{l} \cap k[X_1, \dots, X_\nu]$  and of  $\mathfrak{l}(\pi_\nu(\mathbf{X}))$ ;

for each  $\nu, 1 \leq \nu < n$ ,  $\{L_1, \dots, L_{j_{\nu\delta}}\}$  is a Gröbner basis of  $\mathfrak{l}(\mathbf{Y}_{\nu\delta})$ .  $\square$

Clearly, if  $\mathfrak{l}$  is radical similar statements hold for

$$\{h_1, \dots, h_r\}, \{l_1, \dots, l_{j_{\nu\delta}}\} \text{ and } \{h_1, \dots, h_{j_\nu}\}.$$

**Remark 7.6** Among the three bases

$$\{f_1, \dots, f_r\}, \{h_1, \dots, h_r\} \text{ and } \{H_1, \dots, H_r\}$$

only the first one is reduced. On the other side, for each  $i$ , we have

$$\mathbf{T}(f_i) = \mathbf{T}(h_i) = \mathbf{T}(H_i) = \mathbf{t}_i.$$

Therefore we have

- $f_1 = h_1 = H_1$  and
- $f_i - h_i \in (h_1, \dots, h_{i-1}), f_i - H_i \in (H_1, \dots, H_{i-1})$  for each  $i, 1 < i \leq r$ ,

whence

- $f_i \in (h_1, \dots, h_i), f_i \in (H_1, \dots, H_i)$  for each  $i, 1 \leq i \leq r$ .

□

**Fact 7.7** It holds

(R) For each  $i, 2 \leq i \leq r, P_i \in (H_1, \dots, H_i) : L_i$ .

(S) For each  $j, 1 \leq j \leq s, \lambda_j(H_{\tau_j}) \neq 0; \mathbf{L}$  and  $\{\lambda_j(H_{\tau_j})^{-1}H_{\tau_j}, 1 \leq j \leq s\}$  are triangular.

□

**Corollary 7.8** Moreover, if  $\mathfrak{l}$  is radical

(Z)  $l_i, p_i, h_i, 1 \leq i \leq r$  satisfy

$\{h_1, \dots, h_r\}$  is a minimal Gröbner basis of  $\mathfrak{l}$ ;

for each  $\nu, 1 \leq \nu < n, \{h_1, \dots, h_{j_\nu}\}$  is a minimal Gröbner basis of  $\mathbf{P}(\text{Span}_k(\pi_\nu(\mathbf{L})))$  and  $\mathfrak{l} \cap k[X_1, \dots, X_\nu]$ ;

for each  $\nu, 1 \leq \nu < n, \{l_1, \dots, l_{j_{\nu\delta}}\}$  is a Gröbner basis of  $\mathfrak{l}(\mathbf{Y}_{\nu\delta})$ ;

for each  $i, 2 \leq i \leq r, p_i \in (h_1, \dots, h_i) : l_i$ ;

for each  $j, 1 \leq j \leq s, \lambda_j(h_{\tau_j}) \neq 0$ ;

$\mathbf{L}$  is triangular to  $\{\lambda_j(h_{\tau_j})^{-1}h_{\tau_j}, 1 \leq j \leq s\}$ .

□

Figure 1: Möller Algorithm for Macaulay representation

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$(\mathbf{N}, \mathbf{q}, \mathbf{B}, B) := \text{Möller}(\mathbf{L})$

where

$\mathbf{L} = \{\ell_1, \dots, \ell_s\}$  is a Macaulay representation of a zero-dimensional ideal  $\mathfrak{l}$ ,

$\mathbf{N} := \mathbf{N}(\mathfrak{l})$ ,

$\mathbf{q} = \{q_1, \dots, q_r\}$  is triangular to  $\mathbf{L}$ ,

$\mathbf{B} := \mathbf{B}(\mathfrak{l})$ ,

$B := \mathcal{B}(\mathfrak{l})$ .

