

/\* BBS LEGAL GUIDE: Here's the second chapter of the Copyright  
Code- read especially Sections 201 and 203\*/

## CHAPTER 2. COPYRIGHT OWNERSHIP AND TRANSFER

### Section

201. Ownership of copyright

202. Ownership of copyright as distinct from ownership of  
material object

203. Termination of transfers and licenses granted by the author

204. Execution of transfers of copyright ownership

205. Recordation of transfers and other documents

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### S 201. Ownership of copyright

(a) Initial ownership. Copyright in a work protected under this  
title [17 USC SS et seq.] vests initially in the author or  
authors of the work. The authors of a joint work are co-owners of  
copyright in the work.

(b) Works made for hire. In the case of a work made for hire, the  
employer or other person for whom the work was prepared is  
considered the author for purposes of this title [17 USC SS 101  
et seq.], and, unless the parties have expressly agreed otherwise  
in a written instrument signed by them, owns all of the rights  
comprised in the copyright.

/\* Please see the case of Society for Creative Non-Violence Case,  
in which the U.S. Supreme Court holds that independent  
contractors  
works are not "works for hire" unless there is a written contract  
to that effect.\*/

(c) Contributions to collective works. Copyright in each separate  
contribution to a collective work is distinct from copyright in  
the collective work as a whole, and vests initially in the author  
of the contribution. In the absence of an express transfer of the  
copyright or of any rights under it, the owner of copyright in  
the collective work is presumed to have acquired only the  
privilege of reproducing and distributing the contribution as  
part of that particular collective work, any revision of that  
collective work, and any later collective work in the same  
series.

(d) Transfer of ownership. (1) The ownership of a copyright may  
be transferred in whole or in part by any means of conveyance or  
by operation of law, and may be bequeathed by will or pass as  
personal property by the applicable laws of interstate  
succession.

(2) Any of the exclusive rights comprised in a copyright,  
including any subdivision of any of the rights specified by  
section 106 [17 USC S 106], may be transferred as provided by  
clause (1) and owned separately. The owner of any particular  
exclusive right is entitled, to the extent of that right, to all  
of the protection and remedies accorded to the copyright owner by  
this title [17 USC SS 101 et seq.].

(e) Involuntary transfer. When an individual author's ownership  
of a copyright, or of any of the exclusive rights under a  
copyright, has not previously been transferred voluntarily by

that individual author, no action by any governmental body or other official or organization purporting to seize, expropriate, transfer, or exercise rights of ownership with respect to the copyright, or any of the exclusive rights under a copyright, shall be given effect under this title [17 USC SS 101 et seq.] except as is provided under Title 11.

## S 202. Ownership of copyright as distinct from ownership of material object

Ownership of a copyright, or of any of the exclusive rights under a copyright, is distinct from ownership of any material object in which the work is embodied. Transfer of ownership of any material object, including the copy or phonorecord in which the work is first fixed, does not of itself convey any rights in the copyrighted work embodied in the object; nor, in the absence of an agreement, does transfer of ownership of a copyright or of any exclusive rights under a copyright convey property rights in any material object.

## S 203. Termination of transfers and licenses granted by the author

(a) Conditions for termination. In the case of any work other than a work made for hire, the exclusive or nonexclusive grant of a transfer or license of copyright or of any right under a copyright, executed by the author on or after January 1, 1978, otherwise than by will, is subject to termination under the following conditions:

(1) In the case of a grant executed by one author, termination of the grant may be effected by that author, or if the author is dead, by the person or persons who, under clause (2) of this subsection, own and are entitled to exercise a total of more than one-half of that author's termination interest. In the case of a grant executed by two or more authors of a joint work, termination of the grant may be effected by a majority of the authors who executed it; if any of such authors is dead, the termination interest of any such author may be exercised as a unit by the person or persons who, under clause (2) of this subsection, own and are entitled to exercise a total of more than one-half of that author's interest.

(2) Where an author is dead, his or her termination interest is owned, and may be exercised, by his widow or her widower and his or her children or grandchildren as follows:

(A) the widow or widower owns the author's entire termination interest unless there are any surviving children or grandchildren of the author, in which case the widow or widower owns one-half of the author's interest;

(B) the author's surviving children, and the surviving children of any dead child of the author, own the author's entire termination interest unless there is a widow or widower, in which case the ownership of one-half of the author's interest is divided among them;

(C) the rights of the author's children and grandchildren are in all cases divided among them and exercised on a per stirpes basis according to the number of such author's children represented; the share of the children of a dead child in a termination interest can be exercised only by the action of a majority of

them.

(3) Termination of the grant may be effected at any time during a period of five years beginning at the end of thirty-five years from the date of execution of the grant; or, if the grant covers the right of publication of the work, the period begins at the end of thirty-five years from the date of publication of the work under the grant or at the end of forty years from the date of execution of the grant, whichever term ends earlier.