$r := 1, \mathbf{B} := \emptyset$

$t_1 := 1, \mathbf{N} := \{t_1\}, q_1 := t_1, \mathbf{q} := \{q_1\}$ ,

**For**  $h = 1..n$  **do**

$t := X_h, b_t := X_h - a_{h1}, \mathbf{B} := \mathbf{B} \cup \{t\}$

**While**  $r \leq s$  **do**

**Let**  $t := \min_{<} \{t \in \mathbf{B} : \lambda_{r+1}(b_t) \neq 0\}$

$r := r + 1, \mathbf{B} := \mathbf{B} \setminus \{t\}$ ,

$t_r := t, \mathbf{N} := \mathbf{N} \cup \{t_r\}, q_r := \lambda_r(b_t)^{-1} b_t, \mathbf{q} := \mathbf{q} \cup \{q_r\}$ ,

**For each**  $\tau \in \mathbf{B}$  **do**  $b_\tau := b_\tau - \lambda_r(b_\tau) q_r$ ,

**For**  $h = 1..n$  **do**

**If**  $X_h t_r \notin \mathbf{B}$  **then**

$t := X_h t_r$ ,

$f := X_h b_{t_r} - \sum_{\substack{\tau \in \mathbf{N} \\ X_h \tau \in \mathbf{B}}} c(b_{t_r}, \tau) b_{X_h \tau}$

$b_t := f - \lambda_r(f) q_r$

$\mathbf{B} := \mathbf{B} \cup \{X_h t_r, h = 1..n\}$

$\mathbf{N}, \mathbf{q}, \mathbf{B} \{b_\tau : \tau \in \mathbf{B}\}$

---

## 8 Proof

In order to complete the proof all we need is to directly apply Möller Algorithm [20, 7, 15, 1, 21] (a simplified version of it in this setting is presented in Figure 1).

The proof being by induction, we begin with

**Lemma 8.1** *If  $\#\mathbf{L} = 1$  conditions **(A)**, **(F)**, **(I)**, **(L)**, **(Q)**, **(R)**, **(S)** hold.*

**Proof:** When we have a single point  $(a_1, \dots, a_n) \in k^n$ , we have

- $\mathbf{N} = \{1\}$ ,
- $\mathbf{B} = \mathbf{G} = \{X_1, \dots, X_n\}$ ,
- $f_1 = 1$ ,
- $f_{X_i} = X_i - a_i$ , for each  $i$ ,

and the properties are obviously satisfied.  $\square$

Thus having a starting point for induction, let us assume we have a Macaulay representation and the corresponding CeMu-skeleton

$$\begin{aligned} \mathbf{L} &:= \{\lambda_1, \dots, \lambda_s\}, & \mathbf{X} &:= \{\mathbf{x}_1, \dots, \mathbf{x}_s\} \subset k^n \times \mathcal{T}, \\ \mathbf{x}_i &= (\mathbf{a}_i, v_i), \mathbf{a}_i := (a_{i1}, \dots, a_{in}), v_i = \prod_{l=1}^n X_l^{\alpha_{il}} \end{aligned}$$

of a zero-dimensional  $\mathfrak{l}$ , and let us denote

$$\mathbf{X}' := \{\mathbf{x}_1, \dots, \mathbf{x}_{s-1}\}, \mathbf{L}' := \{\lambda_1, \dots, \lambda_{s-1}\} \text{ and } \mathfrak{l}' := \mathbf{P}(\text{Span}_k(\mathbf{L}')),$$

for which we assume conditions **(A-L)** hold. If moreover  $\mathfrak{l}$  (and so also  $\mathfrak{l}'$ ) is a CeMu-ideal, we also assume that conditions **(M-S)** hold for  $\mathfrak{l}'$ .

In particular:

$$\Phi' := \mathbf{N}' \mapsto \mathbf{L}' \text{ is Cerlienco–Mureddu Correspondence,}$$

$$\mathbf{G}' := \mathbf{G}(\mathfrak{l}') = \{\omega_1, \dots, \omega_r\}, \omega_1 < \omega_2 < \dots < \omega_r,$$

$$\mathbf{B}' := \mathbf{B}(\mathfrak{l}'),$$

$f'_{\omega}, \omega \in \mathbf{B}'$ , are the polynomials whose existence is implied by **(F)**,

$F_i := f'_{\omega_i}$  are the polynomials whose existence is implied by **(E)**, so that

$\{F_i : 1 \leq i \leq r\}$  is the reduced Gröbner basis of  $I'$ ,

$L'_i, P'_i, H'_i$  are the polynomials whose existence is implied by **(P)**.

Setting

$$I := \min_{<} \{j, 1 \leq j \leq r : \lambda_s(F_j) \neq 0\},$$

then it holds

**Lemma 8.2** *If  $\mathbf{L}'$  satisfies conditions **(A-L)** then*

$$\Phi(\mathbf{L})(\lambda_s) = \omega_I.$$

**Proof:** Let  $\omega_I = X_1^{d_1} \dots X_n^{d_n}$  and let  $m+1 := \max\{i : d_i \neq 0\}$ , so that

$$F_I \in k[X_1, \dots, X_{m+1}].$$

Since, by **(I)**, for each  $\nu$ ,

$$I' \cap k[X_1, \dots, X_\nu] = \mathbf{P}(\text{Span}_k(\pi_\nu(\mathbf{L}'))),$$

and

$$F_j \in k[X_1, \dots, X_\nu], \nu \leq m \implies j < I$$

we deduce that

$$\pi_\nu(\lambda_s)(F_j) = \lambda_s(F_j) = 0, \text{ for each } F_j \in k[X_1, \dots, X_\nu], \nu \leq m, \text{ while}$$

$$\pi_{m+1}(\lambda_s)(F_I) = \lambda_s(F_I) \neq 0.$$

This allows to deduce that

$$m = \max\{j : \pi_j(\lambda_s) \in \text{Span}_k(\pi_j(\mathbf{L}'))\}.$$

Therefore  $\pi_{m+1}(\lambda_s) \notin \text{Span}_k(\pi_{m+1}(\mathbf{L}'))$ ; also

$$d_m = \min\{\delta : \pi_m(\lambda_s) \notin \mathbf{Y}_{m\delta}\};$$

in fact, for each  $\delta < d_m$ , since

$$\mathbf{T}(F_j) = \omega_j < X_m^\delta < X_m^{d_m} \implies j < I,$$

and  $\pi_m(\lambda_s)(F_j) = 0$ , **(I)** allows to deduce that  $\pi_m(\lambda_s) \in \mathbf{Y}_{m\delta}$  and  $\pi_m(\lambda_s) \notin \mathbf{Y}_{md_m}$ .

As a consequence we consider

$$\mathbf{W} := \{\pi_m(\lambda) : \Phi'(\lambda) = \omega X_{m+1}^{d_m}, \omega \in \mathcal{T}[1, \nu]\} \cup \{\pi_m(\lambda_s)\};$$

in this setting Cerlienco–Mureddu Correspondence gives a relation between each point  $\pi_m(x_i)$  and the corresponding term  $\tau_i$ .

Moreover, since the argument is on the cardinality of the Macaulay representation and  $\#(\mathbf{W}) < \#(\mathbf{L})$ , we directly deduce that the ideal  $\mathbf{P}(\pi_m(\mathbf{W}))$  has  $\{\text{Lp}(f_{t_1}), \dots, \text{Lp}(f_{t_{j_{md_m}}})\}$  as Gröbner basis. Also

$$\pi_m(\lambda_s)(\text{Lp}(f_{t_j})) = 0, \text{ for each } j < I \text{ while } \pi_m(\lambda_s)(\text{Lp}(f_{t_I})) \neq 0.$$

so that the same argument grants that Cerlienco–Mureddu Correspondence returns  $\Phi(\pi_m((\lambda_s))) = X_1^{d_1} \dots X_m^{d_m}$ .  $\square$

As a consequence, applying Möller Algorithm to  $\mathbf{L} = \mathbf{L}' \cup \{\lambda_s\}$  we get

$$q_s := c^{-1}F_I, \text{ with } c = \lambda_s(F_I);$$

$$\mathbf{N} := \mathbf{N}' \cup \{\omega_I\};$$

$$\mathbf{B} := \mathbf{B}' \setminus \{\omega_I\} \cup \{X_i\omega_I, 1 \leq i \leq n\};$$

$$f_\tau := f'_\tau - \lambda_s(f'_\tau)q_s \text{ for each } \tau \in \mathbf{B}' \setminus \{\omega_I\}, \tau > \omega_I \text{ and}$$

$$f_\tau := f'_\tau, \text{ for each } \tau \in \mathbf{B}' \setminus \{\omega_I\}, \tau < \omega_I \text{ since } \lambda_s(f'_\tau) = 0;$$

$$\text{for each } \tau := X_i\omega_I \notin \mathbf{B}'$$

$$f_\tau := (X_i - a_{is})F_I - \sum_{X_i\omega \in \mathbf{B}'} c(F_I, \omega) f_{X_i\omega}$$

where

$$F_I = \omega_I + \sum_{\omega \in \mathbf{N}'} c(F_I, \omega)\omega.$$

**Corollary 8.3** *If  $\mathbf{L}'$  satisfies conditions (A-L) then  $\mathbf{L}$  satisfies conditions (A), (F), (I), (L), (Q), (R), (S).*