/\* Thus, thirty-five years after the assignment, it can be taken back. This is a limit on assignments that are absolute on their face. \*/

(4) The termination shall be effected by serving an advance notice in writing, signed by number and proportion of owners of termination interests required under clauses (1) and (2) of this subsection, or by their duly authorized agents, upon the grantee or the grantee's successor in title.

(A) The notice shall state the effective date of the termination, which shall fall within the five-year period specified by clause (3) of this subsection, and the notice shall be served not less than two or more than ten years before that date. A copy of the notice shall be recorded in the Copyright Office before the effective date of termination, as a condition to its taking effect.

(B) Termination of the grant may be effected notwithstanding any agreement to the contrary, including an agreement to make a will or to make any future grant.

(b) Effect of termination. Upon the effective date of termination, all rights under this title [17 USC SS 101 et seq.] that were covered by the terminated grants revert to the author, authors, and other persons owning termination interests under clauses (1) and (2) of subsection (1), including those owners who did not join in signing the notice of termination under clause (4) of subsection (a), but with the following limitations:

(1) A derivative work prepared under authority of the grant before its termination may continue to be utilized under the terms of the grant after its termination, but this privilege does not extend to the preparation after the termination of other derivative works based upon the copyrighted work covered by the terminated grant.

(2) The future rights that will revert upon termination of the grant become vested on the date the notice of termination has been served as provided by clause (4) of subsection (a). The rights vest in the author, authors, and other persons named in, and in the proportionate shares provided by, clauses (1) and (2) of subsection (1).

(3) Subject to the provisions of clause (4) of this subsection, a further grant, or agreement to make a further grant, of any right covered by a terminated grant, is valid only if it is signed by the same number and proportion of the owners, in whom the right has vested under clause (2) of this subsection, as are required to terminate the grant under clauses (1) and (2) of subsection (1). Such further grant or agreement is effective with respect to all of the persons in whom the right it covers has vested under clause (2) of this subsection, including those who did not join

in signing it. If any person dies after rights under a terminated grant have vested in him or her, that person's legal representatives, legatees, or heirs at law represent him or her for purposes of this clause.

(4) A further grant, or agreement to make a further grant, of any right covered by a terminated grant is valid only if it is made after the effective date of the termination. As an exception, however, an agreement for such a further grant may be made between the persons provided by clause (3) of this subsection and the original grantee or such grantee's successor in title, after the notice of termination has been served as provided by clause (4) of subsection (a).

(5) Termination of a grant under this section affects only those rights covered by the grants that arise under this title [17 USC SS 101 et seq.], and in no way affects rights arising under any other Federal, State, or foreign laws.

(6) Unless and until termination is effected under this section, the grant, if it does not provide otherwise, continues in effect for the term of copyright provided by this title [17 USC SS 101 et seq].

#### S 204. Execution of transfers of copyright ownership

(a) A transfer of copyright ownership, other than by operation of law, is not valid unless an instrument of conveyance, or a note or memorandum of the transfer, is in writing and signed by the owner of the rights conveyed or such owner's duly authorized agent.

(b) A certificate of acknowledgment is not required for the validity of a transfer, but is prima facie evidence of the execution of the transfer if --

(1) in the case of a transfer executed in the United States, the certificate is issued by a person authorized to administer oaths within the United States; or

(2) in the case of a transfer executed in a foreign country, the certificate is issued by a diplomatic or consular officer of the United States, or by a person authorized to administer oaths whose authority is proved by a certificate of such an officer.

#### S 205. Recordation of transfers and other documents

(a) Conditions for recordation. Any transfer of copyright ownership or other document pertaining to a copyright may be recorded in the Copyright Office if the document filed for recordation bears the actual signature of the person who executed it, or if it is accompanied by a sworn or official certification that it is a true copy of the original, signed document.

(b) Certificate of recordation. The Register of Copyrights shall, upon receipt of a document as provided by subsection (1) and of the fee provided by section 708 [17 USC S 708], record the document and return it with a certificate of recordation.

(c) Recordation as constructive notice. Recordation of a document in the Copyright Office gives all persons constructive notice of the facts stated in the recorded document, but only if --

(1) the document, or material attached to it, specifically identifies the work to which it pertains so that, after the document is indexed by the Register of Copyrights, it would be revealed by a reasonable search under the title or registration number of the work; and

(2) registration has been made for the work.

(d) Priority between conflicting transfers. As between two conflicting transfers, the one executed first prevails if it is recorded, in the manner required to give constructive notice under subsection (c), within one month after its execution in the United States or within two months after its execution outside the United States, or at any time before recordation in such manner of the later transfer. Otherwise the later transfer prevails if recorded first in such manner, and if taken in good faith, for valuable consideration or on the basis of a binding promises to pay royalties, and without notice of the earlier transfer.

(e) Priority between conflicting transfer of ownership and nonexclusive license. A nonexclusive license, whether recorded or not, prevails over a conflicting transfer of copyright ownership if the license is evidenced by a written instrument signed by the owner of the rights licensed or such owner's duly authorized agent, and if --

(1) the license was taken before execution of the transfer;  
or

(2) the license was taken in good faith before recordation of the transfer and without notice of it.