**Proof:**

(A) and (F) are obvious;

(I) and (Q) are a direct consequence of the application of Cerlienco–Mureddu Algorithm to  $\mathbf{P}(\pi_m(\mathbf{W}))$ ;

(L)  $\lambda_s(f_{\omega_I}) \neq 0$  for construction;

(R) on the basis of Remark 7.6 we know that  $F_I \in (H'_1, \dots, H'_I)$ ; also all we need to prove is that, for each  $i$ ,

$$H_i \in (H_1, \dots, H_{i-1}) = \{H_j, \mathbf{T}(H_j) < \mathbf{T}(H_i)\}.$$

Therefore

- if  $\mathbf{T}(H_i) = \mathfrak{t}_i \in \mathbf{G}'$ ,  $i < I$ , we have

$$H_i = H'_i \in (H'_1, \dots, H'_{i-1}) = (H_1, \dots, H_{i-1});$$

- if  $\mathbf{T}(H_i) = \mathfrak{t}_i \in \mathbf{G}'$ ,  $i > I$ , we have

$$H_i = H'_i - aF_I \in (H'_1, \dots, H'_{i-1}) = (H_1, \dots, H_{i-1})$$

so that, also  $(H'_1, \dots, H'_i) = (H_1, \dots, H_i)$ .

- Finally, for  $\tau = X_i \mathfrak{t}_I$  we have  $L_\tau = L'_I$ , and

$$L_\tau P_\tau = H_\tau \equiv f_\tau \equiv (X_i - a_{is})F_I \equiv (X_i - a_{is})L'_I P'_I \equiv 0$$

modulo  $(H'_1, \dots, H'_I) = (H_1, \dots, H_I)$ .

The same argument proofs the claim for  $\{h_1, \dots, h_r\}$ .

(S)  $\lambda_s(H_{\omega_I}) \neq 0$  and  $\lambda_s(h_{\omega_I}) \neq 0$  because both  $H_{\omega_I} - f_{\omega_I}$  and  $h_{\omega_I} - f_{\omega_I}$  have a representation in terms of  $\{F_i, i < I\}$  and  $\lambda_s(F_i) = 0$ , for each  $i < I$ .  $\square$

In conclusion we have:

**Theorem 8.4** *For a zero-dimensional ideal  $\mathfrak{l}$ , given by a Macaulay representation  $\mathbf{L}$ , using the same notation as above, it holds*

(A)  $\mathbf{N} := \mathbf{N}(\mathfrak{l})$ .

(B)  $\mathbf{G}(\mathfrak{l}) = \mathbf{G} = \{\mathfrak{t}_1, \dots, \mathfrak{t}_r\}, \mathfrak{t}_1 < \mathfrak{t}_2 < \dots < \mathfrak{t}_r$ .

(C)  $\mathbf{B}(\mathfrak{l}) = \mathbf{B}$ .

(D) *For each  $\tau \in \mathbf{N}$  there is a unique polynomial*

$$f_\tau := \tau - \sum_{\omega \in \mathbf{N}(\tau)} c(f_\tau, \omega) \omega$$

*such that  $\lambda(f_\tau) = 0$ , for each  $\lambda \in \mathbf{L}(\tau)$ .*

(E) For each  $\tau \in \mathbf{G}$  there is a unique polynomial

$$f_\tau := \tau - \sum_{\omega \in \mathbf{N}} c(f_\tau, \omega) \omega$$

such that  $\lambda(f_\tau) = 0$ , for each  $\lambda \in \mathbf{L}$ .

(F) For each  $\tau \in \mathbf{B}$  there is a polynomial

$$f_\tau := \tau - \sum_{\omega \in \mathbf{N}(\tau)} c(f_\tau, \omega) \omega$$

such that  $\lambda(f_\tau) = 0$ , for each  $\lambda \in \mathbf{L}$ .

(G) The reduced Gröbner basis of  $\mathfrak{l}$  is

$$\mathcal{G}(\mathfrak{l}) := \{f_\tau : \tau \in \mathbf{G}\}.$$

moreover, for each  $\tau \in \mathbf{N}$ ,  $\mathbf{T}(f_\tau) = \tau$ .

(H) The border basis of  $\mathfrak{l}$  is

$$\mathcal{B}(\mathfrak{l}) := \{f_\tau : \tau \in \mathbf{B}\}.$$

(I) For each  $\nu, 1 \leq \nu < n$ ,

let  $j_\nu$  be the value such that  $\mathfrak{t}_{j_\nu} < X_{\nu+1} \leq \mathfrak{t}_{j_\nu+1}$ ; then  $\{f_{\mathfrak{t}_1}, \dots, f_{\mathfrak{t}_{j_\nu}}\}$  is a minimal Gröbner basis of  $\mathbf{P}(\text{Span}_k(\pi_\nu(\mathbf{L})))$  and of  $\mathfrak{l} \cap k[X_1, \dots, X_\nu]$ ; for each  $\delta \in \mathbf{N}$ , let  $j(\nu\delta)$  be the value such that  $\mathfrak{t}_{j(\nu\delta)} < X_{\nu+1}^\delta \leq \mathfrak{t}_{j(\nu\delta)+1}$ ; then  $\{\text{Lp}(f_{\mathfrak{t}_1}), \dots, \text{Lp}(f_{\mathfrak{t}_{j_\nu\delta}})\}$  is a Gröbner basis of  $\mathfrak{l}(\mathbf{Y}_{\nu\delta})$ .

(L) For each  $j, 1 \leq j \leq s$ ,  $\lambda_j(f_{\tau_j}) \neq 0$  so that  $\mathbf{L}$  and  $\{\lambda_j(f_{\tau_j})^{-1} f_{\tau_j}, 1 \leq j \leq s\}$  are triangular.

If  $\mathfrak{l}$  is a CeMu-ideal:

(M) For each  $\tau := X_1^{d_1} \dots X_n^{d_n} \in \mathbf{N}$ , and each  $m, 1 \leq m \leq n$ , there are unique polynomials

$$\gamma_{m\tau} := X_m^{d_m} + \sum_{\omega \in \mathbf{F}_m(\tau)} c(\gamma_{m\tau}, \omega) \omega$$

and

$$\gamma_{m\delta\tau} := X_m + \sum_{\omega \in \mathbf{F}_{m\delta}(\tau)} c(\gamma_{m\tau}, \omega) \omega, \quad 1 \leq \delta \leq d_m$$

such that

- $\pi_m(\lambda)(\gamma_{m\delta\tau}) = 0$ , for each  $\lambda \in Y_{\nu\delta}(\tau)$ ,  $\lambda \prec \Phi^{-1}(\tau)$ ;
- $\pi_m(\lambda)(\gamma_{m\tau}) = 0$ , for each  $\lambda \in L_m(\tau)$ ,  $\lambda \prec \Phi^{-1}(\tau)$ ;
- $\gamma_{m\tau} = \prod_{\delta} \gamma_{m\delta\tau}$ .

(N) For each  $\tau := X_1^{d_1} \cdots X_n^{d_n} \in \mathbf{G}$ , and each  $m, 1 \leq m \leq n$ , there are unique polynomials

$$\gamma_{m\tau} := X_m^{d_m} + \sum_{\omega \in \mathbf{E}_m(\tau)} c(\gamma_{m\tau}, \omega) \omega$$

and

$$\gamma_{m\delta\tau} := X_m + \sum_{\omega \in \mathbf{E}_{m\delta}(\tau)} c(\gamma_{m\tau}, \omega) \omega, \quad 1 \leq \delta \leq d_m$$

such that

- $\pi_m(\lambda)(\gamma_{m\delta\tau}) = 0$ , for each  $\lambda \in Y_{m\delta}(\tau)$ ,
- $\pi_m(\lambda)(\gamma_{m\tau}) = 0$ , for each  $\lambda \in L_m(\tau)$ ,
- $\gamma_{m\tau} = \prod_{\delta} \gamma_{m\delta\tau}$ ;

(O) For each  $\tau = X_1^{d_1} \cdots X_{\nu}^{d_{\nu}} \in \mathbf{N}$ , there are

$$L_{\tau} \in k[X_1, \dots, X_{\nu-1}]$$

and a unique monic polynomial

$$P_{\tau} = X_{\nu}^{d_{\nu}} + \sum_{\omega \in \mathbf{F}_{\nu}(\tau)} c(P_{\tau}, \omega) \omega \in k[X_1, \dots, X_{\nu-1}][X_{\nu}]$$

so that  $H_{\tau} := L_{\tau} P_{\tau}$  are such that

- $\mathbf{T}(H_{\tau}) = \tau$ ,  $\mathbf{Lp}(H_{\tau}) = L_{\tau}$ ,
- $\pi_{\nu-1}(\lambda)(L_{\tau}) = 0$ , for each  $\lambda \in \mathbf{L}(\tau)$ ,
- $\pi_{\nu}(\lambda)(P_{\tau}) = 0$ , for each  $\lambda \in L_{\nu}(\tau)$ ,
- $\pi_{\nu}(\lambda)(H_{\tau}) = 0$ , for each  $\lambda \in \mathbf{L} : \lambda \prec \Phi^{-1}(\tau)$ .

(P) For each  $i, 1 \leq i \leq r$  there are

$$L_i \in k[X_1, \dots, X_{\nu-1}]$$

and a unique monic polynomial

$$P_i = X_{\nu}^{d_{\nu}} + \sum_{\omega \in \mathbf{E}_{\nu}(t_i)} c(P_i, \omega) \omega \in k[X_1, \dots, X_{\nu-1}][X_{\nu}]$$

so that  $H_i := L_i P_i$  are such that

- $\mathbf{T}(H_i) = \mathbf{t}_i = X_1^{d_1} \cdots X_\nu^{d_\nu} \in \mathbf{G} \cap \mathcal{T}[1, \nu]$ ,  $\text{Lp}(H_i) = L_i$ ,
- $\pi_{\nu-1}(\lambda)(L_i) = 0$ , for each  $\lambda \in \cup_{m=1}^{\nu-1} \mathbf{L}_m(\mathbf{t}_i)$ ,
- $\pi_\nu(\lambda)(P_i) = 0$ , for each  $\lambda \in \mathbf{L}_\nu(\mathbf{t}_i)$ ,
- $\pi_\nu(\lambda)(H_i) = 0$ , for each  $\lambda \in \mathbf{L}$ .

(Q)  $L_i, P_i, H_i$ ,  $1 \leq i \leq r$  satisfy

$\{H_1, \dots, H_r\}$  is a minimal Gröbner basis of  $\mathfrak{l}$ ,

for each  $\nu, 1 \leq \nu < n$ ,  $\{H_1, \dots, H_{j_\nu}\}$  is a minimal Gröbner basis of  $\mathfrak{l} \cap k[X_1, \dots, X_\nu]$  and  $\mathfrak{l}(\pi_\nu(\mathbf{X}))$ ;

for each  $\nu, 1 \leq \nu < n$ ,  $\{L_1, \dots, L_{j_{\nu\delta}}\}$  is a Gröbner basis of  $\mathfrak{l}(\mathbf{Y}_{\nu\delta})$ .

(R) For each  $i, 2 \leq i \leq r$ ,  $P_i \in (H_1, \dots, H_i) : L_i$ .

(S) For each  $j, 1 \leq j \leq s$ ,  $\lambda_j(H_{\tau_j}) \neq 0$ ;  $\mathbf{L}$  and  $\{\lambda_j(H_{\tau_j})^{-1}H_{\tau_j}, 1 \leq j \leq s\}$  are triangular.

(T) for each  $\tau := X_1^{d_1} \cdots X_n^{d_n} \in \mathbf{N}$  and each  $m, 1 \leq m \leq n$ , there are polynomials

$$g_{m\tau} := X_m^{d_m} + \sum_{\omega \in \mathbf{M}_m(\tau)} c(g_{m\tau}, \omega)\omega$$

such that  $\lambda(g_{m\tau}) = 0$ , for each  $\lambda \in \mathbf{L}_m(\tau)$ ,  $\lambda \prec \Phi^{-1}(\tau)$ .

(V) for each  $\tau := X_1^{d_1} \cdots X_n^{d_n} \in \mathbf{G}$ , and each  $m, 1 \leq m \leq n$ , there are polynomials

$$g_{m\tau} := X_m^{d_m} + \sum_{\omega \in \mathbf{M}_m(\tau)} c(g_{m\tau}, \omega)\omega$$

such that  $\lambda(g_{m\tau}) = 0$ , for each  $\lambda \in \mathbf{L}_m(\tau)$ .

Moreover, if  $\mathfrak{l}$  is radical:

(W) for each  $\tau = X_1^{d_1} \cdots X_\nu^{d_\nu} \in \mathbf{N}$ , there are

$$l_\tau \in k[X_1, \dots, X_{\nu-1}]$$

and a monic polynomial

$$p_\tau = X_\nu^{d_\nu} + \sum_{\omega \in \mathbf{M}_\nu(\tau)} c(p_\tau, \omega)\omega \in k[X_1, \dots, X_{\nu-1}][X_\nu]$$

so that  $h_\tau := l_\tau p_\tau$  are such that

- $\mathbf{T}(h_\tau) = \tau$ ,
- $\text{Lp}(h_\tau) = l_\tau$ ,
- $l_\tau(\pi_{\nu-1}(\mathbf{a})) = 0$ , for all  $\mathbf{a} \in \mathbf{X}(\tau)$ ,
- $p_\tau(\mathbf{a}) = 0$ , for each  $\mathbf{a} \in \mathbf{D}_\nu(\tau)$ ,
- $h_\tau(\mathbf{a}) = 0$ , for each  $\mathbf{a} \in \mathbf{X}$  such that  $\mathbf{a} \prec \Phi^{-1}(\tau)$ .

(X) for each  $i, 1 \leq i \leq r$  there are

$$l_i \in k[X_1, \dots, X_{\nu-1}]$$

and a monic polynomial

$$p_i = X_\nu^{d_i} + \sum_{\omega \in \mathbf{M}_\nu(\mathbf{t}_i)} c(p_i, \omega) \omega \in k[X_1, \dots, X_{\nu-1}][X_\nu]$$

so that  $h_i := l_i p_i$  are such that

- $\mathbf{T}(h_i) = \mathbf{t}_i = X_1^{d_1} \dots X_\nu^{d_\nu} \in \mathbf{G} \cap \mathcal{T}[1, \nu]$ ,
- $\text{Lp}(h_i) = l_i$ ,
- $l_i(\pi_{\nu-1}(\mathbf{a})) = 0$ , for each  $\mathbf{a} \in \cup_{m=1}^{\nu-1} \mathbf{D}_m(\mathbf{t}_i)$ ,
- $p_i(\mathbf{a}) = 0$ , for each  $\mathbf{a} \in \mathbf{D}_\nu(\mathbf{t}_i)$ ,
- $h_i(\mathbf{a}) = 0$ , for each  $\mathbf{a} \in \mathbf{X}$ .

(Z)  $l_i, p_i, h_i, 1 \leq i \leq r$  satisfy

$\{h_1, \dots, h_r\}$  is a minimal Gröbner basis of  $\mathfrak{l}$ ;

for each  $\nu, 1 \leq \nu < n$ ,  $\{h_1, \dots, h_{j_\nu}\}$  is a minimal Gröbner basis of  $\mathfrak{P}(\text{Span}_k(\pi_\nu(\mathbf{L})))$  and  $\mathfrak{l} \cap k[X_1, \dots, X_\nu]$ ;

for each  $\nu, 1 \leq \nu < n$ ,  $\{l_1, \dots, l_{j_{\nu\delta}}\}$  is a Gröbner basis of  $\mathfrak{l}(\mathbf{Y}_{\nu\delta})$ ;

for each  $i, 2 \leq i \leq r$ ,  $p_i \in (h_1, \dots, h_i) : l_i$ ;

for each  $j, 1 \leq j \leq s$ ,  $\lambda_j(h_{\tau_j}) \neq 0$ ;

$\mathbf{L}$  is triangular to  $\{\lambda_j(h_{\tau_j})^{-1} h_{\tau_j}, 1 \leq j \leq s\}$ . □

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